# Central exclusive production of $\chi_c$ mesons in $\sqrt{s} = 13$ TeV proton-proton collisions

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Thesis submitted in partial fulfilment of the requirements for the degree of Doctor of
 Philosophy at the University of Oxford

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#### Abstract

A measurement is made of the central exclusive production of  $\chi_{c1}$  and  $\chi_{c2}$  mesons in protonproton collisions at a centre-of-mass energy of  $\sqrt{s} = 13$  TeV, using data collected by the LHCb experiment corresponding to a total single-interaction integrated luminosity of 711 pb<sup>-1</sup>. The  $\chi_c$  candidates are reconstructed through their radiative decay into  $J/\psi\gamma$ , where we use photons that have converted into an electron pair,  $\gamma \to e^+e^-$ , and reconstruct the  $J/\psi$  through its dimuon final state. The new High Rapidity Shower Counter sub-detector HERSCHEL is used to reduce inelastic background. The product of the cross section and the branching fractions, where the  $J/\psi$  muons are measured to be within the pseudorapidity region  $2 < \eta < 4.5$ , are measured to be,

$$\begin{split} &\sigma^{(2<\eta_{\mu+\mu^-}<4.5)}_{\chi_{c1}\to J/\psi\,[\mu^+\mu^-]\gamma} = 19.5\pm15.0\pm15.2\,\mathrm{pb} \\ &\sigma^{(2<\eta_{\mu+\mu^-}<4.5)}_{\chi_{c2}\to J/\psi\,[\mu^+\mu^-]\gamma} = 99.6\pm12.7\pm24.5\,\mathrm{pb}, \end{split}$$

where the fist uncertainty is statistical and the second is systematic. These results are found tobe in agreement with theoretical predictions.

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"No man is an island entire of itself; every man is a piece of the continent, a part of the main; if a clod be washed away by the sea, Europe is the less, as well as if a promontory were, as well as any manner of thy friends or of thine own were; any man's death diminishes me, because I am involved in mankind. And therefore never send to know for whom the bell tolls; it tolls for thee." — John Donne, Devotions upon Emergent Occasions

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## Chapter 1

## Introduction

It is undeniable that human beings have an inherent desire to make sense of life and the world we live in. Pushing the frontiers of knowledge has always been a continuous and collective endeavour. The field of particle physics is no exception. Today, standing on the shoulders of giants, thousands of members of the particle physics community continue to make an international effort to develop, maintain, and run what is one of the most impressive and ambitious experimental instruments built by humanity, the Large Hadron Collider (LHC). In this thesis, I present my efforts to contribute to our collective goal of advancing the field of particle physics.

The majority of the proton-proton collisions studied at the LHC are inelastic. That is, the 180 incoming protons do not survive the collision and fragment into a large number of particles. 181 The complexity of these events can present an added challenge for event reconstruction, particle 182 identification, and background reduction. However, there is a production mechanism, known as 183 central exclusive production (CEP), where the two incoming protons can interact through the 184 exchange of intermediate particles, produce a single or few low-momentum particles, and remain 185 intact while scattering at small angles. This means that CEP has a distinctive experimental 186 signature where the signal consists of the final-state particles of the produced system in an 187 otherwise empty detector, thus providing a clean environment in which to study resonances and 188 other phenomena. 189

CEP can be mediated by one of three processes, one of which is purely electromagnetic, another is mediated solely by the strong force, and one mediated by both the electromagnetic and strong force, which give us access to the nature of these forces. Furthermore, the dynamics of CEP, which are approximately independent of the produced system, give rise to unique quantumnumber-selection rules that effectively allow us to use this mechanism as a spin quantum-number filter, which in principle enables us to measure and confirm the quantum-number nature of newly discovered objects.

Although the Large Hadron Collider beauty experiment (LHCb) was designed to study *CP* violation and suppressed decays in the beauty system, it is well equipped for the study of CEP particularly because of its sensitivity to low momentum and transverse momentum particles. Furthermore, the HERSCHEL sub-detector, which is a system of scintillating planes

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at high rapidity, has the capability of vetoing inelastic background events. This makes CEP an
excellent laboratory for the study of Standard Model states and a discovery tool in the search
for unobserved states at LHCb.

The main focus of this thesis is the study of the CEP of  $\chi_c$  mesons at the LHCb experiment. Although significant advancements have been made on the theoretical front of CEP with the development of the so-called Durham model, additional studies are necessary to validate and further constrain theoretical models. The  $\chi_c$  states are regarded as the *standard candle* for the CEP processes mediated by the strong force. This study is a crucial benchmark that opens LHCb up to a new frontier of future CEP studies, such as the CEP of the Higgs boson.

We study the production of  $\chi_c$  mesons through their radiative decay into  $J/\psi [\mu^+ \mu^-] \gamma$ . Previous measurements of this decay mode have been limited on account of the resolution of the mass peaks of  $\chi_{c1}$  and  $\chi_{c2}$ , which sit within 50 MeV/ $c^2$  of one another. To overcome this problem, we reconstruct the photon using two electrons, known as converted photons, and utilise the momentum resolution of the tracking of the LHCb experiment to improve the  $\chi_c$  candidates' mass resolution. To this end, we develop a novel method to measure the reconstruction efficiency of converted photons using  $D^*(2007)^0 \rightarrow D^0\gamma$  decays.

In Chapter 2 we introduce the theoretical background starting with an overview of quantum chromodynamics, the theoretical model of strong interactions responsible for mediating the CEP of  $\chi_c$  mesons. We then introduce CEP, where we highlight some of the kinematic and dynamic qualities crucial to this analysis before outlining the Durham model. We end with an overview of previous studies and theoretical predictions of the CEP of  $\chi_c$  mesons. A detailed description of the LHC and the LHCb experiment are presented in Chapter 3 together with an overview of the data acquisition, simulation, and reconstruction framework.

We then present the CEP  $\chi_c$  analysis starting in Chapter 4 with an outline of the data 224 and simulation samples used for the CEP  $\chi_c$  analysis as well as for the calibration data set 225 necessary for the study of converted photons. We then outline the selection process and criteria 226 applied to each of these samples. In Chapter 5 we present a series of efficiency studies including 227 the efficiency determination of the aforementioned photon-conversions, as well as for the muon 228 reconstruction, detector occupancy, the HERSCHEL veto procedure, and other studies associated 229 with selection cuts. Chapter 6 presents a series of background studies and covers the construction 230 of the fit model used to extract the CEP  $\chi_c$  signal, the fit results of which are presented in 231 Chapter 7 together with a validation study of the model. Chapter 7 also presents the efficiency 232 corrected results, a HERSCHEL stability check, the luminosity determination, the calculation 233 of the cross-section, and a summary of systematic studies. We conclude with final remarks and 234 outlook in Chapter 8. 235

## Chapter 2

## Theoretical overview

#### 239 2.1 Quantum Chromodynamics

Quantum chromodynamics (QCD) [1,2] is a well established and successful quantum field theory 240 (QFT) which describes the strong interaction between six spin- $\frac{1}{2}$  fermions, known as quarks, and 241 eight massless, force-carrying spin-1 bosons known as gluons. Unlike quantum electrodynamics, 242 where only the fermions carry the electric charge, in QCD both quarks and gluons carry colour 243 charge (for a total of  $N_C = 3$  colour charges), which leads to interesting phenomena unique to 244 the strong force. The quarks are separated into two categories: the first is up-type quarks with 245 electromagnetic charge  $+\frac{2}{3}$  (up u, charm c, and top t), with the second being down-type quarks 246 with electromagetic charge  $-\frac{1}{3}$  (down d, strange s, and bottom or beauty b). More specifically, 247 QCD is a non-Abelian gauge theory [3] generated by the special-unitary group of degree three, 248  $SU(3)_C$ , where the C highlights the fact that the theory only applies to colour-charge-carrying 249 particles. 250

In QFT, each elementary particle has a field associated with it that permeates all space-time 251 and these particles are localised, excited states of their underlying quantum fields. These 252 fundamental fields cannot be measured directly, however there are observables related to these 253 fields, such as charge, that are measurable. Different configurations of these fields, or gauges, 254 can result in identical measurements of the observable. If this is the case, the field is said to be 255 gauge invariant under that gauge transformation. These transformations can be global or local, 256 that is, they can have a dependence on space-time. The dynamics of the theory are specified by 257 the Lagrangian density,  $\mathcal{L}$ , which is required to be invariant under a continuous group of these 258 local transformations. 259

#### 260 2.1.1 QCD Lagrangian

<sup>261</sup> The quark fields  $\psi_i$  and anti-quark fields  $\bar{\psi}_i$  take the form of a triplet

$$\psi_{i} = \begin{pmatrix} \psi_{1} \\ \psi_{2} \\ \psi_{3} \end{pmatrix} \quad \text{and} \quad \bar{\psi}_{i} = \begin{pmatrix} \bar{\psi}_{1}^{*} \\ \bar{\psi}_{2}^{*} \\ \bar{\psi}_{3}^{*} \end{pmatrix}, \qquad (2.1)$$

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respectively, where the indices  $i = \{0, 1, 2\}$  correspond to the three colour charges. The local-phase transformation of the fermion wave-functions transform as

$$\psi(x) \to \psi'(x) = e^{ig_s \theta^a(x) \cdot T^a} \psi(x) \tag{2.2}$$

where  $g_s$  is the bare-strong-coupling constant,  $i = \sqrt{-1}$ ,  $\theta^a(x)$  are eight  $(a = \{1, ..., N_C^2 - 1 = 8\}$ for each of the eight gluons) phase factors dependent on space-time coordinates, and  $T_a$  are known as colour-charge matrices and are the generators of the gauge group. The group generators take the form of  $3 \times 3$  linearly independent hermitian  $(T_a = T_a^{\dagger})$  matrices related to the Gell-Mann matrices  $\lambda_a$ , such that

$$T^a = \frac{1}{2}\lambda^a.$$
 (2.3)

The term non-Abelian refers to the fact that the group generators do not commute, such that their commutation relation is given by

$$[T^a, T^b] = i f^{abc} T^c, (2.4)$$

where  $f^{abc}$  are the SU(3)<sub>C</sub> colour-structure constants with indices a, b, and c, which cycle over the eight colour degrees-of-freedom. This phase transformation described by Eq. 2.2 corresponds to a rotation in colour-space such that the axis of rotation depends on the space-time coordinates.

<sup>274</sup> Maintaining gauge invariance also requires that we satisfy the Dirac equation,

$$i\gamma^{\mu}\partial_{\mu}\psi = m\psi, \qquad (2.5)$$

where  $\gamma_{\mu}$  are gamma matrices, and  $\partial^{\mu} = (\partial_t, -\vec{\nabla})$  is the four-gradient. This requires the introduction of new gauge fields. These correspond to the gluon fields, which have a time-like and three space-like components, and take the form of an octet  $A_a^{\mu}$ . Therefore, we modify the four-gradient such that

$$\partial \mu \to D_{\mu} = \partial_{\mu} + i g_s A^a_{\mu} T^a, \qquad (2.6)$$

giving us the covariant derivative  $D_{\mu}$  resulting in the quark-quark-gluon interaction vertex, shown in Fig. 2.1 (left).

The gluon field strength tensor  $F_a^{\mu\nu}$ , which characterises the interaction between quarks and gluons, is given by

$$F_a^{\mu\nu} = \partial^\mu A_a^\nu - \partial^\mu A_a^\mu + g_s f^{abc} A_b^\mu A_c^\nu.$$

$$\tag{2.7}$$

The third term,  $g_s f^{abc} A^{\mu}_b A^{\nu}_c$ , represents the gluon self-interaction which arises from the fact that the gluons also carry colour charge and the generators of the group do not commute. This gives rise to triple and quartic gluon vertices, shown in Fig. 2.1 middle and right, respectively.



**Figure 2.1.** Feynman diagram of quark-quark-gluon (left), triple-gluon (middle), and quartic-gluon (right) vertex.

<sup>286</sup> The dynamics of the quark and gluon are given by the QCD Lagrangian density

$$\mathcal{L}_{QCD} = \sum_{f} \bar{\psi}_{f}^{i} (i\gamma_{\mu}D^{\mu} - m_{f})_{ij}\psi_{f}^{j} - \frac{1}{4}F_{a}^{\mu\nu}F_{\mu\nu}^{a}, \qquad (2.8)$$

where  $m_f$  are fermion mass parameters. Note that there are no gluon mass terms of the form  $m^2 A^{\mu} A_{\mu}$  present in the Lagrangian as these terms violate gauge invariance, explaining the massless nature of the gluon.

#### 290 2.1.2 Colour confinement

The gluon-gluon self interaction gives rise to a phenomenon known as colour *confinement* that explains why we have not observed freely propagating quarks [1,2]. The strong interaction results in an attractive force between two quarks, and is mediated through the exchange of virtual gluons. Additional gluon-gluon interactions can occur between the virtual gluons, concentrating the colour fields as shown in Fig. 2.2. As a result, a large amount of energy can be stored in the colour fields, or string of colour force, which increases with the distance between the quarks, of about 1 GeV/ fm.



Figure 2.2. Schematic representation of two quarks moving in opposite directions and the concentration of colour fields as a result of additional gluon-gluon interactions between virtual gluons. Adapted from [2].

Naively, it would seem that it would be possible to force a quark from a hadron by applying a sufficiently large force. However, as the distance increases between the nucleon and the quark, the attractive force stored in these colour fields also increases. Given a large enough distance, the stored energy can be sufficiently large to create a quark anti-quark pair before the quark is ever free. This quark anti-quark production process occurs recursively until the energy of the quark pairs is low enough to be bound in a colourless hadronic system of their own. This process is known as hadronisation and results in a collimated burst of particles known as a jet. A schematic representation of the hadronisation process is shown in Fig. 2.3.



Figure 2.3. Schematic representation of the hadronisation process as two quarks move away from each other at high velocities. The energy stored in gluon tubes results in the recursive production of quark pairs until all quarks are confined in colourless hadrons. Adapted from [2].

As a result of this behaviour, quarks are only found in colour-singlet systems. The parton model [4] classifies hadrons into mesons composed of a quark anti-quark pair  $(q\bar{q})$ , and baryons composed of three quarks (qqq), or three anti-quarks  $(\bar{q}\bar{q}\bar{q})$ . In recent years more exotic hadrons have been discovered, which can be explained by tetraquark  $(qq\bar{q}\bar{q})$  [5–7] or pentaquark states  $(qqqq\bar{q})$  [8–11].

#### 311 2.1.3 Asymptotic freedom

The strength of the interaction between gluons and quarks is given by the strong-coupling constant. However the value used in Feynman diagram calculations, often referred to as the *bare*coupling constant, is not the same as the effective-coupling constant measured experimentally.

In QCD, quantum fluctuations in the fermion and boson fields can bring virtual quark and 315 gluons in and out of existence, creating a cloud of electric and colour charge around a quark, 316 as depicted in Fig. 2.4. Due to the electric attraction between the virtual-quark pairs and the 317 probe quark, the anti-quarks in the  $q\bar{q}$  pair tend to be closer to the probe quark. This effect is 318 known as vacuum polarisation. This has a *screening* effect which reduces the effective value 319 of  $g_s$  at larger distances from the probe quark. The gluon pairs have the opposite effect: they 320 enhance the effective value of  $g_s$  at larger distances in what is known as an *anti-screening* effect. 321 It transpires that the anti-screening effect of the gluon pairs dominates, and as a result the 322 strong coupling decreases at shorter distances. Experimentally, small distances translate to large 323 four-momentum transfers  $Q^2 = -q^2$  as higher energies are needed to resolve smaller distances. 324 As it turns out, the strong-coupling constant is not constant at all and has a strong dependence 325 on  $Q^2$  and is better described as a running-coupling constant. 326



Figure 2.4. Schematic representation of QCD vacuum polarisation.

This behaviour has two interesting phenomenological effects. The first is the concept of 327 confinement which we have already described in Sec. 2.1.2. Essentially, at large distances the 328 coupling becomes so strong that the energy is sufficient for quark pair creation, making the 329 isolation of a quark impossible and forcing quarks to be tightly bound within hadrons. The 330 second is known as asymptotic freedom: at shorter and shorter distances, the strong coupling is 331 smaller and smaller. Experimentally, this means that when probing quarks within a hadron at 332 sufficiently high energy, that is interactions involving high four-momentum transfer entailing 333 large- $Q^2$  values, the quarks begin to behave more like free particles. 334



**Figure 2.5.** Leading order Feynman diagram for gluon exchange (a) corrections to the quark four-vector (b-c), and corrections to the gluon propagator (d-f).

When calculating the vertex of a Feynman diagram, any higher-order corrections can and will contribute to the process. For example, when considering the scattering of two quarks through the exchange of a gluon, the leading-order diagram is given by Fig. 2.5. However, the effective-strong coupling will be composed of the sum of all higher-order diagrams which include quark and gluon loops in the propagator known as the gluon self-energy terms or, as hinted above, vacuum polarisation loops. Due to the Ward identity [12], such corrections
associated with the quark cancel each other perfectly. These corrections include diverging
four-momentum integrals that diverge in the *ultra-violet* as the four-momenta can take large
values. These divergences are controlled through the introduction of a cut-off parameter known
as *renormalization* [2]. This results in a running-coupling constant

$$\alpha_S(Q^2) = \left[\frac{11N_C - 2N_f}{12\pi} \ln\left(\frac{Q^2}{\Lambda^2}\right)\right]^{-1},\tag{2.9}$$

where  $N_C = 3$  is the number of colour charges,  $N_f = 6$  is the number of quarks,  $Q^2$  is the four-momentum transfer squared of the exchanged gluon,  $\Lambda$  is known as the QCD-energy-scale parameter, an experimentally determined parameter (~ 100 MeV) which marks the energy scale at which  $\alpha_s(Q^2)$ , starts to diverge asymptotically. Therefore,  $\Lambda$  loosely marks where perturbative methods are no longer applicable. A summary of strong-coupling constant measurements is shown in Fig. 2.6 as a function of Q.



**Figure 2.6.** Summary of measurements of  $\alpha_s(Q^2)$  as a function of the energy scale Q. Reproduced from [13].

#### 351 2.1.4 Pomeron

In QCD and in this text, the word pomeron  $(I\!\!P)$  refers to a pair of gluons in a colour-singlet state such that they carry the quantum numbers of the vacuum [14]. That is, zero isospin I = 0, natural parity P = +1 (even), and even charge-conjugation C = +1. As a result, events mediated by a pomeron do not exchange quantum numbers in the reaction.

The word pomeron, coined in honour of the Russian physicist Isaak Yakovlevich Pomeranchuk [15], is inherited from a theoretical model, called Regge theory, developed by Italian physicist Tullio Regge, that predates QCD. Regge theory introduced the use of complex-angular momentum in quantum mechanics. The theory was particularly successful when extended to relativistic particle physics by Chew and Frautschi and then applied to high-energy hadron-hadron and photon-hadron interactions [16].

Regge theory is a t-channel model, depicted in Fig. 2.7 (centre), where the scattering process 362 is mediated through a virtual particle. Following the example of the Yukawa hypothesis which 363 states that long-range nucleon interactions are due to the exchange of pions [17], these t-channel 364 interactions were generally modelled through the exchange of virtual mesons, such as the  $\pi$ 365 and  $\rho$  mesons which have a definite spin. However, in Regge theory not only can the particles 366 have complex-angular momentum but, to preserve unitarity, processes are mediated through 367 the superposition of a family of resonances known as Regge trajectories or Reggeons [18]. To 368 obtain agreement between the predicted cross-sections and experimental observations, a new 369 Regge trajectory was added that carries the quantum numbers of the vacuum. This trajectory 370 is what is known as the *pomeron* (IP). 371

#### 372 2.2 Central exclusive production

Central exclusive production (CEP) [19–21] is an interesting phenomenon, where a system of 373 one or two colour-singlet particles, X, are produced in a diffractive-elastic process such that the 374 colliding protons, p, remain intact after the interaction and scatter at small angles. Essentially, 375 the system X is produced in a *central* or near-zero rapidity region relative to that of the outgoing 376 protons, and consists *exclusively* of its decay products and no other hadronic activity. Rapidity, 377 y, is a quantity used to describe the polar coverage of accelerator physics, measured from the 378 interaction point, that is invariant under longitudinal relativistic transformation. Rapidity is 379 defined such that, 380

$$y = \frac{1}{2} \ln \left( \frac{E + p_z c}{E - p_z c} \right), \qquad (2.10)$$

where E is the total energy of the particle,  $p_z$  is the momentum along the beam line, and c is the speed of light in vacuum. A particle with momentum perpendicular to the beam line will have a rapidity of zero, which will increase as the momentum becomes co-linear with the beam line. An alternative variable known as pseudorapidity,  $\eta$ , is calculated with the assumption that the particle's momentum is much larger than its mass and is defined such that,

$$\eta = -\ln \tan\left(\frac{\theta}{2}\right) \tag{2.11}$$

where  $\theta$  is the polar angle measured from the beam line.

These conditions result in a unique topology characterised by two large rapidity gaps, defined as a volume of inactivity in the detector and denoted by  $\oplus$ , between each of the outgoing protons and the system of interest, such that

$$p_1 \oplus p_2 \to p_3 \oplus X \oplus p_4, \tag{2.12}$$

where  $p_1$  and  $p_2$  are the incoming protons and  $p_3$  and  $p_4$  are the outgoing protons. Experimentally, 390 this implies that only the decay products of the produced object of interest are present in 391 an otherwise empty detector, as long as there are no pile-up events or secondary interactions 392 which might break the rapidity-gap criteria. Pile-up events have more than one interaction per 393 bunch crossing. As a result, CEP provides a clean environment in which to study the signal 394 while imposing strict kinematic and dynamic constraints, described in detail in Sec. 2.4, which 395 to a close approximation are independent of the central object produced. In addition, CEP 396 allows us to access spin and parity quantum-number information: a difficult task via inelastic 397 events [22]. Measurements of standard candles allow CEP predictions to be tested. When we 398 achieve confidence from the agreement between the theoretical and experimental predictions we 399 can then test properties of other states. 400

This makes CEP a powerful tool through which to study known resonant and non-resonant 401 Standard Model (SM) states. Additionally, this makes CEP an even more promising discovery 402 tool in the search for unobserved states predicted by the SM, such as the glueball [23], a bound 403 state composed purely of self-interacting gluons and no quarks, and odderon (O) [24], a t-channel 404 exchange of a colour-neutral system composed of an odd number of gluons, as well as states 405 predicted in Beyond the Standard Model (BSM) theories [25]. Not only does CEP provide access 406 to the system, but it also sheds light on the nature of the system and its quantum properties. 407 making CEP a very valuable probe for spectroscopy studies. 408

#### 409 2.3 CEP production mechanisms

CEP events are mediated by the t-channel exchange of colour singlets. In t-channel interactions 410 one of the incoming protons can emit an intermediate particle that is absorbed by the second 411 proton. Alternatively, each of the incoming protons can emit an intermediate particle that 412 interact with one another. This allows for interactions where the outgoing protons remain 413 intact, something that is not possible in s-channel and u-channel interactions. Colour singlets 414 are particles, or a system of particles, with a total-colour charge of zero. This means there is 415 no exchange of charge or colour charge during the t-channel exchange. This, in turn, allows 416 the colliding protons to remain intact and preserve the rapidity gap of the final-state particles. 417

The Feynman diagram for t-channel scattering events is shown in Fig. 2.7 (centre), together with the s-channel (left) and u-channel (right) diagrams. There are three possible mediating mechanisms for CEP: double-photon exchange  $(\gamma \gamma \to X)$ , photo-production  $(I\!\!P\gamma \to X)$ , and double-pomeron exchange  $(I\!\!PI\!\!P \to X)$ .



Figure 2.7. Feynman diagrams of different possible scattering channels in proton-proton collisions including the s-channel (left), t-channel (centre), and u-channel (right). Here  $p_1$  and  $p_2$  are incoming particles while  $p_3$  and  $p_4$  are outgoing particles. The exchange of intermediate particles is shown in dashed purple.

#### 422 2.3.1 Double-photon exchange

In double-photon exchange, also known as two-photon fusion, the event is mediated by a theoretically well-understood Quantum Electrodynamics (QED) process, where each of the protons emits a quasi-real photon (low- $Q^2$ ) where q is the four-momentum transfer from the proton to the emitted photon that couples to the electromagnetic charge of the proton. The lowest-order Feynman diagram for this process is shown in Fig. 2.8 (left) for dimuon production.



Figure 2.8. Lowest-order Feynman diagrams for three CEP mechanisms: double-photon exchange (left), photo-production (centre), and double-pomeron exchange (right).

Through double-photon exchange we are able to study non-resonant states such as lightby-light scattering  $(\gamma \gamma \rightarrow \gamma \gamma)$  [26], di-electron  $(\gamma \gamma \rightarrow e^+e^-)$  [27, 28] and di-muon  $(\gamma \gamma \rightarrow \mu^+\mu^-)$  [29, 30] production, as well as charged-electroweak-gauge boson pair production  $(\gamma \gamma \rightarrow W^+W^-)$  [31]. The CEP  $\gamma \gamma$  channel can also be used to study meson production such as  $\gamma \gamma \rightarrow \eta_c$  [32, 33] as well as double-hadron production  $(\gamma \gamma \rightarrow hh)$ . The latter process is of particular interest as it has the potential to shed light on the nature of the odderon [34] as well as glueballs [35].

#### 435 2.3.2 Photo-production

<sup>436</sup> A second mechanism involves the exchange of a photon emitted by one of the protons and a <sup>437</sup> pomeron from the other in a process known as photo-production or photon-pomeron fusion. <sup>438</sup> The lowest-order Feynman diagram for  $J/\psi$  production is shown in Fig. 2.8 (centre).

The fusion of an odd-charge-conjugate photon and an even-charge-conjugate pomeron implies 439 we are able to produce odd-charge-conjugate objects allowing for the study of spin-1 vector meson 440 final states  $(1^{--})$  such as  $\gamma I\!\!P \to \rho^0$  [36–39]. This also allows for the study of heavy-quarkonia 441 states  $(c\bar{c} \text{ and } b\bar{b})$  such as  $\gamma I\!\!P \to J/\psi$  [40–46],  $\psi(2S)$  [41,43,46], and  $\gamma I\!\!P \to \Upsilon(1S)$  [47–49] mesons. 442 These states are particularly interesting as they cover a regime where perturbative QCD applies 443 and serve as a probe of small Bjorken-x, the fraction of the proton momentum carried by the 444 parton (quarks and gluons) involved in the interaction. Quarkonia studies are also of particular 445 interest in searching for the odd-charge-conjugate odderon, O, as it is expected to contribute 446 to the vector-meson-CEP cross-section via an odderon-pomeron interaction  $(OIP \rightarrow X)$ . The 447 contributions of the odderon can be determined by detecting an excess of these CEP events. In 448 addition to the study of mesons, it is also possible to study the exclusive production of the  $Z^0$ 449 boson via this process [28]. 450

#### 451 2.3.3 Double-pomeron exchange

The third CEP production mechanism, double-pomeron exchange (DPE) also known as pomeronpomeron fusion, is mediated purely through QCD and is responsible for the production of  $\chi_c(1P)$  mesons. The CEP of  $\chi_c$  mesons is the main subject of this study and an introduction to the particle is detailed in Sec. 2.7 together with an exposition of previous relevant measurements of this meson. The lowest-order Feynman diagram for the double-pomeron channel for  $\chi_c$ production is illustrated in Fig. 2.8 (right).

DPE provides a versatile framework through which to study properties of a wide range of 458 SM and BSM physics. Since this channel involves the interaction of two even-charge-conjugate 459 pomerons, it is possible to study the CEP of any even-charge-conjugate particle that couples to 460 gluons. The unique dynamic constraints of CEP limit the process and allows it to behave as a 461 quantum-number filter. The spin-selection rules associated with these production constraints 462 are summarised in Sec. 2.5.3. As a result, this process allows for the study of particles with 463  $J^{CP} = 0^{++}, 1^{++}, 2^{++}, \dots$  and isospin I = 0. For example, this channel is responsible for the 464 production of  $\chi_c$  mesons, a heavy-charmonium state  $(c\bar{c})$ . It is also possible to study the CEP 465  $\chi_b$  mesons, the heavier bottomonium  $(b\bar{b})$  counterparts of  $\chi_c$  mesons, which are at an energy 466 scale where pQCD predictions might also be more reliable. 467

DPE is also a promising production mechanism for particle discovery. For example, lattice QCD predicts the lightest glueball state to be a low-mass, ~ 1700 MeV, scalar with quantum numbers 0<sup>++</sup> [23,50,51]. This makes  $f_0(1500)(0^{++})$  and  $f_0(1710)(0^{++})$  prime glueball candidates, and CEP an ideal tool for their study.

The interest of the physics community in CEP physics has been reignited thanks to its inherent 472 ability to measure the spin of the central system and its application to the measurement of the 473 spin for the Higgs boson. The CEP of the Higgs boson is mediated via the DPE [21, 22, 52-54]. For 474 example, the Higgs boson can be reconstructed through a di-jet decay,  $I\!\!P I\!\!P \to H^0 \to b[j] \bar{b}[j]$ , 475 a final state that can be produced directly through DPE,  $(I\!\!P I\!\!P \rightarrow jj)$  [29]. As a result, the 476 measurement of the cross-section for the CEP of di-jets is an important measurement with 477 which to calibrate our theoretical prediction of the CEP of the Higgs boson. A similar incentive 478 applied for the study of two-photon CEP via double-pomeron,  $(I\!\!P I\!\!P \to \gamma \gamma)$  [55], as this is one 479 of the final states used in the discovery of the Higgs boson by the CMS experiment [56]. 480

It is important to highlight that observing even a few CEP Higgs events would confirm its  $0^{++}$  nature. As result, it is essential that we conduct measurements of other resonant states produced exclusively through this mechanism, such as the CEP  $\chi_c$  mesons, in order to test our theoretical understanding of this process and guide us through these future measurements.

#### 485 2.4 CEP kinematics and dynamics

#### 486 2.4.1 Bjorken-*x* in deep inelastic scattering

In deep inelastic scattering [57], a high-energy-charged-probe particle is fired at a nucleon in order to probe the nucleon's internal structure via the exchange of a virtual gauge boson, with four-momentum q. The kinematics of deep inelastic scattering can be described in terms of a few relativistic-invariant quantities, one of which is known as Bjorken-x [58], defined by,

$$x \equiv \frac{Q^2}{2P \cdot q},\tag{2.13}$$

where  $Q^2$  is the square of the four-momentum of the gauge boson, such that

$$Q^2 = -q^2, (2.14)$$

and P is the momentum of the incoming hadron. The resolving wavelength of the virtual gauge
boson is inversely proportional to the magnitude of its four-momentum, as given by the de
Broglie relation [59],

$$\lambda = \frac{h}{p},\tag{2.15}$$

where  $\lambda$  is the wavelength associated with the particle, p is its momentum, and h is Planck's constant. At low- $Q^2$  values, the wavelength is long compared to the size of the proton. As the wavelength becomes comparable to the size of the proton, the photon begins to resolve the size of the proton. For high- $Q^2$  values, the wavelength is much shorter than the size of the proton, and is able to resolve the internal structure of the struck proton.

When Bjorken-x is plotted against the spectrum of the probe particle, the distribution has a consistent profile regardless of the collision energy. That is, the scattering process is not determined by the collision energy and therefore the resolving power of the gauge boson. This
is known as Bjorken scaling. The independence of the absolute-resolution scale suggests that
hadrons behave as a collection of point-like constituents known as partons, quarks and gluons.

#### 505 2.4.2 Bjorken-*x* in double-pomeron exchange

When the mass of the central system,  $m_X$ , is sufficiently large (*i.e.* in the high- $Q^2$  regime) the CEP mechanism via double-pomeron fusion can be successfully described by perturbative QCD (pQCD). Here, large  $m_X$  is loosely defined in relation to the QCD energy scale  $\Lambda_{\rm QCD}$  such that  $Q^2 \gg \Lambda_{\rm QCD}^2$ .

CEP calculations also involve low- $Q^2$  diffractive physics where perturbation theory breaks 510 down. The physics in this regime is associated with secondary interactions that result in 511 additional hadronic activity which breaks the CEP-double-rapidity-gap criteria, such as in 512 rescattering corrections. CEP physics in this region can be calculated with Regge theory [60,61]. 513 These soft QCD calculations have a strong sensitivity to low-x and  $Q^2$  gluon parton-distribution 514 functions (PDF), which describe the probability of a parton having a fraction, x, of the proton's 515 energy. These PDFs are extracted from data using global fits and have large uncertainties. The 516 precision of these PDFs plays a fundamental role in studies of SM physics and BSM searches at 517 hadron machines. The sensitivity of DPE to high- $Q^2$  as well as low- $Q^2$  physics makes it an ideal 518 mechanism to put perturbative and non-perturbative theoretical frameworks of QCD to test. 519

The parton model is often formulated in a frame where the proton has very high energy. This allows us to neglect the proton mass such that the centre-of-mass energy,  $\sqrt{s}$ , is given by

$$\sqrt{s} = p_1 + p_2, \tag{2.16}$$

where  $p_1$  and  $p_2$  are the momenta of the colliding protons. In this reference frame, we can also neglect the mass of the quarks and the transverse momentum of the incident partons such that the four-momenta of the partons in the hadron-hadron centre-of-mass frame are given by

$$p_a = \frac{\sqrt{s}}{2} (x_a, 0, 0, x_a)$$
 and  $p_b = \frac{\sqrt{s}}{2} (x_b, 0, 0, -x_b)$ , (2.17)

where  $x_a$  and  $x_b$  are the fraction of energy carried by the parton from proton  $p_1$  and  $p_2$ respectively. We apply this approximation to DPE. As a result we can write the square of the mass of the central system,  $m_X$ , in terms of the parton momentum such that,

$$m_X^2 = (p_a + p_b)^2 = x_a x_b s. (2.18)$$

528 It is conventional to define the unitless quantity,  $\tau$ , such that,

$$\tau = x_a x_b = m_X^2 / s. \tag{2.19}$$

529 We can write the rapidity of the central system in terms of the parton momentum fraction,

$$y = \frac{1}{2} \ln\left(\frac{E+p_z}{E-p_z}\right) = \frac{1}{2} \ln\left(\frac{E(x_a+x_b+x_a-x_b)}{E(x_1+x_b-x_a+x_b)}\right) = \frac{1}{2} \ln\left(\frac{x_a}{x_b}\right),$$
 (2.20)

where E is the energy of the central system and  $p_z$  is its longitudinal momentum. Making use of Eq. 2.18, we can write the fractional momenta in terms of the centre-of-mass energy and the central system's mass and rapidity such that,

$$x_a = \frac{m_X}{\sqrt{s}}e^{+y}$$
 and  $x_b = \frac{m_X}{\sqrt{s}}e^{-y}$ . (2.21)

The forward topology of the LHCb experiment allows us to study central systems with a 533 rapidity range 2 < y < 4.5, giving us access to two Bjorken-x regions that are not accessible 534 through zero rapidity central-barrel experiments: one at low-x and another at high-x. For 535 a central system of mass  $m_X = 3.5$  GeV (approximately the mass of a  $\chi_c$  meson) produced 536 in proton-proton (pp) collisions at a centre-of mass energy of  $\sqrt{s} = 13$  TeV, we are able to 537 access fractional momenta (Bjorken-x) of the order  $10^{-2}$  to  $10^{-3}$  and  $10^{-5}$  to  $10^{-6}$  for the case 538 when the colliding gluon belongs to the proton moving in the positive and negative direction, 539 respectively. This provides a unique opportunity to obtain important constraints for PDF 540 fits. The kinematic coverage in  $(x, Q^2)$  phase space for pp collisions at a centre-of-mass energy 541  $\sqrt{s} = 13$  TeV for the LHCb experiment is shown in Fig. 2.9, together with the coverage of 542 other experiments including ATLAS, CMS, CDF/D0, HERA, and more generally, fixed-target 543 experiments. The rapidity coverage is shown in red-dashed-diagonal lines. 544

#### 545 2.4.3 Characteristically low $p_T^2(X)$ in CEP

The CEP of mesons occurs via the *t*-channel exchange of a colourless object between the colliding protons, see Fig. 2.7 (centre). In the case of  $\chi_c$  meson production, this process occurs through a DPE. The differential cross-section of elastic *pp* scattering as a function of the four-momentum transfer squared at the proton vertex, *t*, also known as one of the three Mandelstam variables (*s*, *t*, and *u*), falls with increasing values of |t|. The four-momentum transfer squared for the *t*-channel is given by

$$t = (p_1 - p_3)^2 = (p_4 - p_2)^2, (2.22)$$

where  $p_1$  and  $p_2$  are the four momenta of the incident protons and  $p_3$  and  $p_4$  are the four momenta of the scattered protons. To a first approximation, the cross-section dependence on the four-momentum transfer in elastic interactions can be parameterised as an exponential function such that,

$$\frac{d\sigma}{dt} \propto e^{-b_{\rm CEP}|t|},\tag{2.23}$$



Figure 2.9. Kinematic coverage in  $(x, Q^2)$  phase space for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV in comparison to ATLAS, CMS, CDF/D0, HERA and fixed-target coverage. Image reproduced from [62].

for small-|t| values, |t| < 0.5 GeV/c, and where  $b_{\text{CEP}}$  is the slope of the exponential, in this case related to the CEP mechanism. Similarly, the differential cross-section for inelastic events with proton dissociation falls as |t| grows. However, it does so at a much lower rate. This is parameterised with a power-law function such that,

$$\frac{d\sigma}{dt} \propto \left(1 + \left(\frac{b_{\text{In.}}}{n}\right)|t|\right)^{-n},\tag{2.24}$$

where n is the power law's exponent. For low-|t| values, this can be approximated with an exponential that takes the same form as Eq. 2.23,

$$\frac{d\sigma}{dt} \propto e^{-b_{\rm In.}|t|},\tag{2.25}$$

where  $b_{\text{In.}}$  is the slope of the exponential, in this case related to the inelastic mechanism.

Unfortunately, |t| is not directly accessible experimentally but it can be estimated from the transverse-momentum squared of the central system, X, in the laboratory frame, such that,

$$t \approx -p_{\rm T}^2(X). \tag{2.26}$$

Therefore, although the invariant-mass distribution of  $\chi_c \to J/\psi \left[\mu^+ \mu^-\right] \gamma \left[e^+ e^-\right]$  inelastic and 565 CEP events is expected to be similar, CEP events have a characteristically lower transverse-566 momentum squared compared to their inelastic counterparts, which is a signature that can be 567 used to discriminate between signal and background. The low-momentum exchange between the 568 interacting protons, characteristic of CEP events, stems from the requirement that the protons 569 do not fragment. Therefore, the transverse-momentum squared of the object of interest, X, is 570 also small in CEP events. On the other hand, inelastic interactions result in the fragmentation 571 of one or both of the protons, which does not impose an upper constraint on the momentum 572 exchange, hence producing particles with larger  $p_{\rm T}^2(X)$  on average. 573

The low-momentum transfer constraint also manifests itself in the low-transverse momentum of the outgoing protons, which typically have  $p_T < 1$  GeV for CEP events [20]. In fact, with information about the incoming proton, a measurement of the outgoing proton's kinematics, and law of conservation of four momentum we are able to calculate the mass of the object of interest,  $m_X$ , regardless of its decay. This technique is referred to as the missing-mass method [53]. As a result, it is desirable for CEP studies to measure the kinematics of the outgoing proton with high-rapidity spectrometers.

#### 581 2.5 The Durham model

In this section, we present a summary of the formalism used to calculate the perturbative CEP 582 cross-section, known as the Durham model [20,63–69]. Theoretical predictions of the cross 583 sections for the processes relevant for this thesis are presented in Chapter 7. The lowest order 584 Feynman diagram for the QCD contribution to CEP, DPE, is shown in Fig. 2.10. As mentioned 585 previously, this occurs through the *t*-channel exchange of two gluons: a gluon that couples 586 to the central system, X, and and one that is present to ensure the colour singlet interaction 587 between the colliding partons, known as a screening gluon. Here  $p_1$  and  $p_2$  are the momenta of 588 the incoming protons,  $p_3$  and  $p_4$  are the momenta of the outgoing protons,  $q_1$  and  $q_2$  are the 589 momenta carried by each of the gluons that couples to the central system, corresponding to the 590 fractional momenta  $x_1$  and  $x_2$  associated with their respective protons, while x' is the fractional 591 momentum carried by the screening gluon. Q is the gluon-loop momentum, and  $\mu$  and  $\nu$  are 592 the Lorentz indices associated with their corresponding four-momenta vector. 593



**Figure 2.10.** Lowest-order Feynman diagrams for the DPE CEP mechanisms for  $p \oplus p \to p \oplus X \oplus p$  process in pQCD.

#### 594 2.5.1 Hard process

The parton-level amplitude, A, of the CEP DPE production mechanism described by Fig. 2.10 is given by

$$\frac{iA}{s} = \frac{8}{N_C^2 - 1} \alpha_s^2 C_F^2 \int \frac{d^2 Q_T}{Q_T^2 q_1^2 q_2^2} \overline{\mathcal{M}},$$
(2.27)

where s is the centre-of-mass energy squared,  $i = \sqrt{-1}$ ,  $N_C$  is the number of colour charges, and  $C_F$  is known as the colour factor which describes the relative weight of the vertex associated with gluon bremsstrahlung, or the radiation of a gluon from a quark line. In the case of SM QCD,  $N_C = 3$  and  $C_F = 4/3$ .  $Q_T$  is the gluon-loop transverse momentum and  $q_1$  and  $q_2$  are the momentum of the fusing gluon, while  $\alpha_s$  is the QCD running coupling. The colour-averaged, normalised sub-amplitude  $\overline{\mathcal{M}}$  for the  $gg \to X$  process is given by

$$\overline{\mathcal{M}} \equiv \frac{2}{m_X^2} \frac{1}{N_C^2 - 1} \sum_{a,b} \delta^{ab} q_{1T}^{\mu} q_{2T}^{\nu} V_{\mu\nu}^{ab}, \qquad (2.28)$$

where  $m_X$  is the mass of the central system produced in the gluon-fusion vertex,  $V^{ab}_{\mu\nu}$ , associated with the coupling of the two gluons with the central object produced  $gg \to X$ , with  $q_{1T}$  and  $q_{2T}$  being the transverse momenta of the fusing gluons, given by

$$q_{1T} = Q_T - p_{3T}$$
 and  $q_{2T} = -Q_T - p_{4T}$ , (2.29)

respectively, where  $p_{3T}$  and  $p_{4T}$  are the transverse momentum of the outgoing protons.

The integral over the momentum loop, in Eq. 2.27, diverges as  $Q_T$  approaches zero, which is known as an infrared divergence. This is corrected via higher-order virtual corrections to the leading-order process through the introduction of Sudakov form factors,  $T_g$  [70,71]. The Sudakov form factors represent the poisson probability that the gluons involved in the hard process emit non-resolvable parton radiation that might break the exclusivity requirement, and therefore the rapidity gap criteria. Assuming a fixed, strong-coupling constant  $\alpha_s$ , for simplicity, the form factor is given by

$$T_g(Q_T^2, \mu^2 = m_X^2) = \exp\left(-\frac{\alpha_s N_c}{4\pi} \ln^2\left(\frac{Q_T^2}{m_X^2}\right)\right),$$
 (2.30)

where  $\mu$  is the hard scale at which the form factor is regularised. In this case, the regularisation 614 occurs at the scale of the central system's mass,  $\mu = m_X$ .  $T_g$  goes to zero as  $Q_T$  goes to zero, 615 thus keeping the momentum-loop integral finite and allowing the CEP amplitude in the infrared 616 region to vanish. In the case of the production of  $\chi_c$  mesons  $\mu$  is approximately 3 GeV where a 617 significant part of the cross-section calculation falls under the unstable, low- $Q_T$  infrared regime. 618 As a result, corrections described in Sec. 2.5.2 are included to account for these soft processes. 619 The infrared contribution becomes less significant at higher energy scales, such as that of the 620 Higgs boson,  $\mu \simeq m_H \sim 125$  GeV [67]. 621



Figure 2.11. Pictorial representation of the replacement of a quark-level treatment,  $\alpha_s C_F/\pi$ , to a skewed, unintegrated, gluon PDF,  $f_g(x, x', Q_T^2, \mu^2)$ .

To convert the parton-level amplitude Eq. 2.27 to a hadron-level amplitude, we introduce the replacement of the coupling constant described by Fig. 2.11 as

$$\frac{\alpha_s C_F}{\pi} \to f_g(x_{1,2}, x', Q_T^2, \mu^2 = m_x^2), \qquad (2.31)$$

where  $f_g$  is known as the skewed, unintegrated gluon PDF, which describes the probability of finding a gluon with a given momentum fraction, x' is the momentum fraction carried by the screening gluon, and  $x_i$  is the momentum fraction carried by the gluon fusing to the central system. Here the term skewed refers to the fact that the momentum fraction carried by the screening gluon can differ from that of the fusing gluon where  $x' \ll x_i$  [72]. The final 629 perturbative CEP amplitude is given by,

$$T \equiv \frac{iA}{s} = \pi^2 \int \frac{d^2 \vec{Q_T} \overline{\mathcal{M}}}{\vec{Q_T}(\vec{Q_T} - p_{1T})^2 (\vec{Q_T} + \vec{p_{2T}})^2} f_g(x_q, x_1', Q_1^2, \mu^2) f_g(x_2, x_2', Q_2^2, \mu^2) F_p(t_1) F_p(t_2),$$
(2.32)

where  $F_p(t_1)$  and  $F_p(t_2)$  are the proton elastic form factors for the corresponding proton in the interaction. The *t* dependence, where *t* corresponds to the *t*-channel Mandelstam variable, of the proton form factor is not well known and is taken from experimental soft hadronic data and is found to be in agreement with CEP  $J/\psi$  studies [40, 42–46, 73]. As hinted in Sec. 2.4.3, this takes the form of  $F_p(t) = \exp(bt/2)$ . The parameter *b* is taken from fits of soft hadronic data and is found to be  $b \sim 4 \,\text{GeV}^{-2}$  [74].

#### 636 2.5.2 Soft-process corrections

The exclusivity requirement of CEP demands that the event is not accompanied by additional activity. One such source of activity comes from soft, non-perturbative secondary interactions. The Durham model considers two types of rescattering processes associated with the underlying event collectively known as *soft survival effects*, each of which measure the probability that the CEP double-rapidity-gap criteria will *survive* the scattering process.



Figure 2.12. A schematic representation of the soft survival factors necessary to correct for soft-rescattering processes that break the rapidity gap including the eikonal survival factor  $S_{\text{eik}}$  (left) and enhanced survival factor  $S_{\text{enh}}$  (right).

The first soft survival effect, known as *eikonal survival factor*,  $S_{eik}$ , considers *pp* rescattering and is depicted in Fig. 2.12 (left). Although the survival factor is a soft process that cannot be calculated using perturbative methods, it is possible to measure it from soft hadronic data, such as in single and double diffraction studies [75]. The eikonal survival factor is dependent on the centre-of-mass energy and the transverse momentum of the colliding protons. The dependence on the protons' transverse momentum implies a dependence on the central object's spin and parity, which plays a greater role in the spin selection rules discussed in detail in Sec. 2.5.3. <sup>649</sup> Ignoring the internal structure of the proton, the expected eikonal suppression is given by

$$\left\langle S_{\text{eik}}^{2} \right\rangle = \frac{\int d^{2}\vec{p}_{1T}d^{2}\vec{p}_{2T} \left| T(s,\vec{p}_{1T},\vec{p}_{2T}) + T^{\text{res}}(s,\vec{p}_{1T},\vec{p}_{2T}) \right|^{2}}{\int d^{2}\vec{p}_{1T}d^{2}\vec{p}_{2T} \left| T(s,\vec{p}_{1T},\vec{p}_{2T}) \right|^{2}},$$
(2.33)

where  $\langle ... \rangle$  denotes averaging over the proton's transverse momentum, T is the double-pomeron-CEP amplitude, and  $T^{\text{res}}$  is the pp rescattering amplitude. Although it has a large suppression effect on the CEP cross-section of about two orders of magnitude, there are significant uncertainties associated with its centre-of-mass energy dependence, highlighting the importance of putting our theoretical models to test with this and future studies. The expected suppression factors,  $\langle S_{\text{eik}}^2 \rangle$ , for  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$  at a centre-mass-energy  $\sqrt{s} = 13$  TeV are expected to be 0.029, 0.091, and 0.072, respectively [63].

The second soft survival effect considers the probability of an additional interaction between 657 one of the protons and one of the partons within the gluon loop. This is known as an *enhanced* 658 survival effect, denoted by  $S_{enh}$ , and depicted in Fig. 2.12 (right). Although the magnitude of 659 this effect is not known precisely, it depends mostly on the transverse momentum of the parton 660 and proton, as well as the available rapidity interval for rescattering, which in turn depends 661 on the centre-of-mass energy,  $y_X \sim \ln(s/m_X^2)$ . The enhanced suppression is expected to have 662 a much smaller effect then the ekional survival factor described above, being about 0.25 at a 663 centre-of-mass energy  $\sqrt{s} = 14$  TeV for all  $\chi_{c0,1,2}$  states [76]. 664

The differential cross-section for the production of a central object X at rapidity y is given by

$$\frac{d\sigma}{dy} = \left\langle S_{\rm enh}^2 \right\rangle \int d^2 \vec{p}_{1T} d^2 \vec{p}_{2T} \frac{|T(\vec{p}_{1T}, \vec{p}_{2T})^2|}{16^2 \pi^5} S_{\rm eik}^2(\vec{p}_{1T}, \vec{p}_{2T}).$$
(2.34)

The final schematic of the Durham model is shown in Fig. 2.13, including representations for the soft survival factors, and gluon PDF. The CEP DPE cross-section has a dependence on the centre-of-mass energy since we expect higher gluon densities at low Bjorken-x to increase the frequency of double pomeron interactions. However, the soft survival effects decrease with centre-of-mass energies due to the increased proton opacity, the matter density of the proton, and the increased size of the rapidity gap available for the enhanced absorption.

#### 673 2.5.3 Spin selection rules - spin filter

Due to the intact proton requirement, CEP processes satisfy special spin-selection rules that make CEP measurements sensitive to the quantum numbers of new states, particularly spin, parity, and charge conjugation [63], which are not easily accessible in diffractive and inclusive processes. While the production of states with quantum numbers  $J_z^{PC} = 1^{++}$  and  $J_z^{PC} = 2^{++}$ are possible, DPE predominantly produces central objects with  $J_z^{PC} = 0^{++}$  quantum numbers, where  $J_z$  is the projection of the objects' angular momentum on the z-axis. The origin of this selection rule is described below.



**Figure 2.13.** A schematic of the perturbative mechanism described by the Durham model for the CEP process  $p_1 \oplus p_2 \to p_1 \oplus X \oplus p_2$  through the DPE channel. Here the gluon PDF  $f_g$ , the eikional survival factor  $S_{\text{eik}}$ , and the the enhanced survival factor  $S_{\text{enh}}$  are represented symbolically.

As mentioned earlier, DPE includes the interaction of two gluon pairs, one that fuses to the central system and another known as the screening gluon that guarantees the process occurs in a colour-singlet state, even under charge conjugation (C = +1). Therefore, the object produced must also be a colour-singlet object with C = +1.

In the limit where the protons have no transverse-momentum after the interaction  $(p_{3T} =$ 685  $p_{4T} = 0$ ), there is no angular-momentum transfer between the two protons. Since the orbital-686 angular momentum of the two proton system is zero,  $L_z = 0$ , by conservation of angular 687 momentum the central object produced must also have zero angular momentum along the z-axis, 688  $J_z = 0$ . In practice, however, there will very likely be some residual momentum transfer between 689 the two colliding protons, such that the net  $p_T \neq 0$ : this will be small for central-exclusive-elastic 690 reactions, and so will be a small correction to this selection rule. The transverse momentum 691 of the fusing gluons forms part of the polarisation vectors of the on-shell  $g(\lambda_1)g(\lambda_2) \to X$ 692 process described by the sub-amplitude, Eq. 2.27. Here,  $\lambda_1$  and  $\lambda_2$  are the polarisation modes 693 of the fusing gluons associated with the incoming protons  $p_1$  and  $p_2$  respectively. For bosons of 694 momentum 695

$$p_{\mu} = (p_0, |\vec{p}| \sin \theta \cos \phi, |\vec{p}| \sin \theta \sin \phi, |\vec{p}| \cos \theta)), \qquad (2.35)$$

where  $\mu = \{0, 1, 2, 3\}$  is the Lorentz index of the four-momentum vector (index 0 corresponds to the particle energy  $p_0$ ),  $\theta$  and  $\phi$  are the azimuthal and polar angles, the polarisation vector is given by

$$\varepsilon_{\mu}^{\pm} = \frac{1}{\sqrt{2}} (0, \, \cos\theta \cos\phi \mp i \sin\phi, \, \cos\theta \sin\phi \pm i \cos\phi, \, -\sin\theta).$$
(2.36)

Here,  $\pm$  are the polarisation modes  $\lambda_i$ , with + corresponding to a right-handed (R) helicity and - corresponding to a left-handed helicity (L), and the subscript *i* maps to the proton emitting the gluon. We take the z-axis to be in the direction-of-motion of the gluons in the gg rest frame moving such that  $\theta = 0$ . In the on-shell approximation  $(q^2 = q_T^2 = 0)$ , that is a process that satisfies the Einstein energy-momentum relation  $E^2 = \vec{p}^2 + m^2$  such that the gluons have zero mass, the z-axis aligns with the beam line in the lab frame up to small corrections, order  $q_T^2/m_X^2$ . Therefore, the momenta of the gluons simplifies to

$$p_{\mu} = (p_0, 0, 0, |\vec{p}|).$$
 (2.37)

706 Similarly, the gluon polarisation vectors are

$$\varepsilon_{\mu 1}^{+} = \varepsilon_{\mu 2}^{-} = -\frac{1}{\sqrt{2}}(0, 1, i, 0)$$

$$\varepsilon_{\mu 1}^{-} = \varepsilon_{\mu 2}^{+} = \frac{1}{\sqrt{2}}(0, 1, -i, 0),$$
(2.38)

where the subscripts {1,2} map to the proton emitting the corresponding gluon. We can then use the inverse of the polarisation vectors to write the gluon transverse-momentum vectors in terms of their helicity vectors, such that

$$q_{1T}^{\lambda_1} q_{2T}^{\lambda_2} \mathcal{M}_{\lambda_1 \lambda_2} = \begin{cases} -\frac{1}{2} (\vec{q}_{1T} \cdot \vec{q}_{2T}) (\mathcal{M}_{++} + \mathcal{M}_{--}) & (J_z^P = 0^+) \\ -\frac{i}{2} |(\vec{q}_{1T} \times \vec{q}_{2T})| (\mathcal{M}_{++} - \mathcal{M}_{--}) & (J_z^P = 0^-) \\ +\frac{1}{2} ((q_{1T}^x q_{2T}^x - q_{1T}^y q_{2T}^y) + i(q_{1T}^x q_{2T}^y + q_{1T}^y q_{2T}^x)) \mathcal{M}_{-+} & (J_z^P = +2^+) \\ +\frac{1}{2} ((q_{1T}^x q_{2T}^x - q_{1T}^y q_{2T}^y) - i(q_{1T}^x q_{2T}^y + q_{1T}^y q_{2T}^x)) \mathcal{M}_{+-} & (J_z^P = -2^+), \end{cases}$$
(2.39)

where  $\mathcal{M}_{\lambda_1\lambda_2}$  are the  $g(\lambda_1)g(\lambda_2) \to X$  helicity amplitudes. The parity and angular-momentumprojection quantum numbers shown alongside follow from the possible helicity combinations. The parity eigenstates are given such that

$$\psi_{+} = RR + LL \quad (P = +1)$$
  

$$\psi_{-} = RR - LL \quad (P = -1)$$
  

$$\psi_{+} = RL, \ LR \quad (P = +1),$$
  
(2.40)

where R and L correspond to right-handed (+) and left-handed (-) helicity states. Similarly, the conditions for the  $J_z$  quantum numbers are detailed in Fig. 2.14.

According to the Landau-Yong theorem, spin-1 states cannot decay into two on-shell spin-1 massless bosons [77]. Similarly, two on-shell spin-1 massless bosons cannot fuse into a spin-1 state. We see this reflected in the on-mass-shell calculation where we are missing an  $J_z^P = 1^+$  term in Eq. 2.39, since the term is odd under  $Q_T$  and vanishes when integrating over  $Q_T$ . However, the Landau-Yang theorem is violated by off-shell virtual effects. As a result, the production of 1<sup>++</sup> states such as  $\chi_{c1}$  are not strictly forbidden but instead significantly suppressed.



Figure 2.14. Schematic of determination of angular momentum along the z-axis,  $J_z = 0$  of the project produced from the fusion of two spin-1 bosons travelling along the z-axis, where R and L corresponds to right-handed and left-handed helicity states.

In addition, when taking the  $p_T \to 0$  limit we find that all terms in Eq. 2.39 vanish after the  $Q_T$  integral, with the exception of the  $J_z^P = 0^+$  state, which results in the  $J_z^{CP} = 0^{++}$  selection rule. However, this does not mean that  $\chi_{c1}$  and  $\chi_{c2}$  mesons are not produced in CEP DPE interactions, as the production of  $J_z^{CP} = 1^{++}$  and  $J_z^{CP} = 2^{++}$  systems are still possible due to the small-momentum exchange between the protons, which violates the  $p_T \to 0$  assumption and results in small scattering angles. Consequently, CEP will produce predominantly  $J_z^{CP} = 0^{++}$ states, with  $J_z^{CP} = 1^{++}$  and  $J_z^{CP} = 2^{++}$  systems significantly suppressed.

By integrating and squaring the amplitudes  $\mathcal{M}_J$  we find rough estimates of the suppression relative to the production of  $\chi_{c0}$  such that

$$|\mathcal{M}_0|^2 : |\mathcal{M}_1|^2 : |\mathcal{M}_2|^2 \sim 1 : \frac{\langle \vec{p}_T^2 \rangle}{m_X^2} : \frac{\langle \vec{p}_T^2 \rangle^2}{\langle \vec{Q}_T^2 \rangle^2} \sim 1 : \frac{1}{49} : \frac{1}{64},$$
(2.41)

where  $\left\langle \vec{Q}_T^2 \right\rangle$  can take values of a few GeV<sup>2</sup>, and we have taken  $\left\langle \vec{Q}_T^2 \right\rangle \sim 2 \,\text{GeV}^2$ ,  $m_X = 3.5 \,\text{GeV}^2$ 730 for the approximate mass of  $\chi_{c1}$ , and  $\langle p_{\rm T}^2 \rangle \sim 0.25 \,{\rm GeV}^2$  is taken from the integral of the proton 731 form factor  $F_p(t_i)$ . These results suggest we can expect the production of the  $\chi_c$  mesons to 732 follow a specific hierarchy, where the production of  $\chi_{c1}$  and  $\chi_{c2}$  is suppressed relative to that of 733  $\chi_{c0}$ . The transverse-momentum dependence of the suppression factors can be exploited to select 734 higher-purity samples of the desired spin system. Although these results provide us with an 735 idea of the  $\chi_c$  hierarchy and how the suppression scales, these are only approximations and do 736 not take into account the soft-survival effects. The results for the full calculations are presented 737 in Chapter 7 according to SuperChic, a Fortran-based Monte Carlo generator which implements 738 the Durham model for CEP physics [78–80]. 739

#### 740 2.6 Rapidity-gap-breaking background

As mentioned previously, there are two critical requirements in CEP studies. The first demands that the central object is produced *exclusively* in the absence of any additional activity. The

#### Theoretical overview

rescond requires that the colliding protons remain intact after the interaction and continue their trajectory down the beam line. Imposing both criteria implies that there will be two largerapidity gaps between the outgoing protons and the central object. However, there are processes that mimic the CEP signature by breaking either the low-multiplicity or large-rapidity-gap criterion, without leaving a signature of the proton dissociation or additional activity within the detector acceptance. Here we introduce some of these rapidity-gap-breaking mechanisms.

Figure 2.15 depicts a series of Feynman diagrams for a number of processes considered 749 in this discussion, alongside a typical rapidity-coverage distribution for such events where the 750 forward and backward coverage of the LHCb main spectrometer is marked in green. In addition, 751 the extended rapidity coverage of the newly added HERSCHEL modules, described in Sec. 3.8, 752 is marked in blue. This sub-detector, installed for the data collection of Run 2, was designed 753 to detect particle showers at high-rapidity regions. The outgoing protons, if intact after the 754 interaction, are marked in red while the rapidity gaps present in the interaction are marked 755  $(\oplus)$  and highlighted in grey. The interactions presented are all mediated through pomeron 756 exchange, with the exception of Fig. 2.15 (c), which represents a hard scattering process. For 757 example, Fig. 2.15 (a) corresponds to CEP through a DPE channel and Fig. 2.15 is elastic 758 proton scattering mediated through the exchange of a pomeron. 759

As a first example, we examine a central-exclusive-inelastic process where a central object is 760 produced, much like in CEP, but this time it is accompanied by gluon radiation in one or both 761 directions, as shown in Fig. 2.15 (d-f). This results in additional activity at high-rapidity values, 762 approximately  $5 < \eta < 10$ , thus falling outside the acceptance of the main spectrometer and 763 therefore leaving no trace of the rapidity break. However, the resulting particles may interact 764 with other accelerator components and induce showers detected by the HERSCHEL modules. 765 The presence of such soft interactions are accounted for in the Durham model through the 766 soft-survival factors, as described in Sec. 2.5.2. 767

If the momentum exchange between the interacting protons is high enough, the dissociation 768 of one or both of the interacting protons can occur in what is respectively known as single and 769 double diffraction, shown in Fig. 2.15 (h-l). As with gluon radiation, these interactions result in 770 high-rapidity fragments that can fall outside of the acceptance of LHCb's main spectrometer 771 since they carry a large-longitudinal fraction of the initial proton's momentum. The particles 772 resulting from the proton dissociation can have a broader rapidity coverage when compared to 773 gluon radiation, spanning between zero and ten in rapidity. Therefore, these processes cannot 774 always be excluded based solely on the no extra track and rapidity-gap requirement in the 775 LHCb experiment. However, the resulting particle showers can be detected by HERSCHEL. 776

Events with proton dissociation can come in the form of central-exclusive-inelastic production where a central object is produced alongside proton dissociation, Fig. 2.15 (g-i), or without the production of a central system, Fig. 2.15 (j-l). The latter is characterised by a single large-rapidity gap, which implies an empty detector with signal on either of the HERSCHEL



Figure 2.15. Feynman diagrams and pseudorapidity coverage depiction for (a) CEP (elastic), (b) proton scattering, (c) hard scattering, (d) CEP (inelastic) / gluon radiation (d, e, f), CEP (inelastic) / single (g, h) and double (i) proton dissociation, single (j, k) and double (l) diffraction for double pomeron exchange.

**Table 2.1.** Nominal-mass values for  $\chi_c$  mesons, as given by the Particle Data Group [81] as well as  $(J^{CP})$  quantum numbers, where I is the isospin, J is the spin, P is the parity and C is the charge-conjugation quantum number, their radiative-decay branching fractions ( $\mathcal{B}$ ) into  $\chi_c \to J/\psi \gamma$  and their corresponding Q-values.

Meson	${ m m}(\chi_c) \; [{ m MeV}/c^2]$	Width	$I(J^{CP})$	${\cal B}(\chi_c  o J/\psi  \gamma)$	$m(\chi_c) - m(J\!/\!\psi) \;[{ m MeV}\!/c^2]$
$\chi_{c0}$	$3414.75 \pm 0.31$	$10.8\pm0.6$	$0 (0^{++})$	$1.27 \pm 0.06~\%$	$317.85\pm0.31$
$\chi_{c1}$	$3519.66 \pm 0.07$	$0.84\pm0.04$	$0 (1^{++})$	$33.9 \pm 1.2~\%$	$413.76\pm0.07$
$\chi_{c2}$	$3556.20 \pm 0.09$	$1.97\pm0.09$	$0 (2^{++})$	$19.3 \pm 0.7~\%$	$459.30 \pm 0.09$

modules. Although these events would not be selected directly due to the lack of the central
system, they may be selected alongside an elastic CEP event in the presence of pile-up events.
As a result, we might wrongly veto an elastic CEP event due to the dissociation of the secondary
event. Similarly, elastic CEP events might be wrongly vetoed if accompanied by any secondary
pile-up events that leave a signal in the main spectrometer.

#### 786 2.7 $\chi_c$ meson

The  $\chi_c(1P)$  particles are unstable-hadronic particles known as a meson, which are composed of a quark-antiquark pair. The  $\chi_c(1P)$  mesons belong to a subset of meson states known as quarkonium, a special case where the quark and antiquark have the same flavour  $(q\bar{q})$ . In particular,  $\chi_c(1P)$  mesons are composed of a charm-anticharm quark pair  $(c\bar{c})$  and are referred to as charmonium. These characteristics make quarkonium mesons neutral as well as its own anti-particle.

There are three  $\chi_c(1P)$  mesons, known as  $\chi_{c0}(1P)$ ,  $\chi_{c1}(1P)$ , and  $\chi_{c2}(1P)$ , which are close in invariant mass to one another: their masses are  $3414.75\pm0.31$ ,  $3519.66\pm0.07$ , and  $3556.20\pm0.09$ MeV/ $c^2$  respectively, as given by the Particle Data Group [81]. The widths are  $10.8\pm0.6$ ,  $0.84\pm0.04$ , and  $1.97\pm0.09$  MeV/ $c^2$ , respectively. In the following, we will refer to these states as  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$ , and collectively as  $\chi_c$  mesons. The nominal values for the invariant mass of the  $\chi_c$  mesons are summarised in Table 2.1 together with their quantum numbers, the branching fractions of their radiative decay  $\chi_c \to J/\psi\gamma$ , and the  $\chi_c$  and  $J/\psi$  mass difference.

#### 800 2.7.1 Quantum numbers

The quarks that make up the  $\chi_c$  mesons are fermions and have an intrinsic spin of S = 1/2. The 801 spins can either be unaligned, forming a spin-0 singlet (*i.e.* vector-length zero with a single-spin (i - i)) 802 projection  $S_z = 0$  or the spins can be aligned, resulting in a spin-1 triplet (*i.e.* vector-length one 803 with three possible spin projections,  $S_z = -1, 0, 1$ ). The  $\chi_c$  mesons are an example of a spin-1 804 triplet. In addition,  $\chi_c$  mesons have an orbital-angular-momentum quantum number L = 1, 805 which is associated with the angular momentum of their gluon composition. The quantum-806 number combination of S = 1 and L = 1 makes the  $\chi_c$  mesons a set of three (1P) excited-orbital 807 eigenstates of the  $c\bar{c}$  system in the QCD potential. These three states are analogous to the 808
three configurations of the *p*-atomic-electron orbitals. The intrinsic-angular momentum and the orbital-angular momentum can be combined into the total-angular-momentum quantum number J such that it takes any value from J = |L - S| to J = |L + S| in increments of one, inclusive. As a result,  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$  mesons have a total-angular-momentum quantum number J = 0, J = 1, and J = 2, respectively.

The parity of the  $\chi_c$  mesons, a symmetry associated with the sign change of spacial 814 coordinates, is given by  $P = (-1)^{L+1} = +1$  (*P*-even). The charge-conjugate quantum number, 815 a symmetry under the exchange of quantum charges, is C = +1 (C-even) such that  $|c\bar{c}\rangle = |\bar{c}c\rangle$ . 816 Quarkonium systems are also known as flavourless states, since all their quantum numbers 817 associated with flavour are zero (strangeness S = 0, charm C = 0, bottomness B = 0, and 818 topness T = 0, which have an isospin I = 0 as they have no u-quark or d-quark content. By 819 convention, the notation  $I(J^{CP})$  is used to summarise the quantum number of states, as detailed 820 in Table 2.1. Mesons with  $J^P = 0^+$ ,  $1^+$  and  $2^+$  such as  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$  are known as scalar 821 mesons, pseudovector mesons, and tensor mesons respectively. 822

# $_{^{823}}$ 2.7.2 $\chi_c$ states as a CEP standard candle

As mentioned previously, CEP is a theoretically challenging process sensitive to non-perturbative 824 soft effects, higher-order corrections, and PDF uncertainties. Therefore, it is essential to test our 825 theoretical models, to set a benchmark for new searches and measurements of more exotic systems, 826 and establish this field of study at the LHCb experiment. Naturally, low-mass objects have the 827 largest cross-sections and are easier to access experimentally. In addition, the contribution of 828 soft corrections becomes less significant and the use of perturbative QCD becomes justified when 829 the hard scale is sufficiently high,  $\mu \sim m_X >> Q_T$ , and the calculations become infrared stable. 830 The hard-scale for  $\chi_c$  mesons is approximately  $\mu = m_{\chi_c} \sim 3.5 \,\text{GeV}$  and sits at the border of the 831 perturbative limit. In addition, the different  $\chi_c$  states give us access to different  $J_z^P$  states and, 832 as a result, the angular distributions of the forward protons and information about the violation 833 of the  $J_z = 0$  spin selection rule. This makes the  $\chi_c$  meson an ideal candidate against which to 834 test our theoretical models for the DPE CEP channel, and consequently is often regarded as 835 the standard candle for this mechanism. 836

### $_{837}$ 2.7.3 $\chi_c$ radiative decays

The  $J/\psi(1S)$  particle is a neutrally charged  $c\bar{c}$  vector meson, making it the second lightest 838 charmonium state after the  $\eta_c$  meson. The  $J/\psi$  meson was simultaneously discovered at 839 SLAC and Brookhaven National Laboratories, granting it its unique two-part name. It has a 840  $3096.900 \pm 0.006 \,\mathrm{MeV}/c^2$  mass, as given by the Particle Data Group [81], isospin I = 0, a total 841 angular momentum J = 1, odd parity P = -1, and odd charge conjugation C = -1, such that 842  $I(J^{CP}) = 0(1^{--})$ . It can decay through either annihilation, or weakly through flavour-changing 843 interactions. As a result, the  $J/\psi$  meson is a relatively long-lived particle with a narrow width 844 of  $92.9 \pm 2.8 \,\mathrm{keV}/c^2$  [81]. 845

Of particular interest to this analysis is the decay of the  $J/\psi$  meson into a pair of muons 846 which have a branching fraction of  $5.961 \pm 0.0033$ . The CEP of  $J/\psi$  mesons has been studied 847 at LHCb through this decay mode together with its excited state  $\psi(2S)$  [42,43].  $\psi(2S)$  is 848 another quarkonium state that shares the same quantum numbers as  $J/\psi$ , has an invariant 849 mass of  $3686.10 \pm 0.06$  MeV/ $c^2$  and a width of  $294 \pm 8$  MeV/ $c^2$ . Although  $J/\psi$  mesons can also 850 decay into an electron pair with a similar branching fraction,  $(5.971 \pm 0.032)\%$ , the penetrating 851 power of muons makes them easier to identify, they have low background, and tend to be better 852 measured than their electron counterparts as they are less susceptible to energy loss through 853 bremsstrahlung radiation. 854

 $\chi_c$  mesons can decay to a  $J/\psi$  meson through radiative decay,  $\chi_c \to J/\psi \gamma$ . The branching fractions for  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$  are  $1.27 \pm 0.06\%$ ,  $33.9 \pm 1.2\%$ , and  $19.3 \pm 0.7\%$  [82]. Given the experience with the study of  $J/\psi$  mesons through the dimuon decay, as well as the benefits listed above, this study will focus on the reconstruction of the intermediate  $J/\psi$  meson through the dimuon decay. In addition, the well-understood CEP and inelastic production can be easily used to measure the efficiency of the HERSCHEL detector as described in Sec. 5.6.

Unfortunately, as it will be shown in Sec. 4.1.3, the invariant-mass resolution in LHCb of  $\chi_c \to J/\psi \, [\mu^+ \mu^-] \gamma$  mesons reconstructed with calorimetric photons (*i.e.* photons that have not undergone pair production,  $\gamma \to e^+ e^-$ ) is inadequate to cleanly resolve  $\chi_{c1}$  and  $\chi_{c2}$  states, which have means separated by approximately 50 MeV/ $c^2$ . However, separation can be achieved through the use of converted photons,  $\gamma \to e^+ e^-$ . This improves the invariant-mass resolution of the  $\chi_c$  mesons significantly, making the separation of the resonances possible. As a result, this study will focus on the following decay:

$$\chi_c \to J/\psi \,[\mu^+ \mu^-] \gamma [e^+ e^-]. \tag{2.42}$$

#### 868 2.8 Previous measurements

#### 869 2.8.1 CDF II at Tevatron

The CEP of  $\chi_c$  mesons was first observed in the Collider Detector at Fermilab II (CDF II) 870 detector at the Tevatron, a proton-antiproton collider [41,67,83]. CDF was a general-purpose 871 detector with a wide rapidity coverage. The central barrel had a pseudorapidity coverage of 872  $|\eta| < 5.1$ . In addition, CDF was equipped with scintillating pads along the beam line on both 873 sides of the interaction point, extending the coverage to include 5.4  $< |\eta| < 7.4$ . These pads 874 were designed to detect particle showers at high rapidities originating from proton dissociation. 875 Finally, one side of the detector was equipped with a proton tagger, allowing for the direct 876 detection of one of the emerging protons. The large rapidity coverage, and the ability to identify 877 proton fragmentation, made CDF an excellent experiment with which to study CEP. 878

The CEP of  $\chi_c$  mesons was studied in proton-antiproton collisions at centre-of-mass energies  $\sqrt{s} = 1.96$  TeV in the  $|\eta| < 0.6$  pseudorapidity region through its radiative decay into  $J/\psi\gamma$ .

This subset of the central barrel is instrumented with a tracking system and a drift chamber 881 used to measure the muons from the  $J/\psi \rightarrow \mu^+\mu^-$  decay. The cross-section was measured 882 with an effective integrated luminosity of  $\mathcal{L}_{\text{eff}} = 139 \pm 8 \,\mathrm{pb}^{-1}$ , accounting for the percentage of 883 bunch crossing with more than one interaction. Unfortunately, the invariant-mass resolution 884 was not high enough to resolve the contributions of each of the  $\chi_c$  states. The contributions 885 of  $\chi_{c1}$  and  $\chi_{c2}$  were taken to be negligible due to the suppression associated with the  $J_z = 0$ 886 spin-selection rule described in Chapter 3. Taking  $\chi_{c0}$  as the only contribution, the cross-section 887 was measured to be  $\frac{d\sigma}{du}|_{y=0}(\chi_{c0}) = 76 \pm 10 \pm 10 \,\mathrm{nb}$ , where the first uncertainty is statistical 888 and the second is systematic. These results were found to be in agreement with an adjusted 889 theoretical prediction of 90 nb, as described in [84]. The measured cross-section and theoretical 890 predictions are detailed in Table 2.2 together with other results. 891

## 892 2.8.2 LHCb at CERN

Two preliminary CEP  $\chi_c$  analyses were performed at the LHCb experiment at CERN: one with pp data collected during 2010 and another with pp data collected during 2011. The LHCb detector, described in great detail in Chapter 3, is a single-arm forward spectrometer fully instrumented in the pseudorapidity range  $2 < \eta < 5$ . In 2015, LHCb was equipped with a set of scintillating modules that are sensitive to high-rapidity showers from particles originating in proton dissociation, extending the rapidity coverage of LHCb up to  $\eta < 10$ .

The first  $\chi_c \to J/\psi \, [\mu^+ \mu^-] \gamma$  analysis at LHCb was performed using pp collisions at a centre-899 of-mass energy  $\sqrt{s} = 7$  TeV collected during 2010, exploiting a total integrated luminosity of 900  $37 \text{ pb}^{-1}$  [85]. For this analysis, the photon and the dimuon from the  $J/\psi$  decay were required 901 to be within the acceptance of LHCb's main spectrometer  $2 < \eta < 4.5$ . In this case, the mass 902 resolution is sufficiently good to distinguish the contributions of each  $\chi_c$  state but not good 903 enough to obtain full resonant separation. The invariant-mass distribution of the  $J/\psi \gamma$  system, 904 shown in Fig. 2.16, is fitted using shapes extracted from SuperChic Monte Carlo. An additional 905 shape (yellow) is added to account for background from  $\psi(2S)$  feed-down, simulated using 906 StarLight [86,87], a Monte Carlo simulator specialised in ultra-peripheral collisions mediated via 907 two-photon or photonuclear interactions. A single exclusive purity of  $0.39 \pm 0.13$  is calculated 908 for the entire sample by fitting the transverse momentum of the  $\chi_c$  candidates, thus taking 909 advantage of the lower transverse momentum of CEP compared to inelastic processes. The 910 cross-section-times-branching-fraction is determined to be  $(9.3 \pm 4.5)$  pb,  $(16.4 \pm 7.1$  pb, and 911  $(28.0 \pm 12.3)$  pb for  $\chi_{c0}$ ,  $\chi_{c1}$  and  $\chi_{c2}$ , respectively. These are slightly higher than the SuperChic 912 calculations of 4 pb, 10 pb, and 3 pb quoted in the study, which have an uncertainty factor of 4 913 to 5 [67]. 914

A second preliminary analysis was performed at LHCb, which repeated the previously mentioned measurement with a larger statistical sample corresponding to a total effective integrated luminosity of 222.3 pb<sup>-1</sup>, collected during 2011 for *pp* collisions at a centre-of-mass energy of  $\sqrt{s} = 7$  TeV [88]. The analysis was performed with the same acceptance criteria. This analysis measured a cross-section-times-branching-fraction of  $(2.2 \pm 3.0)$  pb,  $(4.3^{+7.6}_{-9.2})$  pb, and

**Table 2.2.** Cross-section measurements for  $\chi_c \to J/\psi \, [\mu^+ \mu^-] \gamma$  CEP conducted in CDF II at Fermilab Tevatron at a centre-of-mass energy  $\sqrt{s} = 1.96$  TeV in proton-antiproton [41,67,83] and cross-section-×-branching-fraction at the LHCb experiment with a centre-of-mass energy  $\sqrt{s} = 7$  TeV for pp collisions collected during 2010 [85] and 2011 [88], as well as theoretical predictions calculated with SuperChic (SC) quoted in each of the analysis [67].

Meson	$\sqrt{s}$ [ TeV ]	η	$\sigma(\chi_{c0})$	$\sigma(\chi_{c1})$	$\sigma(\chi_{c2})$
CDF II	1.96	-0.6 - 0.6	$76 \pm 10 \pm 10$ nb	-	-
50	1.90	-0.6 - 0.6	90 nb	-	-
Meson	$\sqrt{s}$ [ TeV ]	η	${\cal B} imes \sigma(\chi_{c0})$	${\cal B} imes \sigma(\chi_{c1})$	${\cal B} imes \sigma(\chi_{c2})$
LHCb 2010	7	2 - 4.5	$9.3 \pm 4.5 \text{ pb}$	$16.4\pm7.1~\rm{pb}$	$28.0\pm12.3~\rm{pb}$
LHCb $2011$	7	2 - 4.5	$2.2\pm3.0\mathrm{pb}$	$4.3^{+7.1}_{-9.2}$ pb	$25.0^{+7.9}_{-9.2}$ pb
$\mathbf{SC}$	7	2 - 4.5	14 pb	$9.8  \mathrm{pb}$	3.3 pb

 $(25.0^{+7.1}_{-9.2})$  pb for  $\chi_{c0}$ ,  $\chi_{c1}$  and  $\chi_{c2}$ , respectively: fitting the transverse momentum of the dimuon 920 system, a purity of  $23.8 \pm 3.3\%$  is found. Both sets of results suggest there is an enhancement 921 of the  $\chi_{c2}$  relative to the production of  $\chi_{c1}$ , in contrast to the expected theoretical hierarchy. 922 However, the cross-section measurements of  $\chi_{c2}$  are consistent with the theoretical calculations 923 given the large uncertainties. This enhancement can result from the difficulties associated with 924 the invariant-mass and transverse-momentum fits necessary to determine the contribution of 925 the inelastic background and the CEP signal. The experimental and theoretical results for these 926 two analyses are summarised in Table 2.2. 927



Figure 2.16. Invariant-mass distribution of  $\chi_c \to J/\psi \, [\mu^+ \mu^-] \gamma$  candidates from pp collisions at a centre-of-mass energy  $\sqrt{s} = 7 \text{ TeV}$  from data collected in 2010 (left) and 2011 (right) at the LHCb experiment. Reproduced from Ref. [85] and Ref. [88], respectively.

# CHAPTER 3

# LHCb detector

Situated on the Franco-Swiss border at the European Organisation for Nuclear Research 931 (CERN), the Large Hadron Collider (LHC) [89] is the world's largest and most powerful particle 932 accelerator, designed to collide protons, pp, at a centre-of-mass energy of  $\sqrt{s} = 14$  TeV and 933 luminosity of  $1 \times 10^{34} \,\mathrm{cm}^{-2} \,\mathrm{s}^{-1}$ . Protons from ionised hydrogen are accelerated through multiple 934 stages of CERN's accelerator complex, a schematic of which is shown in Fig. 3.1. In the final 935 stage of the acceleration the protons are split into two counter-rotating beams and injected into 936 the LHC at an energy of 450 GeV where they are accelerated to the desired collision energy 937 by eight 400 MHz superconducting-radiofrequency cavities cooled to 4.5 K with superfluid 938 helium. To achieve high-luminosity conditions, up to 2808 proton bunches are injected into 939 the LHC storage rings each with  $\sim 1.2 \times 10^{11}$  protons. The beams are steered around the 940 26.7 km ring with 1232 superconducting copper-clad niobium-titanium dipole magnets and 941 focused with 392 quadrupoles. The beams are crossed at four interaction points where the 942 major LHC experiments are located: A Toroidal LHC ApparatuS (ATLAS), Compact Muon 943 Solenoid (CMS), A Large Ion Collider Experiment (ALICE), and the Large Hadron Collider 944 beauty experiment (LHCb). 945

So far the LHC has had two main periods of operation: Run 1 and Run 2. During Run 1, which took place between 2010 and 2012 collisions occurred at centre-of-mass energies of  $\sqrt{s} = 7$  and 8 TeV. In Run 2, which took place between 2015 and 2018, the collision energy was  $\sqrt{s} = 13$  TeV.

950 3.1 LHCb Experiment

928 929

930

LHCb [91,92] is a single-arm forward spectrometer designed to study heavy-flavour physics 951 through the decay of beauty and charm hadrons. A schematic of LHCb is shown in Fig. 3.4. 952 LHCb adopts a right-handed coordinate system centred on the nominal-interaction point where 953 the positive z-axis points down the beam-line, in the direction of the spectrometer, and the y-axis 954 points upward. In proton collisions b quarks are dominantly produced via gluon fusion. It is 955 stochastically favourable for these interacting gluons to have asymmetric momentum. Therefore, 956 the  $b\bar{b}$  pair is boosted along the beam-line. As a result, the main spectrometer is built in a 957 forward direction with a pseudorapidity coverage of  $2 < \eta < 5$ . This allows 25% of the  $b\bar{b}$  quark 958 pairs produced fall within the acceptance of LHCb. Figure 3.2 shows the realtive production 959



Figure 3.1. Schematic diagram of CERN's accelerator complex. Reproduced from Ref. [90]

cross-section of the produced *bb* pairs as a function of the polar angle of each quark, where the coverage of the LHCb experiment is highlighted in red.

The LHCb main spectrometer is equipped with a number of sub-detectors including the 962 VErtex LOcator (VELO), two Ring Imaging CHerenkov detectors (RICH1 and RICH2), the 963 Tracker Turicensis (TT), the dipole magnet, the inner and outer tracking stations (T1-T3), 964 the Scintillating Pad Detector (SPD), the PreShower (PS), the Electromagnetic CALorimeter 965 (ECAL), the Hadronic CALorimeter (HCAL), and the muon stations (M1-M5) [91,95]. The 966 sub-detectors are discussed briefly in the following sections. In addition, the HeRsChel detector, 967 a set of forward-scintillating counters designed to increase the coverage of the LHCb experiment, 968 was installed in 2015. This detector will be described in detail in Sec. 3.8. 969

During the first period of operation (Run 1), from 2010 to the end of 2012, LHCb collected 1.1 fb<sup>-1</sup> of proton-proton (*pp*) collisions at  $\sqrt{s} = 7$  TeV and 2.1 fb<sup>-1</sup> at  $\sqrt{s} = 8$  TeV. During the second period of operation (Run 2), from 2015 to the end of 2018, LHCb collected 5.9 fb<sup>-1</sup> of *pp* collisions at  $\sqrt{s} = 13$  TeV. The LHCb integrated luminosity for *pp* collisions is summarised in Fig. 3.3. The analysis presented in this thesis uses 2015 to 2017 data corresponding to 3.7 fb<sup>-1</sup> of integrated luminosity. This data set is chosen for the capabilities provided by the newly installed HERSCHEL system.



Figure 3.2. Simulation of the relative cross-section of  $b\bar{b}$  pair production in pp collisions at  $\sqrt{s} = 8 \text{ TeV}$  as a function of the angle between the quark trajectories and the z-axis,  $\theta_1$  and  $\theta_2$ . The LHCb acceptance is highlighted in red. Reproduced from Ref. [93].





Figure 3.3. LHCb integrated luminosity for *pp* collisions for 2010 to 2018 runs. Reproduced from Ref. [94].



977

Figure 3.4. Vertical cross-section schematic of LHCb experiment. Reproduced from Ref. [92].

# 978 3.2 Vertex Locator (VELO)

The VELO is the detector closest to the *pp* interaction point [96,97]. It uses 21 semicircularsilicon-strip stations distributed along the z-axis to measure the coordinates of energy deposits

<sup>981</sup> left by charged particles in order to reconstruct their associated tracks, and from these their

production and decay vertices. Figure 3.5 shows the layout of the VELO for, that makes clear

the positioning of modules relative to the nominal interaction point.



Figure 3.5. Schematic showing the cross-section of the VELO sub-detector and the pile-up system along the y = 0 plane showing the position of the silicon-strip modules along the z-axis. The R sensors are depicted in red and  $\phi$  sensors are depicted in blue. Reproduced from Ref. [91].

983

Each of the modules consists of two sensors, as shown in Fig. 3.6, one with a concentric pattern used to measure the radial distance from the beam (R sensor) and one with a radial pattern designed to measure the azimuthal angle ( $\phi$  sensor) of the track. The VELO provides a forward ( $\eta > 0$ ) coverage of 1.6 <  $\eta$  < 4.9 and a backward ( $\eta < 0$ ) coverage of  $-3.5 < \eta < -1.5$ in pseudorapidity.

<sup>989</sup> *B* mesons, have a typical flight distance of  $\mathcal{O}(10)$  mm. Thus, LHCb needs to be able to <sup>990</sup> resolve secondary vertices with high accuracy. To achieve this, the VELO sensors are placed <sup>991</sup> 8.2 mm away from the beam-line during data collection. The VELO is capable of tracking <sup>992</sup> particles with a 10 µm resolution in the perpendicular direction to the beam-line and 50 µm in <sup>993</sup> the parallel direction. The primary vertex resolution as a function of number of tracks, and the <sup>994</sup> impact parameter as a function of  $1/p_T$ , is shown in Fig. 3.7 for the x-axis and y-axis. Here the <sup>995</sup> impact parameter is defined as the distance between the track and its associated primary vertex.

The silicon sensors are placed inside a 0.3 mm thick vacuum-aluminium enclosure, known as the Radio Frequency foil (RF foil). This provides shielding against RF pick-up from the beams while protecting the beams' vacuum from VELO outgassing. The foil is corrugated to allow the sensors to overlap, eliminating inactive detector gaps. To minimise radiation damage, the vertex modules are retracted to a position 35 mm away from the beam during injection and periods of unstable beam and kept at -30 °C with liquid CO<sub>2</sub>.



Figure 3.6. Schematic showing the silicon-strip modules in their closed (left) and open position (right). The R sensors are depicted in red and  $\phi$  sensors are depicted in blue. Reproduced from Ref. [91].



Figure 3.7. Primary vertex resolution as a function of track multiplicity (left) for the x-axis (red) and y-axis (blue) for 2012 data. Impact parameter resolution as a function of  $1/p_T$  for 2012 data (data) and Monte Carlo (red). Reproduced from Ref. [95].

In addition to the 21 stations that compose the main VELO detector, there are two modules upstream of the VELO equipped only with R sensors that constitute the pile-up (PU) system which is used to detect backwards tracks and estimate the number of interactions per bunch crossing. These upstream stations are used to reject backwards tracks during the selection of CEP candidates.

#### 1007 3.3 Dipole magnet

LHCb employs a dipole magnet [98] to curve charged particles in the horizontal plane to allow for the measurement of momentum from each track's radius of curvature. The magnet is made from two water-cooled, non-superconducting, aluminium coils shaped as a trapezoid. The



<sup>1011</sup> magnet is aligned with the acceptance of the spectrometer and held in this wedge configuration by a 1600 tonne window-frame iron yoke, see Fig. 3.8.

Figure 3.8. Perspective upstream view of the dipole magnet (grey) and yoke (blue). Reproduced from Ref. [98].

1012

The magnet's integrated field is 4 Tm for a 10 m track. To improve the performance of 1013 the tracking stations, both immediately before and after the magnet, the dipole is aligned with 1014 the spectrometer with a precision of 1 mm and the magnetic field is known with a precision of 1015  $1 \times 10^{-4}$ . The performance of the VELO and RICH detectors is sensitive to external magnetic 1016 fields. Straight tracks in the VELO allow for better vertex reconstruction and event triggering. 1017 Similarly, the image in the RICH photon detectors are distorted by the presence of a magnetic 1018 field. For this reason, not only do both detectors have magnetic shielding but the magnet is 1019 designed such that the magnetic field is minimal where these detectors are instrumented and 1020 stronger near the tracking stations, see Fig. 3.9. 1021

The *CP*-violation studies at the LHCb experiment are sensitive to systematic biases from charge asymmetries in the detector. Since positive and negative particles bend in opposite directions within a magnetic field, the polarity of the magnet is periodically inverted to reduce any detector biases.

# 1026 3.4 Tracking system

The LHCb tracking system relies on the VELO, the Tracker Turicensis (TT), dipole magnet and Tracking stations (T1–T3) to map the spatial trajectory of charged particles and measure their momentum.



Figure 3.9. The y-component of the dipole-magnetic field as a function of distance along the z-axis of the detector. Reproduced from Ref. [95].

# 1030 3.4.1 Tracker Turicensis

<sup>1031</sup> Located immediately before the dipole magnet, the TT is composed of four layers of silicon <sup>1032</sup> micro-strip sensors with a single-hit spatial resolution of  $50 \,\mu\text{m}$  that spans the acceptance of the <sup>1033</sup> spectrometer. The two inner layers are offset by  $-5^{\circ}$  and  $+5^{\circ}$  relative to the vertical along the <sup>1034</sup> x-y plane to provide a *stereo angle* to give some sensitivity to the y-coordinate. A schematic <sup>1034</sup> of the third station is shown in Fig. 3.10 (left) illustrating this angular shift. The detector



Figure 3.10. Schematic of the third layer of the TT (left). The readout electronics are highlighted in blue, the L sector in brown, the M sector in beige, and the K sector in yellow. A close up schematic of a single TT module (right). Reproduced from Ref. [91].

1035

layers are bifurcated horizontally, and composed of seventeen modules with seven silicon sensors
each. The modules closest to the beam-line are separated into three sectors to accommodate
for high-track densities, sector L, M, and K. All other modules are separated into two sectors
only, sectors L and M. The readout electronics are located at the end of each of the modules.

Fig. 3.10 (right) shows the schematic of a module located close to the beam-line. To reduce inactive areas the modules are staggered with an overlap of one centimetre.

#### 1042 3.4.2 Tracking stations

Three Tracking stations (T1-T3) are located immediately after the dipole magnet. To account 1043 for the higher track density near the beam-line, each of these stations is separated into an Inner 1044 Tracker (IT) instrumented with the same crossed-silicon micro strips as the TT, and an Outer 1045 Tracker (OT) instrumented with drift-tube detectors. Each station is composed of four layers 1046 with the two internal layers rotated by  $-5^{\circ}$  and  $+5^{\circ}$  with respect to the vertical axis, and 1047 overlapping adjacent modules by 4 mm in the z direction and 3 mm in the x direction. Similar 1048 to the TT, this increasing the sensitivity of the detector in the y-coordinate. The layout of one 1049 of the tracking stations is shown in Fig. 3.11 (left) together with a close up of the IT (left) and 1050 the Inner Tracker (right). Reproduced from Ref. [99]. 1051



Figure 3.11. Schematic of the Outer Tracker (left) and close up of the Inner Tracker (right). Reproduced from Ref. [91].

Each module of the OT is composed of two layers of the drift-tube detectors filled with a gas mixture composed of 70% argon, 28.5% carbon dioxide and 1.5% oxygen. The OT shares the same four-layer arrangement as the IT, has a drift-coordinate resolution of  $200 \,\mu\text{m}$ , and a momentum resolution of  $\delta p/p \approx 0.4\%$ . The track reconstruction procedure is discussed further in Sec. 3.10.1.

## 1057 3.5 Particle identification

Particle identification (PID) is fundamental for the study of physics involving hadronic decays.
Two Ring-Imaging CHerenkov detectors (RICH1 and RICH2) [100] provide charged-particle

identification, mainly used to discriminate between kaon, pion, and proton candidates. When a particle passes through a material with refractive index n > 1, also known as a radiator, at a velocity (v) greater than the phase velocity of light in the material, it emits a cone of photons known as Cherenkov radiation. These detectors measure the angle of emission of Cherenkov photons ( $\theta_c$ ) defined in Fig. 3.12. The velocity of the particle can then be determined by

$$v = \frac{c}{n\cos(\theta_c)} , \qquad (3.1)$$

where c is the speed of light in vacuum. The velocity is then combined with the momentum measurement extracted from the radius of curvature of the tracks to determine the mass hypothesis of the particle.



Figure 3.12. The geometry of Cherenkov radiation. The red arrow depicts the velocity of the particle and the blue rings depict the formation of the Cherenkov cone.

Both RICH1 and RICH2 use concave mirrors to focus the Cherenkov rings onto a flat mirror that reflects them onto two layers of Hybrid Photon Detectors (HPD) on either side of the opening window. The HPD are sensitive in the 200 to 600 nm wavelength and enclosed in mu-metalt to reduce magnetic-field distortions. RICH1 is built in a vertical configuration while RICH2 is built in a horizontal configuration, as indicated in Fig. 3.13.

Three different radiator materials are used to provide mass-hypothesis discrimination capa-1073 bilities across a wide momentum range. Located before the magnet, RICH1 is equipped with an 1074 aerogel and  $C_4F_{10}$  radiators resulting in optimal performance in the 1-60 GeV/c momentum 1075 range. The aerogel radiator, however, was removed before the start of Run 2, a change that only 1076 had consequences for very low momentum PID. Since low-momentum particles tend to have 1077 larger polar angles, RICH1 has the full coverage of the LHCb acceptance. Conversely, RICH2 is 1078 located after the bending magnet and uses a  $CF_4$  radiator optimised for the  $50 - 100 \, \text{GeV}/c$ 1079 momentum range and has an acceptance of  $\pm 25 \,\mathrm{mrad}$  to  $\pm 300 \,\mathrm{mrad}$  in the horizontal and 1080  $\pm 2500 \,\mathrm{mrad}$  in the vertical. 1081



Figure 3.13. Side schematics view of RICH1 (left) and top schematic view of RICH2 (right). Reproduced from Ref. [91].

Figure 3.14 shows the calculated Cherenkov angle as a function of momentum for the different radiating materials used at LHCb (left) as well as the reconstructed distribution for the  $C_4F_{10}$ radiator in RICH1 (right).



**Figure 3.14.** Calculated Cherenkov angle as a function of momentum for different RICH radiators: Aerogel,  $C_4F_{10}$ , and  $CF_4$  (left). Reconstructed Cherenkov angle for isolated tracks in RICH1  $C_4F_{10}$  radiator (right). The bands are shown for muons ( $\mu$ ), pions ( $\pi$ ), kaons (K), protons (p), and electrons (e). Reproduced from Ref. [91] and Ref. [95] respectively.

#### 1085 3.6 Calorimetry

The calorimetry system [101] of LHCb plays an important role in the identification and re-1086 construction of electrons, photons, and neutral pions  $(\pi^0)$ . In addition, it provides occupancy 1087 and transverse energy  $(E_{\rm T})$  information that allows hadrons, electrons, and photons to fire the 1088 hardware trigger. This is covered in greater detail in Sec. 3.9.1. Starting from the position closest 1089 to the interaction point, the calorimeter system consists of the Scintillating Pad Detector (SPD), 1090 the Pre-Shower (PS), the Electromagnetic Calorimeter (ECAL), and the Hadronic Calorimeter 1091 (HCAL). The calorimeters are segmented into three regions in the case of the SPD, PS, and 1092 ECAL, and two regions in the case of the HCAL with higher granularity towards the beam-line 1093 to compensate for two orders of magnitude variation in occupancy along the active plane of the 1094 detector. Figure 3.15 illustrates the layout of the SPD/PS and the HCAL. 1095



Figure 3.15. Schematic of the SPD (left) and HCAL (right). Each colour region represents a different cell side. The layout of the PS and ECAL are the same as the SPD but scale accordingly to maintain the same acceptance window.

## 1096 3.6.1 Scintillating Pad and Pre-Shower Detector

The SPD and PS consist of an array of scintillator pads coupled to multi-anode photo multiplier 1097 tubes separated by a 15 mm thick layer of lead. Only charged particles interact with the SPD. 1098 However, when neutral particles interact with the lead converter a particle shower is induced, 1099 leaving a signal in the PS and thus allowing one to distinguish energy depositions left by charged 1100 and neutral particles in the ECAL and HCAL. This is exploited in the hardware trigger to 1101 provide a rapid separation of electrons, and neutral pions. Figure 3.16 illustrates the detectors 1102 involved in the energy measurement of electrons, hadrons, and photons. The hit multiplicity in 1103 the SPD also provides a measure of the number of charged particles in an event and plays a 1104 crucial role in the low-multiplicity trigger lines used to study CEP. 1105

#### <sup>1106</sup> 3.6.2 Electromagnetic Calorimeter (ECAL)

The ECAL is constructed with alternating layers of 2 mm thick lead absorbers and 4 mm scintillators stacked along the z-axis. The cell size of the innermost region of the ECAL  $(4 \times 4 \text{ cm}^2)$  is close to the Moliere radius, such that most of the shower can be contained in a single cell. Wavelength shifting fibres connect the scintillators to photomultiplier tubes. A



Figure 3.16. Schematic of energy depositions in the calorimetry system for electron, hadrons, and photons.

schematic of an ECAL module is shown in Fig. 3.17 (left). The energy resolution for the ECALcan be modelled as

$$\frac{\sigma_E}{E} = \frac{9\%}{\sqrt{E}} \oplus 0.8\%, \qquad (3.2)$$

where E is in GeV and the symbol  $\oplus$  indicates a quadratic sum. The first term on the right-hand side describes the stochastic-resolution effect and the second term gives the energy-independent contribution.

# 1116 3.6.3 Hadronic Calorimeter (HCAL)

Similar to the ECAL, the HCAL is a sampling calorimeter with alternating 3 mm and 1 cm iron absorbers connected to photomultiplier tubes via wavelength shifting absorbers. However, the plates are placed perpendicular to the ECAL plates along the x-axis. Since hadronic showers are larger, the granularity of the HCAL does not need to be as fine as that of the ECAL. A schematic of an HCAL module is shown in Fig. 3.17 (right). The energy resolution for the HCAL is described by

$$\frac{\sigma_E}{E} = \frac{69 \%}{\sqrt{E}} \oplus 9 \% , \qquad (3.3)$$

where E is in GeV. The first term on the right-hand side describes the stochastic-resolution effect and the second term gives the energy-independent contribution.

#### 1125 3.7 Muon system

The muon system is composed of five stations (M1–M5). To keep the detector occupancy uniform, the modules are segmented into four regions, R1 to R4, with R1 having the smallest granularity, being the region closest to the beam-line. All stations are equipped with multi-wire proportional counters (MWPC) with the exception of station M1 region R1, which is equipped with gas electron multipliers (GEM). Figure 3.18 (left) shows a layout of the upper-right quadrant of M2.



Figure 3.17. Blown-up schematic of an ECAL (left) and HCAL (right) module. The approximate direction of motion of incoming particles is indicated by a violet arrow. Reproduced from Fig. [91]

The first module, M1 is located between RICH2 and the calorimetry system to improve the transverse-momentum calculation in the earliest trigger level, while M2-M5 are located at the end of the calorimetry system. The M2-M5 modules are each separated by an 80 cm iron absorber preventing hadrons that punch through the HCAL from entering into the muon system. This results in a momentum resolution of 20%. See Fig. 3.18 for a schematic of the muon station positioning.



Figure 3.18. Layout schematic of the upper-right-hand corner of M3 (left) and muon stations (right). Reproduced from Ref. [91]

# 1137 3.8 HERSCHEL

HERSCHEL (High Rapidity Shower Counter for LHCb) [102] is a sub-detector system installed 1138 for Run 2, which began in 2015. The modules are designed to detect particle showers induced 1139 by the interaction of high-rapidity particles, which fall outside the acceptance of the main 1140 spectrometer, with the beampipe as well as structural and machine elements of the particle 1141 collider. It is composed of five shower-counter modules, F0, F1, B0, B1, and B2, installed around 1142 the beamlines inside the accelerator tunnel: two in the forward direction of the interaction point, 1143 downstream, and three in the backwards direction, upstream. Each module is segmented into 1144 four quadrants of  $300 \,\mathrm{mm} \times 300 \,\mathrm{mm} \times 20 \,\mathrm{mm}$  EJ-200 [103] plastic scintillating pads tightly 1145 fitted around the beam pipe. EJ-200 has a peak emission wavelength of 425 nm and a yield 1146 of  $10^4$  photons per 1 MeV electron. Energy from incident particles is absorbed in the form of 1147 ionisation and released as light in the de-excitation process. 1148

To detect and amplify the scintillating light, each pad is equipped with a 51 mm diameter 1149 Hamamatsu R1828-01 photo-multiplier tube [104] connected to the scintillating pad via a 1150 fishtail-plexiglass light guide, which is read synchronously with the LHCb spectrometer. These 1151 PMTs are characterised by a high-response time of 1.3 ns necessary to keep up with the high 1152 collision rate of the LHC as well as a large range in gain. The latter allows for operation at 1153 high gain during calibration using single-particle cosmic-ray interactions as well as low gain 1154 necessary to cope with the high-multiplicity particle showers present during regular operation. 1155 For fire safety regulations, and as a means to shield the scintillators from external light leaks, 1156 each module is wrapped with a 1 mm thick aluminium sheet. A module schematic is shown in 1157 Fig. 3.19 together with the schematic of one of the stations. 1158



Figure 3.19. Schematic design of a scintillator and light guide of a single HERSCHEL quadrant (left) and a full station (right). Reproduced from Ref. [102]

The stations are placed behind large and dense accelerator components, such as beam screens 1159 and collimating magnets, where particle showers might be initiated. In the forward direction 1160 (positive z relative to the interaction point), we find stations F1 and F2 at  $z \sim 20.0 \,\mathrm{m}$  and 1161  $z \sim 114.0$  m, respectively. In the backwards direction (negative z), we find stations B0, B1, and 1162 B2 at  $z \sim -7.5$  m,  $z \sim -19.7$  m, and  $z \sim 114.0$  m, respectively. Figure 3.20 shows a schematic 1163 of the system. The HERSCHEL modules extend the sensitivity of the main spectrometer from 1164  $2 < \eta < 5$ , in the forward direction, and  $-3.5 < \eta - 1.5$ , in the backwards direction, to a 1165 maximum pseudorapidity of ten on either side. A simulation of the energy deposits from forward 1166 showers originating from proton dissociation in pp collisions is reproduced in Fig. 3.21, showing 1167 the pseudorapidity coverage of each HERSCHEL module. 1168



Figure 3.20. Layout of the active area of HERSCHEL stations. The stations are scaled by a factor of 20 and the z-axis is not to scale. Reproduced from Ref. [102]

The increased sensitivity at high rapidities provides valuable information for the classification 1169 of diffractive processes, such as single and double diffraction, by providing information about 1170 the presence or absence of a rapidity gap. For example, in a CEP event and in the absence of 1171 pile-up, the interacting protons would remain intact and continue their trajectory down the 1172 beam line, resulting in a signature in the HERSCHEL modules consistent with background 1173 noise. While in inelastic events, the primary background of CEP, the resulting high-rapidity 1174 particles from the proton-dissociation interact with machine elements and produce particle 1175 showers, breaking the rapidity gap and leaving an excess of signal in the HERSCHEL modules. 1176 This signature allows us to use this information to veto the primary source of background 1177 in CEP studies. In addition, HERSCHEL is also sensitive to the presence of inelastic events 1178 originating from secondary interactions that can occur alongside a CEP event during pile-up. 1179



Figure 3.21. Energy deposits on HRC modules as a function of pseudorapidity. The pseudorapidity coverage of LHCb is highlighted in grey. Reproduced from Ref. [102]

Although HERSCHEL is able to identify the presence of these rapidity-breaking showers it is unable to distinguish their source.

The integrated analogue signal from the PMT is digitised by a 10-bit analogue-to-digital 1182 converter (ADC). A typical detector signal is shown in Fig. 3.22 for station B0 for the first 100 1183 ADC counts. The pedestal, shown in red, corresponds to an empty detector and is representative 1184 of the absence of activity we expect in CEP-like events. It is determined by reading the detector 1185 during empty crossing following the last of a series of pp crossings known as a train. Events 1186 with activity, shown in black, are read during pp crossings and are characterised by a long tail 1187 at higher ADC counts. An efficiency study of the HERSCHEL module for 2015 and 2016 data 1188 is detailed in Sec. 5.6 using dimuon continuum data. 1189

# 1190 3.9 Trigger system

The LHC bunch crossing frequency is 40 MHz. However, it is unfeasible to permanently store every event. Composed of a hardware, Level-0 (L0), and a software component, High Level Trigger (HLT), the trigger system [105] is a two stage process designed to identify and store events containing signatures of interesting physics as well as select events for calibration.

#### 1195 3.9.1 Level-0 trigger

The earliest trigger level, L0, operates synchronously with LHC's 40 MHz bunch crossings and reduces the data bandwidth to 1 MHz, the rate at which the entire detector can be read out. Given how quickly the initial decision needs to be made, only a subset of the detectors are used at this stage. This includes the Pile-Up, the calorimetry, and muon systems. The PU system distinguishes events with multiple interactions by measuring the multiplicity of backward tracks. The calorimetry provides multiplicity information in the forward direction and calculates the total transverse energy,  $E_T$ , for electrons, photons, and hadrons in  $2 \times 2$  cell clusters. The muon



Figure 3.22. Example of HERSCHEL signal for station B0 for pp crossings (black) and for empty crossings following a pp crossing. Reproduced from Ref. [102]

decision uses all five stations to calculate the transverse momentum,  $p_T$ , and selects the two muons with the highest  $p_T$  per quadrant. This information is then combined and compared with decision criteria or trigger lines. Those events that meet these criteria are placed in a buffer to be processed by the hardware stage. Dedicated low-multiplicity trigger lines are used for the study of CEP physics. The specific trigger lines used to collect the data for the analysis presented in this thesis will be described in detail in Chapter 4.

# 1209 3.9.2 High Level Trigger (HLT)

The second trigger level, HLT, is a software-based C++ application executed in the Event 1210 Filter Farm (EFF), which is a large ensemble of CPUs, and employs information from the entire 1211 detector to reduce the 40 MHz L0 output to a bandwidth of 12.5 kHz. This is achieved in two 1212 steps: HLT1 where the event with tracks that have large impact parameters or matching hits 1213 in the muon arm are reconstructed, and HLT2 where the event is fully reconstructed. During 1214 HLT1, vertex tracks are reconstructed to calculate impact parameters as well as primary and 1215 secondary vertices. These are combined with information of the tracking system to reconstruct 1216 the entire track. The track reconstruction process is explained in detail in Sec. 3.10.1. 1217

# 1218 3.10 Reconstruction

#### 1219 3.10.1 Track reconstruction

LHCb's data-processing software is centred around GAUDI, an event-processing framework for particle physics experiments [106, 107]. As part of this framework, BRUNEL [108] is specialised in track reconstruction and particle identification. During track reconstruction, the signal from all the tracking sub-detectors are combined to determine each particle's trajectory. The process starts with energy depositions from the VELO and the T stations. Since the magnetic field is weakest for these stations, they have relatively straight tracks. A Kalman fitter is then used to account for multiple scattering, and energy loss as intermediate data points are iteratively added to construct the track. The event display of a typical inelastic event is shown in Fig. 3.23 with the overlapping tracks. HLT2 uses the fully reconstructed event and is able to apply kinematic cuts to individual particles as well as combine tracks and apply cuts to mass and vertex fits.



Figure 3.23. Reconstructed tracks (red) and energy detector hits (blue). Reproduced from Ref. [91]

The tracks are then classified according to the detectors with energy deposits associated with that track:

• VELO Tracks traverse only the VELO, tend to have large angles relative to the horizontal, and are normally used for vertex reconstruction.

• Upstream Tracks traverse the VELO and TT, tend to be low momentum with poor momentum resolution, and are deflected outside the acceptance of the spectrometer by the bending magnet.

- Long Tracks traverse all tracking stations and tend to have the best momentum resolution.
- Downstream Tracks traverse the TT and T stations and tend to be decay products of particles that decay outside of the VELO.

• T Tracks traverse only the T station.

• Backwards Tracks traverse through the VELO and PU. These particles have a negative rapidity and do not make it into the mains spectrometer.



**Figure 3.24.** Schematic of the LHCb tracking system with representative examples of track types: VELO (red), upstream (orange), long (yellow), downstream (green), T (purple), and backward tracks (blue).



Figure 3.25. Event display top view of a typical event showing tracks for pions (orange), kaons (red), protons (violet), electrons (blue), and muons (green) together with energy depositions in the calorimeters and muon arm.

#### 1243 3.10.2 Particle identification

#### 1244 Neutrals

Neutral particles are distinguished by the absence of tracks associated with energy depositions in the calorimetry system. To identify neutral particles, all reconstructed tracks are extrapolated to the calorimetry system and matched with a cluster. In the ECAL, a cluster is defined as a  $3 \times 3$  cell pattern centred around the cell with the largest energy deposit. The match is evaluated by comparing the track and cluster coordinates at the energy-weighted centre of the cluster along the z direction according to a  $\chi^2_{2D}$  metric,

$$\chi_{2D}^2 = (\vec{r}_{track} - \vec{r}_{cluster})^T (C_{track} + S_{cluster})^{-1} (\vec{r}_{track} - \vec{r}_{cluster}) , \qquad (3.4)$$

where  $\vec{r}_{track}$  and  $\vec{r}_{cluster}$  are the local coordinates of tracks and clusters respectively,  $C_{track}$  is the covariant matrix of  $\vec{r}_{track}$  and  $S_{cluster}$  is the cluster energy spread matrix. Neutrals are identified as being associated to clusters with a large value of  $\chi^2$ . The isolation criteria for photon candidates are satisfied by selecting events with  $\chi^2_{2D} > 4$ .

The energy of non-converted photons is calculated from the total energy deposition in the ECAL and the PS. The photon direction is calculated according to its assumed point of origin. Photons can also be reconstructed from electron-positron pairs when they convert before the bending magnet. Electron-positron candidates within  $3\sigma$  of cluster extent and 200 mm in the vertical plane are paired and the energy is corrected by including associated bremsstrahlung photons.

Neutral pions are reconstructed with two well-separated photons. However, for transverse momentum greater than 2 GeV the photon clusters tend to overlap with one another. The energy deposited in overlapping cells is accounted for by fitting the energy distribution of the two photons according to simulation. To prevent the misidentification of high-energy neutral pions and photons a neural network trained on  $B^0 \to K^{*0}\gamma$  is used to distinguish energy-deposit patterns.

#### 1267 Hadrons

Charged hadrons are mainly identified through a global pattern-recognition algorithm that matches the patterns left by Cherenkov radiation in the photo detectors of RICH1 and RICH2 with the expected signature of a reconstructed track under a given mass hypothesis. The mass hypothesis with the highest likelihood is found by cycling through all potential candidates (pion, kaon, proton, electron or muon) for all reconstructed tracks and finding the best match. For each track, the log-liklihood difference is calculated relative to the pion mass hypothesis, the most abundant hadron produced, such that

$$\Delta \log \mathcal{L}_{X-\pi} = \log \mathcal{L}_X - \log \mathcal{L}_\pi , \qquad (3.5)$$

where  $\mathcal{L}_X$  is the likelihood of a given mass hypothesis X and  $\mathcal{L}_{\pi}$  is the likelihood given the  $\pi$  mass hypothesis.

#### 1277 Muons

<sup>1278</sup> Muons are identified by extrapolating reconstructed tracks and associating them with signals <sup>1279</sup> recorded in the muon system. Signals are searched at each station within a window centred <sup>1280</sup> around the coordinates of the extrapolated reconstructed tracks. The window as well as the <sup>1281</sup> number of stations involved in the particle-identification algorithm are energy dependent since <sup>1282</sup> a minimum-momentum transverse momentum of 3 GeV is needed to reach the M2 and M3 <sup>1283</sup> stations and 6 GeV to reach all five stations. Similarly to the ECAL cluster-track matching, a <sup>1284</sup>  $\chi^2_{2D}$  metric that quantifies the cluster-track proximity is minimised.

# 1285 Combined particle identification

Two methods are used to combine particle identification information from each subsystem to form a more powerful identification. The first adds the log likelihood of each sub-system linearly to obtain a combined likelihood  $\Delta \log \mathcal{L}_{combined}(X - \pi)$ . A second method uses Toolkit for Multivariate Data Analysis with ROOT (TMVA) [109] to combine PID information and other parameters to calculate the probability for a given mass hypothesis.

#### 1291 3.10.3 Stripping and Turbo

Stripping can be considered as an offline trigger. Pre-selections are applied to the recorded 1292 events, and the saved samples are grouped in streams according to their physics potential. In 1293 the stripping process, the latest alignments and calibrations are used to fully reconstruct the 1294 recorded events. This high-precision tracking, vertex, and PID information is used for the study 1295 of independent decay channels. The reconstruction of composite particles and loose selection 1296 criteria are specified in dedicated stripping lines. This first selection pass is designed to reduce 1297 the data set to a manageable size while maintaining flexibility of the selection criteria for a 1298 broad number of analyses. 1299

During the LHC long shut down, 2013 to 2014, the processing power and storage of the EFF 1300 computing system was upgraded allowing for a 5.2 PB of buffer space. This corresponds to 1301 about ten days of continuous data taking. As a result, the HLT decision can be postponed until 1302 after data taking, and fully calibrated events can be reconstructed, providing analysis-ready 1303 data to be used as part of the trigger decision. Turbo [110] is a class of output stream with 1304 fully reconstructed decay-specific dedicated streams immediately ready for analysis. Fig. 3.26 1305 depicts a direct comparison of the conventional trigger system (left) and the Turbo stream 1306 system (right). 1307

The data in the Turbo and Stripping streams are ready for analysis. Part of the analysis process is conducted with DAVINCI [111], a component of the GAUDI analysis framework where particles can be combined from the decay chain and a first pass of cuts can be applied. At this stage specialised tools and algorithms can be implemented and tailored for the analysis. The remainder of the analysis is done with custom software based around the ROOT analysis framework [112].



Figure 3.26. LHCb trigger decision flow for Run 1 (left) and Run 2 (right). Reproduced from Ref. [113].

#### 1314 3.11 Simulation

Monte Carlo studies play an essential role in the study of signal modes, efficiencies, detector 1315 response, and background studies. The first stage of simulation is run using GAUSS [114–116]. 1316 This takes care of the generation and particle propagation. The analysis presented in this thesis 1317 relies on SuperChic v2 [78] to generate  $\chi_c$  signal samples as well as  $\psi(2S)$  background samples. 1318 The output of SuperChic v2 is incorporated in the simulation framework via a particle gun 1319 method. This method generates  $\chi_c$  particles by sampling the momentum distribution generated 1320 by SuperChic v2 and decays them using EVTGEN [117] and models final-state radiation with 1321 PHOTOS [118]. The decay particles are then propagated through the detector by GEANT4 [119], 1322 which simulates multiple scattering throughout the detector material. The second generator 1323 used is PYTHIA [120, 121], a pp collision simulator, that is fully implemented into the LHCb 1324 simulation framework. BOOLE [122] models the detector response and front-end electronics. 1325 The trigger software is then run, MOORE [123], to simulate the hardware and software triggers. 1326 At this point, the Monte Carlo can be reconstructed with BRUNEL [108] and analysed with 1327 DAVINCI in the same fashion as data. 1328

# Chapter 4

#### 1331

1329 1330

# Event selection

In this chapter we present the selection of the collision data sets for the two major components of this analysis: the CEP  $\chi_c$  sample used for the cross-section measurements of CEP  $\chi_{c1}$  and  $\chi_{c2}$  mesons as well as the  $D^{*0}$  sample necessary to measure the photon-conversion efficiency. The trigger, stripping, and offline-selection criteria applied to each of the samples are outlined in detail. Finally, we present the samples of Monte Carlo simulation data necessary for the measurements.

# 1338 4.1 CEP $\chi_c$ study: data sets, selection criteria, and simulation

# 1339 4.1.1 CEP $\chi_c$ data sets

This analysis is performed with pp collision data collected with the LHCb detector at a 1340 centre-of-mass energy of  $\sqrt{s} = 13$  TeV, during the 2015 and 2016 runs. The data collected 1341 correspond to an integrated luminosity of  $\mathcal{L}_{int}^{2015} = 328 \text{ pb}^{-1}$  and  $\mathcal{L}_{int}^{2016} = 1665 \text{ pb}^{-1}$  for a total 1342 of  $\mathcal{L}_{int}^{2015+2016} = 1993 \,\mathrm{pb}^{-1}$ . Only events where all sub-detectors were operational are used in 1343 the analysis. However, due to commissioning downtime of the trigger lines and the newly 1344 installed HERSCHEL detector during the early parts of the 2015 run, only 86.6% of the 2015 1345 data meets the trigger lines and HERSCHEL requirements for this analysis while 98.2% of the 1346 2016 data satisfies these requirements. In addition, to isolate CEP candidates the exclusivity 1347 requirement demands that we examine events with a single interaction per bunch crossing, that 1348 is, in the absence of pile-up. Approximately 35.7% of the total-integrated luminosity meets this 1349 criterion. The determination of the effective integrated luminosity for single-interaction events 1350 is described in Sec. 7.5. The reconstruction and stripping conditions used are summarised in 1351 Table 4.1 together with the data pipeline utilised in the analysis. Each of the steps within the 1352 data-selection process is described in detail in Sec. 4.1.2 and Sec. 4.1.3. 1353

It is worthwhile mentioning that there are two additional data samples of similar size to the 2016 data set. The data collected during the 2017 and 2018 pp runs have an integrated luminosity of  $\mathcal{L}_{int}^{2017} = 1609 \,\mathrm{pb}^{-1}$  and  $\mathcal{L}_{int}^{2018} = 2185 \,\mathrm{pb}^{-1}$ , respectively. Although we have conducted preliminary studies with the 2017 sample, these two data sets have been excluded from this study as the data necessary to perform the photon-conversion-efficiency measurement is missing for these two data sets. This is explained further in Sec. 4.2.1.

Data pipeline			
L0	L0DiMuon,lowMult    L0Muon,lowMult		
HLT1	Hlt1NoPVPassThrough		
HLT2	Hlt2LowMultDiMuon    Hlt2LowMultMuon		
Stripping Line	LowMultDiMuonLine    LowMultMuon		

 Table 4.1.
 Summary the trigger reconstruction and stripping information.

# 1360 4.1.2 CEP $\chi_c$ online-selection criteria

#### 1361 L0 trigger criteria

To be considered, the events must pass the requirements specified by either the Muon,lowMult or DiMuon,lowMult lines in L0, the earliest hardware-trigger level. As the name suggests, these trigger lines are designed to select events with one or two muons in a low-multiplicity environment. The Muon,lowMult trigger requires a single muon with a transverse momentum above 400 MeV/cfor 2015, and above 800 MeV/c for 2016. The DiMuon,lowMult trigger requires two muons, each with a transverse momentum above 200 MeV/c.

The low-multiplicity criteria are met by selecting events with less than 30 hits in the SPD for 2015, and less than 20 hits for the 2016 data set. The distribution of SPD hits for  $\chi_c \rightarrow J/\psi \, [\mu^+ \mu^-] \gamma [e^+ e^-]$  is expected to have approximately one hit from each muon and slightly over one hit for each electron, as bremsstrahlung radiation can result in additional hits. Other than the hits from the final-state particles, we expect activity in the SPD from noise and spillover. A study of this SPD requirement is presented in Sec. 5.1.6 and the L0 trigger criteria are summarised in Table 4.2.

Variable	Units	L0DiMuon,lowMult		L0Muon,lowMult	
Year	-	2015	2016	2015	2016
SPD hits	-	< 30	< 20	< 30	< 20
$\mu_1 p_T$	${\rm MeV}/c$	> 200	> 200	> 400	> 800
$\mu_2 p_T$	$\mathrm{MeV}/c$	> 200	> 200	n/a	n/a
Prescale	-	1.0	1.0	1.0	1.0

Table 4.2. Configuration for the LODiMuon, lowMult and LOMuon, lowMult LO trigger line.

# 1375 HLT trigger criteria

Events that pass the hardware-line requirements are forwarded to the first software-trigger level, HLT1. In this case, the events are evaluated by the Hlt1NoPVPassThrough trigger line, which has two unique characteristics pertaining to CEP events. Firstly, since CEP events have a low multiplicity, the resources required to process these events are low compared to typical inelastic events and so they can therefore be processed directly by HLT2, in the lines Hlt2LowMultDiMuon <sup>1381</sup> or Hlt2LowMultMuon. The second is that this line, unlike those for inelastic events, does not <sup>1382</sup> require the reconstruction of a primary vertex (PV).

The Hlt2LowMultDiMuon line requires two muons with transverse momentum greater than 400 MeV/c and the Hlt2LowMultMuon line requires a single muon. with transverse momentum greater than 400 MeV/c. The configurations for these HLT2 trigger lines are summarised in Table 4.3.

Variable	Units	Hlt2LowMultDiMuon		Hlt2LowMultMuon	
Year	-	2015	2016	2015	2016
$\mu_1 p_T$	MeV/c	> 400	> 400	> 400	> 400
$\mu_2 p_T$	${ m MeV}/c$	> 400	> 400	n/a	n/a
$m_{\mu^+\mu^-}$	$MeV/c^2$	> 0.0	> 0.0	n/a	n/a
Prescale	-	1.0	1.0	1.0	1.0
Postscale	-	1.0	1.0	1.0	1.0

Table 4.3. Configuration for Hlt2LowMultDiMuon and Hlt2LowMultMuon trigger lines.

# 1387 4.1.3 CEP $\chi_c$ offline-selection criteria

Approximately a fifth of the photons at the LHCb experiment convert into an electron-positron 1388 pair before they reach the dipole magnet due to their interactions with detector material. These 1389 photons are known as *converted photons*. More specifically, we categorised photons into three 1390 subgroups. The first set is known as long converted photons, which undergo conversion in the 1391 first part of the VELO. The electron tracks in this sub-group will leave energy deposits in the 1392 VELO, TT, and T-stations and as a result will be classified as long tracks. In the second group, 1393 known as downstream converted photons, the conversion occurs in the later portion of the VELO 1394 or after the VELO, primarily in the TT. These electrons will leave energy deposits in the TT 1395 and T-stations, and will be classified as downstream tracks. Finally, we define a third group, 1396 calorimetric photons, composed of unconverted photons detected at the ECAL and photons that 1397 convert into an electron-positron pair after the dipole magnet. 1398

The use of converted photons has two major consequences: a low reconstruction efficiency 1399 and an improved energy resolution. The low reconstruction efficiency is due to the fact that 1400 electrons with energies lower than 2 GeV are deflected outside of the detector acceptance by 1401 the dipole magnet and never reach the ECAL. In addition, the electrons scatter en route to the 1402 calorimeters and lose energy to bremsstrahlung radiation, reducing the reconstruction efficiency 1403 further. On the other hand, there is a significant improvement in energy resolution in converted 1404 photons. This stems from the added tracking information traversing most of the magnetic field. 1405 Downstream tracks have an average momentum resolution of  $\delta p/p \approx 0.43\%$ , which compares 1406 to an energy resolution of  $\sigma_E/E \approx 9\%/\sqrt{E} \oplus 0.8\%$  from the ECAL [91]. The improvement in 1407 energy resolution of the photons translates into a better invariant-mass resolution of particles 1408 reconstructed with these converted photons, making it particularly appealing for studies such as 1409

that of the  $\chi_c$  mesons, which require the  $\chi_{c1}$  and  $\chi_{c2}$  resonances to be resolved within 50 MeV/ $c^2$ of each other. Throughout this analysis we use the difference between the reconstructed invariant mass of the  $\chi_c$  candidates and of the intermediate  $J/\psi$  meson such that,

$$\Delta m_{\chi_c} = m(J/\psi\gamma) - m(J/\psi). \tag{4.1}$$

Using the  $\Delta m_{\chi_c}$ , a quantity we shall sometimes refer to as *delta mass*, partially cancels the 1413 experimental error in the reconstruction of the  $J/\psi$  meson and improves the mass resolution of 1414 the  $\chi_c$  candidates, therefore allowing us to better resolve the different  $\chi_c$  resonances. The  $\Delta m_{\chi_c}$ 1415 distribution in 2016 data is shown in Fig. 4.1 for  $\chi_c$  mesons using calorimetric and downstream 1416 converted photons. The distributions are shown prior to the application of the exclusivity cuts 1417 described later in the section to increase the sample size and better appreciate the differences 1418 between the two photon-reconstruction methods. In the case of calorimetric photons, the signal 1419 distributions for  $\chi_{c1}$  and  $\chi_{c2}$  mesons overlap completely, whereas they are easily distinguished 1420 when we use downstream converted photons. As a result, we use converted photons in this 1421 study. 1422



Figure 4.1. Invariant mass of  $\chi_c$  candidates reconstructed with calorimetric photons (left) and downstream converted photons (right) in 2016 data.

In particular, we prefer downstream converted photons over long converted photons since, 1423 on average, the electrons from long converted photons have longer distances to traverse and 1424 thus tend to radiate more secondary photons compared to downstream converted photons. The 1425 higher sensitivity of long converted photons to bremsstrahlung effects make correcting for this 1426 effect more difficult and, on average, results in poorer resolution. In addition, the electrons from 1427 long converted photons are more likely to be deflected from the spectrometer acceptance by the 1428 magnetic field making their detection and full reconstruction more difficult. The reconstruction 1429 1430 efficiency of long conversions is further hindered since the energy deposits from conversions that occur early in the VELO can be reconstructed as a single VELO track. As a result, electron 1431 pairs that should be reconstructed as two long tracks can be reconstructed as a single long track 1432 and a downstream track. Such events are not considered as candidates. Overall, downstream 1433 converted photons give rise to a better  $\chi_c$  mass resolution, produce lower levels of background, 1434

and provide larger data samples compared to long converted photons. Consequently, we usedownstream converted photons in this study.

# 1437 CEP $\chi_c$ stripping-selection criteria

Stripping, described in Sec. 3.10.3, provides another software-based mechanism for data reduction.
This level of selection allows for fine tuning in a non-destructive form, that is, the events that do
not pass the criteria set by a stripping line are not deleted. However, they are also not available
for analysis.

We use the LowMultDiMuonLine and LowMultMuon stripping lines, which take in the output of Hlt2LowMultDiMuon and Hlt2LowMultMuon trigger lines described above, respectively. In 2015 and 2016, these trigger lines did not impose new cuts to the HLT2 output. However, they do run data-quality checks and make the data available for offline analysis. The decision not to impose new cuts is possible with low-multiplicity muon events because of the data samples' size and the simplicity of the muon experimental signature. In addition, this allows for the greatest flexibility by expanding the range of applicability of these data sets.

#### 1449 CEP $\chi_c$ offline-selection criteria

The offline-selection criteria in this analysis focus on two main goals. The first is to select  $\chi_c \rightarrow J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  and the second is to ensure there are no signatures of additional rapidity-gap-breaking activity.

The intermediate  $J/\psi$  candidates are reconstructed using two oppositely charged long tracks which are required to match energy deposits in the muon chambers and be consistent with the muon hypothesis. We impose a cut, the *track ghost probability*, such that  $P_{\text{Track}}^{\text{Ghost}} < 0.9$ . This is a discriminating variable based on a multivariate classifier used to reduce fake tracks [124, 125]. These tracks, commonly known as *ghost tracks*, are composed of mismatched hits and do not correspond to a true particle.

We require that both muons be within the acceptance of the main spectrometer, 2 < 21459  $\eta(\mu^+\mu^-) < 4.5$ . To reduce contamination from dimuon-combinatorial background we ap-1460 ply a  $100 \text{ MeV}/c^2 J/\psi$  mass-window cut centred around the  $J/\psi$  nominal mass, which is 1461  $3096.916 \text{ MeV}/c^2$  according to the PDG [81]. Figure 4.2 shows the invariant mass of the 1462  $J/\psi$  mesons in the  $\chi_c$  sample as well as the selection mass window. The  $J/\psi$  mass distribution 1463 is slightly skewed to the left due to the muons' loss of energy as they traverse the different 1464 layers of the spectrometer. The combinatorial background and mass fit is addressed in detail in 1465 Sec. 6.1. 1466

The  $J/\psi$  meson is then paired with a downstream converted photon, which is reconstructed by selecting energy cluster pairs in the ECAL associated with downstream electron tracks of opposite charge. The clusters must be at most 200 mm or within  $3\sigma$  of each other in the vertical direction. The tracks are then extrapolated and the vertex is reconstructed using the *Runge-Kutta* method, which uses iterative-numeric integration to trace tracks through



Figure 4.2. Invariant mass of  $J/\psi$  mesons from  $\chi_c \to J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  candidates for 2016-only (left) and 2015 + 2016 (right) data. The veto mass windows are highlighted in red.

<sup>1472</sup> non-uniform magnetic fields such as the one in LHCb [126]. Convergence is reached when the <sup>1473</sup> vertex z-position,  $z_{\gamma_{\text{conv}}}$ , varies no more than 100 mm within two iterations.

To account for the electrons' energy loss to bremsstrahlung radiation, we apply a recovery procedure which systematically adds low-energy photons,  $p_{\rm T}(\gamma_{\rm Brem}) > 75$  MeV/c, detected in the calorimeter. The ECAL clusters that fall between the linearly extrapolated energy deposits in the TT from the dielectron pair are selected as bremsstrahlung candidates. The kinematic restrictions on the electrons and photons are left as loose as possible.

Another useful tool for background suppression is the two-dimensional distribution of the di-electron invariant mass  $m(e^+e^-)$  and  $z_{\gamma_{\rm conv}}$ . A photon needs to interact with material in order to convert into a pair of electrons. By allowing some dependence on  $z_{\gamma_{\rm conv}}$ , we are able to efficiently eliminate events with non-physical vertices, combinatorial background and poorly reconstructed photons. For these reasons, events that satisfy one of the following requirements are rejected:

$$m(e^+e^-)\left[\operatorname{MeV}/c^2\right] - 0.00001 \left[\frac{\Delta\operatorname{MeV}/c^2}{\Delta\operatorname{mm}^2}\right] z_{\gamma_{\operatorname{Conv}}}^2\left[\operatorname{mm}^2\right] > 20\left[\operatorname{MeV}/c^2\right] ,\qquad(4.2)$$

1485

$$m(e^+e^-)\left[\operatorname{MeV}/c^2\right] - 0.04 \left[\frac{\Delta\operatorname{MeV}/c^2}{\Delta\operatorname{mm}}\right] z_{\gamma_{\mathrm{Conv}}}\left[\operatorname{mm}\right] > 20\left[\operatorname{MeV}/c^2\right] , \qquad (4.3)$$

1486

$$m(e^+e^-) > 50 \left[ \text{MeV}/c^2 \right]$$
 (4.4)

We will refer to these cuts collectively as the  $\gamma$  two-dimensional (2D) cut. The  $m(e^+e^-)$  and  $z_{\gamma_{\text{conv}}}$  distribution is shown in Fig. 4.3 before and after the the  $\gamma$  two-dimensional cut is applied. These same cuts are studied with the larger  $D^*(2007)^0 \rightarrow D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  data set used to calculate the photon-conversion efficiency, described in greater detail in Sec. 4.2.3.



Figure 4.3. Downstream converted photon invariant-mass vs. photon Z-Vertex before (left) and after the (right) the two-dimensional cut is applied.

To meet the exclusivity CEP requirement in the main spectrometer, we select events that 1491 have tracks associated with our final-state particles in an otherwise empty detector. This 1492 enhances the CEP component of the sample by enforcing the rapidity-gap criteria within the 1493 main spectrometer. We achieve this by selecting events with two oppositely charged, long muon 1494 tracks necessary to reconstruct our intermediate  $J/\psi$  candidates and two downstream, oppositely 1495 charged electron tracks used to reconstruct the converted photon. In addition, we request that 1496 there are no tracks, backwards tracks, or track stubs in the VELO as well as no additional 1497 long, muon or downstream tracks. The full set of offline-selection criteria are summarised in 1498 Table 4.4. 1499

#### 1500 HERSCHEL selection

As mentioned in Sec. 2.6, there are diffractive processes that can mimic the CEP signature where 1501 one or both of the colliding protons dissociate outside of the main spectrometer's acceptance. 1502 The HERSCHEL detector is thus employed to reduce this background. This is done via a 1503 figure-of-merit variable, the HERSCHEL discriminant  $\ln(\chi^2_{HRC})$ , which is related to the amount 1504 of activity in each of the forty HERSCHEL modules. To account for spill-over effects, the 1505 pedestal is characterised by extracting the mean ( $\mu$ ) and the root-mean-squared ( $\sigma$ ) from the first 1506 non-beam-beam event after a train of beam-beam collisions. With these calibration constants 1507 we define the figure-of-merit value such that, 1508

$$\ln(\chi^{2}_{\rm HRC}) = \sum_{i=1}^{40} \left(\frac{x_{i} - \mu_{i}}{\sigma_{i}}\right)^{2}, \qquad (4.5)$$

where  $x_i$  is the HERSCHEL signal in channel *i*. CEP-like events will show little activity in the HERSCHEL modules and as a result will have low values of  $\ln(\chi^2_{\text{HRC}})$  compared to events with proton dissociation.

The figure-of-merit is shown in Fig. 4.4 for the CEP  $\chi_c \to J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  candidates in 2016, and combined 2015 and 2016 data. The distribution is shown before (left) and after (right)

Variable	$\mathbf{Cut}$	$\mathbf{Units}$
	$J\!/\psi[\mu^+\mu^-]$	
$\frac{1}{p(\mu^{\pm})}$	> 1000	MeV/c
$P_{\mathrm{Track}}^{\mathrm{Ghost}}$	< 0.9	_
$\mu_1, \mu_2$ is Muon	True	_
$\eta(\mu_1),\eta(\mu_2)$	$\in [2, 4.5]$	-
$J/\psi$ mass window	$ m_{J/\psi} - 3096.916  < 50$	$MeV/c^2$
	$\gamma[e^+e^-]$	
$e^\pm$ track type	Downstream	_
ECAL position $\Delta y$	$3\sigma$	
ECAL position $\Delta y \max$	< 20	$\mathrm{cm}$
$p_{\mathrm{T}}(\mathbf{\gamma})$	$\in [0, 1600]$	MeV/c
$p_{\rm T}(e^{\pm})$	> 0	MeV/c
$p_{\mathrm{T}}(\gamma_{\mathrm{Brem}})$	> 75	MeV/c
$\gamma$ 2D cut	Eq. 4.2    Eq. 4.3    Eq. 4.4	_
CE	P track criteria	
$\rm N^{\underline{O}}$ upstream tracks	0	_
$\rm N^{\underline{\rm o}}$ VELO tracks	0	_
$\mathbf{N}^{\underline{\mathbf{O}}}$ backward tracks	0	_
$\mathbf{N}^{\underline{\mathbf{O}}}$ downstream tracks	$2 (e^+e^-)$	_
$N^{\underline{O}}$ long tracks	$2~(\mu^+\mu^-)$	—
	HERSCHEL	
$\ln(\chi^2_{ m HRC})$	< 5	

**Table 4.4.**  $J/\psi$  candidate offline-selection criteria.

the rapidity-gap selection is applied. The distribution on the right corresponds to events with 1514 the CEP-track selection applied: two long muon tracks and two downstream tracks. Before this 1515 selection is applied we see that the sample is dominated by inelastic-like events which have high 1516 values of  $\ln(\chi^2_{\rm HRC})$ . After the CEP criteria are applied, the mean of the distribution reduces 1517 as the proportion of inelastic background is reduced. We select events with  $\ln(\chi^2_{HRC}) < 5$ . 1518 The efficiency of the HERSCHEL figure-of-merit is studied in detail in Sec. 5.6. The  $\Delta m_{\chi_c}$ 1519 distribution of the final CEP  $\chi_c$  selection is shown in Fig. 4.5 before and after the HERSCHEL 1520 cut is applied. 1521

# 1522 4.1.4 Simulation samples for the CEP $\chi_c$ analysis

Monte Carlo simulations play an essential role in this analysis. They help us guide our decisions on selection criteria, provide valuable information for background and signal modelling, and help us to determine efficiencies and systematic uncertainties. More importantly, they give us access to state-of-the-art theoretical predictions with which to compare our experimental results,



Figure 4.4. Logarithm distribution of the HERSCHEL discrimination variable,  $\ln(\chi^2_{HRC})$  for  $\chi_c \rightarrow J/\psi \, [\mu^+\mu^-]\gamma[e^+e^-]$  candidates before (left) and after (right) the CEP-rapidity-gap-track selection is applied in 2015 (top) and 2016 (bottom).



Figure 4.5. Delta-mass distribution of CEP  $\chi_c$  selection before (left) and after (right) the HERSCHEL cut is applied.

thus allowing us to put our understanding of fundamental physics to test. In this section, weintroduce the Monte Carlo samples used throughout this analysis.

# 1529 CEP $\chi_c$ Monte Carlo

Approximately one million  $\chi_{c1,2} \rightarrow J/\psi \gamma$  events were generated using the LHCb centralproduction framework for Monte Carlo simulations for each of the  $\chi_{c1}$  and  $\chi_{c2}$  mesons, as well as each of the magnet polarities using the *particle gun* (pGun) method. The number of events
generated for each configuration are summarised in Table 4.5. This method is fully incorporated into the LHCb simulations framework. It is capable of generating one or several particles coming from the same vertex according to a specified PDG particle ID and a set of momentum distributions taken as input. The vertex is randomly spread around the nominal-interaction point according to a Gaussian distribution that is representative of a typical collision at LHCb.

Magnet Polarity	Year	$\chi_{c1}$	$\chi_{c2}$
Up	2015	1,311,819	1,169,524
Up	2016	$1,\!128,\!492$	1,006,600
Down	2015	$1,\!081,\!398$	$1,\!170,\!477$
Down	2016	$1,\!296,\!204$	$1,\!201,\!728$

**Table 4.5.** Summary of CEP  $\chi_c \to J/\psi \gamma$  Monte Carlo production.



Figure 4.6. Generator-level  $p_z$  distribution (left), and  $p_T$  (right) for  $\chi_{c1}$  (top), and  $\chi_{c2}$  (bottom). These distributions were generated by SuperChic v2 for pp collisions with a centre-of-mass energy  $\sqrt{s} = 13$  TeV.

The momentum distribution of the  $\chi_c$  mesons, show in Fig. 4.6, is calculated using the SuperChic v2.03 [78–80, 127] generator, described in Sec. 3.11, with the MMHT2014lo68cl ( $\alpha_S(M_Z^2) = 0.135$ ) [128] PDF set. We select a leading-order (LO) PDF to match the  $gg \rightarrow \chi_c$ vertex calculation which is calculated to leading order by SuperChic. The generator implements four versions of the two-channel eikonal model as described in Ref. [75] to describe proton dissociation. The simulations are run with "soft-survival model four", which describes the interaction via an effective pomeron and allows its coupling to the diffraction eigenstates to depend on the
collider energy. This model successfully describes diffraction into low and high-mass systems
at the LHC. The number of events generated for each of the run conditions is summarised in
Table 4.5.

After the event generation, the  $\chi_c$  mesons are forced to decay into a  $J/\psi\gamma$  with the  $J/\psi$ decaying into a pair of muons that fall within the acceptance of the main LHCb spectrometer. The events are then propagated through the LHCb simulation framework, described in Sec. 3.11. This includes the propagation of particles, material interactions, detector response and signal digitisation. After digitisation the Monte Carlo can be treated as standard data and propagated through the trigger and stripping pipe-line.

1554  $\psi(2S)$  feed-down Monte Carlo

To calculate the contribution of  $\psi(2S)$  feed-down background, we use a *cocktail* sample of 100,000 simulated CEP  $\psi(2S) \rightarrow J/\psi X$  events generated with SuperChic for *pp* collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV. Here X represents all possible decay products in a  $\psi(2S)$ decays containing a  $J/\psi$  meson. The contribution of each decay is proportional to the decay branching fractions, summarised in Table 4.6.

Variable	Value
$\overline{\mathcal{B}(\psi(2S)\to\chi_{c0}\gamma)}$	$(9.79 \pm 0.27 \ \%)$
$\mathcal{B}(\psi(2S) \to \chi_{c1}\gamma)$	$(9.75 \pm 0.20 \ \%)$
$\mathcal{B}(\psi(2S) \to \chi_{c2}\gamma)$	$(9.52 \pm 0.24 \%)$
$\mathcal{B}(\psi(2S)  ightarrow J\!/\!\psi\gamma\gamma)$	$(3.1 \pm 1.0) \times 10^{-4}$
$\mathcal{B}(\psi(2S) \to J/\psi \eta)$	$(3.37 \pm 0.05) \%$
$\mathcal{B}(\psi(2S) \to J/\psi \pi^0)$	$(1.268 \pm 0.032) \times 10^{-3}$
$\mathcal{B}(\psi(2S) \to J/\psi \pi^0 \pi^0)$	$(18.24 \pm 0.31)$ %
${\cal B}(\chi_{c0}  o J\!/\!\psi \gamma)$	$(1.4 \pm 0.05)$ %
${\cal B}(\chi_{c1}  o J\!/\!\psi \gamma)$	$(34.3 \pm 1.0)$ %
${\cal B}(\chi_{c2}  o J\!/\!\psi \gamma)$	$(19.0 \pm 0.5)$ %
$\mathcal{B}(J/\psi(1S) \to \mu^+\mu^-)$	$(5.961 \pm 0.033)~\%$
${\cal B}(\eta  o \gamma \gamma)$	$(39.41 \pm 0.2) \%$
$\mathcal{B}(\pi^0  o \gamma \gamma)$	$(98.823 \pm 0.034)~\%$

**Table 4.6.** Branching fractions of relevant  $\psi(2S) \to J/\psi X$  decays, taken from the PDG [81].

The  $\psi(2S)$  mesons are generated according to the assumed shape for the hadronic form factor, reflecting the size and shape of the proton described in Sec. 2.5.1. The  $\psi(2S)$  mesons are also produced according to a flat distribution in the azimuthal angle ( $\phi$ ), and restricted to rapidities within the LHCb acceptance,  $2 < \eta < 4.5$ . The  $\psi(2S)$  mesons are then decayed using PYTHIA version 6.205, with the  $J/\psi$  forced to decay into a pair of muons. These events are then processed by the LHCb detector simulation, digitisation, and reconstruction chain for 2015 run conditions.

#### 1567 4.2 Converted-photon study: data sets, selection criteria, and simulation

Having a good understanding of the photon-conversion efficiency is crucial for the success of the 1568 CEP  $\chi_c$  study. Moreover, the determination of this photon-conversion efficiency is particularly 1569 challenging as we are dealing with low-momentum photons, a characteristic which stems from 1570 the low-energy transfer required to avoid proton dissociation by CEP. As a result, we have 1571 developed a new method through which we are able to measure the photon-conversion efficiency 1572 of soft-photons at the LHCb using  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  radiative decay, described in Sec. 5.1. 1573 Although the approach is primarily data driven, simulation input is required for some aspects. 1574 In the following sections we introduce the data and simulation samples required for this study, 1575 followed by a description of the event-selection criteria. A schematic of the  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$ 1576 decay is shown in Fig. 4.7 depicting the characteristic displaced vertex of the long-lived  $D^0$ 1577 meson. 1578



**Figure 4.7.** Schematic depicting the production of a  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  meson including the primary vertex (PV) of the *pp* collision and the displaced vertex of the long lived  $D^0$ .

# 1579 4.2.1 $D^{*0}$ and $D^0$ data set

We use pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV, collected during the 2016 run 1580 comprising of a total integrated luminosity of  $\mathcal{L}_{int}^{2016} = 1665 \, \mathrm{pb}^{-1}$ . The photon-conversion 1581 efficiency measurement requires both a  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  and a  $D^0[K^{\pm}\pi^{\mp}]$  inclusive sample. 1582 In both samples, the  $D^0$  candidates originate from the Hlt2CharmHadD02KmPipTurbo Turbo-stream 1583 line and are selected identically. As a reminder, a Turbo stream is a data-processing stream that 1584 uses fully reconstructed information as part of the event selection during the software-trigger 1585 stage, as is described in Sec. 3.10.3. The online data selection pipe-line is summarised in 1586 Table 4.7 and described in Sec. 4.2.2. 1587

Table 4.7. Summary of the data set used in the photon-conversion-efficiency study.

	Data pipeline
LO	L0Hadron
HLT1	Hlt1TrackMVA    Hlt1TwoTrackMVA
$\mathrm{HLT2}$ /Turbo	Hlt2CharmHadD02KmPipTurbo

The photon-conversion-efficiency study is performed exclusively with 2016 data since the HLT2 line necessary for the study, Hlt2CharmHadD02KmPipTurbo, was not run during 2015. In addition, during 2016, if the requirements were met for a given trigger the entire event was stored. Changes to the nature of the stored information means that this analysis cannot be performed on the 2017 and 2018 data.

# <sup>1593</sup> 4.2.2 $D^{*0}$ and $D^0$ online-selection criteria

To study the converted-photon reconstruction efficiency in an unbiased manner we make sure that the trigger criteria are independent of the photon. The  $D^0$  mesons are required to pass the L0Hadron trigger decisions, either Hlt1TrackMVA or Hlt1TwoTrackMVA decision lines, and the Hlt2CharmHadD02KmPipTurbo Turbo stream.

# <sup>1598</sup> $D^{*0}$ and $D^0$ L0 trigger-selection criteria

The LOHadron trigger has two main criteria. The first selects events with a cluster in the hadronic 1599 calorimeter, a group of  $2 \times 2$  cells, with transverse energy greater than 3.7 GeV. This threshold 1600 is chosen to meet the finite rate at which the detector channels can be read, and to select b- and 1601 c-hadron decays, which have a characteristically high transverse energy. The threshold value 1602 was adjusted slightly throughout the 2016 data collection period. At this stage, the transverse 1603 energy is estimated by summing over the energy deposits,  $E_i$ , in the cluster's  $i^{\text{th}}$  cell and over all 1604 calorimeter layers, while accounting for the polar angle,  $\theta_i$ , which measured from the interaction 1605 point such that 1606

$$E_T = \sum_{i=1}^{4} E_i \sin \theta_i. \tag{4.6}$$

The second criterion limits the event multiplicity by placing a cap of 450 on the number of SPDhits. The L0Hadron selection criteria are summarised in Table 4.8.

# $_{1609}$ $D^{*0}$ and $D^0$ HLT1 trigger-selection criteria

At the HLT1 level, the events must pass either the Hlt1TrackMVA or the Hlt1TwoTrackMVA trigger lines [124]. In the case of Hlt1TrackMVA, at least one of the mesons from the  $D^0 \rightarrow K^{\pm}\pi^{\mp}$  decay is required to result in a well-reconstructed track, which is characterised by a large transverse momentum and a significant impact parameter (IP) relative to the primary vertex (PV). A schematic depicting the IP of a track is shown in Fig. 4.8 alongside other important parameters to the discussion of the software trigger requirements.

The track-reconstruction-quality requirement is met through two reconstruction-quality cuts:  $\chi^2_{\text{Track}}/\text{dof} < 2.5$  and  $P^{\text{Ghost}}_{\text{Track}} < 0.2$ . The former is the  $\chi^2$  per degrees-of-freedom associated to the track fit. The latter is the probability that a track is fake, as previously described in Sec. 4.1.3.

The remainder of the criteria focuses on selecting tracks that are likely to originate from long-lived particles, such as the  $D^0$  meson. This is achieved by identifying potential displaced vertices (DV). A fit of the PV is performed with and without the track considered and the difference between these fits results in a  $\chi^2_{IP}$  value. Particles originating from secondary vertices



Figure 4.8. Schematic depicting parameters of events with long-lived particles produced at the primary vertex (PV in yellow), which decays after a flight distance (FD in dashed purple) into a three-particle final state (in black) with a summed four-momentum  $\vec{p}_{Total}$  and displaced vertex (DV). Two of the particles are reconstructed (solid black) with summed four-momentum  $\vec{p}_{2-\text{body}}$  (dashed green) while one particle is not reconstructed (dashed black) with transverse momentum  $p_T^{\text{miss}}$ . The track of one of the reconstructed particles is extrapolated (dashed red) and the shortest distance to the PV, known as the impact parameter (IP), is marked (dashed-dark red). The angle between the  $\vec{p}_{Total}$  and the vector formed by the PV and the DV, known as the directional angle  $\theta_{\text{DIRA}}$ , is shown on the right.

tend to have large  $\chi^2_{\text{IP}}$  values. A two-dimensional cut of this variable is then performed in tandem with the tracks' transverse momentum such that

$$p_{\rm T} > 25 \quad \text{and} \quad \chi_{\rm IP}^2 > 7.4,$$
 (4.7)

1626 OT

$$1 < p_{\rm T} < 25 \quad \text{and} \quad \chi_{\rm IP}^2 > \ln(7.4) + \frac{1}{(p_{\rm T} - 1)^2} + 1.2 \left(1 - \frac{p_{\rm T}}{25}\right),$$
 (4.8)

<sup>1627</sup> where the transverse momentum is measured in GeV/c.

Alternatively, both of the hadrons from the  $D^0$  decay can meet the criteria of Hlt1TwoTrackMVA, which requires two long, oppositely charged, well-reconstructed, highmomentum tracks. We define well-reconstructed tracks according to the track fit  $\chi^2$ , requiring  $\chi^2_{\text{Track}}/\text{dof} < 2.5$ . A cut is applied to the track momentum, p > 5 GeV/c, the transverse momentum,  $p_{\text{T}} > 500$  MeV/c, and the sum of the transverse momentum of the two tracks,  $\sum p_{\text{T}} = p_{\text{T}}(h^+) + p_{\text{T}}(h^-) > 2$  GeV/c). The two tracks are required to originate from a DV by considering at their displacement relative to the PV,  $\chi^2_{\text{IP}} > 2.5$ .

A set of cuts is also applied to the combined  $h^+h^-$  system. The  $D^0$  candidate is required to have a rapidity within the acceptance of the main spectrometer,  $2 < \eta < 5$ . The mass of  $D^0$ meson candidates,  $m_{D^0}$ , is corrected with respect to particle-flight direction such that

$$m_{\rm corr} \equiv \sqrt{m_{D^0}^2 + |p_T^{\rm miss}|^2} + p_T^{\rm miss},$$
 (4.9)

where  $p_T^{\text{miss}}$  is the missing momentum transverse to the direction of flight of the  $D^0$ . This correction is used to account for missing-transverse momentum from partially reconstructed decays, such as those that include neutrinos, which might result in a lower-mass reconstruction of the parent particle. The directional angle,  $\theta_{\text{DIRA}}$ , is the angle between the line drawn from the PV to the DV, and the four-momentum sum of the  $D^0$  candidate's decay products. We expect  $\theta_{\text{DIRA}}$  to be zero for particles originating from primary vertices, and non-zero for both secondary decays and partially reconstructed decays. At this stage, the angle is simply expected to be greater than zero which prevents the DV from being behind the PV. The quality of the vertex fit using the two tracks is evaluated by requesting a  $\chi^2_{\text{Vertex}} < 10$ .

Additionally, a boosted-decision-tree (BDT) classifier is used with the following inputs: the flight distance between the PV and the DV ( $\chi^2_{\rm FD}$ ),  $\sum p_{\rm T}$ , the number of tracks with  $\chi^2_{\rm IP} > 16$ , and the the  $\chi^2_{\rm DV}$  of the displaced-vertex fit. A threshold of 0.96 is set on the BDT figure-of-merit. The entire selection criteria of these trigger lines are specified in Table 4.8.

# $_{1651}$ $D^{*0}$ and $D^0$ HLT2 trigger-selection criteria

At the HLT2 level, events are selected by the Hlt2CharmHadD02KmPipTurbo line, which is designed to identify and select  $D^0 \to K^{\pm}\pi^{\mp}$  mesons with displaced vertices. This selection tightens the minimum transverse-momentum requirement of the hadron tracks to 800 MeV/c and one of the daughters is required to have  $p_{\rm T}$  larger than 1500 MeV/c. Particle identification is applied to the  $K^{\pm}$  and  $\pi^{\pm}$  candidates such that the log-likelihood difference relative to the pion hypothesis (described in Eq. 3.10.2) is  $\Delta \log \mathcal{L}_{K-\pi} > 5$  and  $\Delta \log \mathcal{L}_{K-\pi} < 5$ , respectively. The efficiency and fake rate for this cut is shown in Fig. 4.9 as a function of the meson's momentum.



Figure 4.9. Efficiency and fake rate of the RICH identification for 2016 data. Reproduced from [124].

A series of cuts associated with the  $D^0$  DV is then applied. The  $\chi^2$  distance between a track and the PV is expected to be greater than four, the distance of closest approach (DOCA) of each track to the DV is required to be within 0.1 mm, and the minimum  $\chi^2_{\rm FD}$ -distance between the PV and the DV is required to be greater than 25. The contribution of secondary charm mesons produced from *B*-meson decays is low and is reduced to negligible levels by requiring that the  $D^0$ 

Variable	Cuts	Units					
L0Hadron							
$E_{ m T}(h^{\pm})$	> 3.7	GeV					
SPD Hits	< 450	_					
Prescale	1	—					
HLTI	LTrackMVA						
$p_{\mathrm{T}}(h^{\pm}),\chi^2_{\mathrm{IP}}$	Eq. 4.7    Eq. 4.8	GeV/c, –					
$\chi^2_{ m Track}/ m DoF$	< 2.5	-					
$P_{\mathrm{Track}}^{\mathrm{Ghost}}$	< 0.2	-					
HLTIT	woTrackMVA						
$p(h^{\pm})$	> 5000	MeV/c					
$p_{\mathrm{T}}(h^{\pm})$	> 500	MeV/c					
$\chi^2$ track distance to PV	> 4	-					
$\Delta \log \mathcal{L}_{K-\pi}(K^{\pm})$	> 5	-					
$\Delta \log \mathcal{L}_{K-\pi}(\pi^{\pm})$	< 5	—					
$\chi^2_{ m IP}$	> 2.5	${ m MeV}/c$					
$\chi^2_{ m Track}/ m DoF$	> 4.0	$\mathrm{MeV}/c$					
$\eta(h^+h^-)$	$\in [2,5]$	-					
$m_{corr}$	$\in [1, 10^{6}]$	$\text{GeV}/c^2$					
$ heta_{ m DIRA}$	> 0	-					
$\Sigma p_{ m T}$	> 2	${ m GeV}/c$					
Vertex $\chi^2$	< 10	_					
BDT threshold	0.96	—					
Hlt2CharmH	adD02KmPipTurbo						
$p(h^{\pm})$	> 5000	MeV/c					
$p_{ m T}(h^{\pm})$	> 800	$\mathrm{MeV}/c$					
$p_{\mathrm{T}}(h^+) \mid\mid p_{\mathrm{T}}(h^-)$	> 1500	$\mathrm{MeV}/c$					
$K^{\pm}\pi^{\mp}$ pair DOCA	< 0.1	$\mathbf{m}\mathbf{m}$					
$\chi^2$ track distance to PV	> 4	_					
$D^0 \; \chi^2_{ m FD}$	> 25	-					
$\cos( heta_{ m DIRA})$	< 17.3	mrad					
Vertex $\chi^2$	< 10	-					
$p_{ m T}(D^0)$	> 2000	MeV/c					
${ m m}(D^0)$	$\in [1715, 2015]$	$MeV/c^2$					

**Table 4.8.** Summary of the trigger-level-selection criteria used for the reconstruction of  $D^0 \to K^{\pm}\pi^{\mp}$ and  $D^*(2007)^0 \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  decay modes for 2016 data .

candidate point back to the primary vertex. To this end, the cosine of the angle  $\theta_{\text{DIRA}}$  (described above) is capped at 17.3 mrad. Finally, the minimum transverse momentum of the  $D^0$  candidate is set at 2 GeV/c and its invariant-mass window is limited to the 1715  $< m(D^0) < 2015 \text{ MeV}/c^2$ range. The selection criteria of these trigger lines are specified in Table 4.8.

# 1668 4.2.3 $D^{*0}$ and $D^0$ offline-selection criteria

Unlike the CEP  $\chi_c$  sample, events processed by Turbo are directly available for analysis and stripping is not required. To ensure that the data sample is not biased, we require that the trigger decision is based on the  $D^0$  meson, or three daughter hadrons, and is independent of the photon reconstruction for all L0 and HLT1 physics lines. The offline-selection criteria are summarised in Table 4.9.

The  $D^0$  invariant-mass is restricted to a 50 MeV/ $c^2$  window centered around the  $D^0$  nominal mass. The transverse momentum of the  $D^0$  mesons is constrained within the range of 2 to 15 GeV/c and a fiducial cut is applied such that the  $D^0$  mesons have a pseudorapidity between 2 and 4.5. At this point the  $D^0$  selection is complete and approximately 139 million  $D^0$  candidates pass the selection. Their invariant-mass distribution is shown in Fig. 4.10. However in the case of  $D^{*0}$  we apply an additional set of selections associated with the photon and the reconstructed  $D^{*0}$  candidate.



Figure 4.10. Invariant-mass distribution of  $D^0$  candidates. The vito windows are highlighted in red.

To form the  $D^{*0}$  candidates, the converted photons are selected and reconstructed with 1681 the same requirements as the CEP  $\chi_c$  meson analysis, see Sec. 4.1.3. The effects of the two-1682 dimensional cuts are shown in Fig. 4.11, along with the accepted (blue) and rejected (red) 1683  $m(D^0\gamma) - m(D^0)$  distributions showing that this cut is effective at removing background. 1684 The two vertical lines around 2300 and 2600 mm correspond to the two TT tracker planes, 1685 where most of the conversions occur. Events to the left of the nominal interaction point 1686 are clearly non-physical and tend to have large measurement uncertainties. A comparison of 1687 truth-matched Monte Carlo and data shows that events with high  $m(e^+e^-)$  and  $z_{\gamma_{\text{Conv}}}$  are 1688 combinatorial background. High  $m(e^+e^-)$  values correspond to background or they must be 1689 poorly reconstructed signal. The transverse momentum of the converted photons is capped at 1690 a maximum of 1600 MeV/c, which fully covers the kinematic range of the photons in the  $\chi_c$ 1691 sample. 1692

Variable	Cut	Units
	$D^0[K^\pm\pi^\mp]$	
$p_{ m T}(D^0)$	$\in [2, 15]$	${ m GeV}/c$
$\eta(D^0)$	$\in [2, 4.5]$	—
${ m m}(D^0)$	$\in [1840, 1890]$	$MeV/c^2$
	$\gamma[e^+e^-]$	
$e^{\pm}$ track type	Downstream	_
ECAL position $\Delta y$	$3\sigma$	-
ECAL position $\Delta y \max$	< 20	$^{\mathrm{cm}}$
$p_{\mathrm{T}}(e^+e^-)$	< 1600	${ m MeV}/c$
$p_{\mathrm{T}}(e^{\pm})$	> 0	MeV/c
$\gamma$ 2D cut	Eq. 4.2    Eq. 4.3    Eq. 4.4	-
	$D^{*0}$	
$m(D^{*0})$	$\in [1900, 2100]$	$MeV/c^2$

**Table 4.9.** Offline-selection criteria for  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  and  $D^0 \to K^{\pm}\pi^{\mp}$  samples.



**Figure 4.11.** Two dimensional cut of the di-electron invariant mass vs. photon Z-Vertex (left) and delta-mass distribution (right) of selected (top) and rejected (bottom)  $D^{*0}$  meson candidates from the 2016 data set, based on a two-dimensional cut.

#### 1693 4.2.4 Simulation samples for converted-photon study

This photon-conversion-efficiency calculation requires three different Monte Carlo samples. The 1694 first sample consists of a centrally produced  $D^{*0}$  Monte Carlo generated with PYTHIA 6 [120] for 1695 pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV under 2015 run conditions for each of the 1696 magnet polarities. In this sample the  $D^{*0}$  mesons are forced to decay into  $D^0\pi^0$  and  $D^0\gamma$  but 1697 only the latter component is used for this study. Meanwhile, the  $D^0$  mesons are forced to decay 1698 into  $K^{\pm}\pi^{\mp}$ . The sample consists of 5,840,161 events, of which 2,943,685 are are simulated with 1699 the magnetic field of the di-pole magnet pointing up and 2,896,476 events with the magnetic 1700 field pointing down. This sample is used to validate the method by which we calculate the 1701 photon transverse-momentum dependence of the total number of  $D^{*0}$  mesons, described in 1702 Sec. 5.1.5. 1703

Two additional  $D^{*0}$  Monte Carlo samples are produced with the pGun method, described 1704 in Sec. 4.1.4. The kinematic phase space for the  $D^{*0}$  mesons was calculated using PYTHIA 8 1705 for its production in pp collisions at a centre-of-mass energy of  $\sqrt{s} = 13$  TeV. The events are 1706 decayed with EvtGen and then processed by the LHCb simulation framework under 2016 run 1707 conditions. The first  $D^{*0}$  Monte Carlo sample consists of 64 million events containing the decay 1708  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$ , with equal proportions for each magnet polarity setting. Although, we 1709 already have the centrally produced Monte Carlo sample with this decay mode described above. 1710 it is statistically limited. As we will see, the photon-conversion efficiency for low-momentum 1711 photons is very low. As a result, we are unable to use that sample to extract reliable invariant-1712 mass-difference distributions for different photon transverse-momentum ranges. We also use the 1713 generator-level information to model the photon transverse-momentum dependence of the total 1714 number of  $D^{*0}$  mesons, as described in Sec. 5.1.5. The second sample consists of 84 million 1715  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\pi^0[\gamma\gamma]$  events, with equal parts for each magnet polarity setting. This sample 1716 is used to model the background for our  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  selection where one of the photons 1717 from the  $\pi^0$  decay is not reconstructed. 1718

# Chapter 5

1721

1719 1720

# Efficiency determination

In this chapter we present a series of studies used to determine reconstruction and selection 1722 efficiencies at different stages of the CEP  $\chi_c$  analysis. In Sec. 5.1 we present the photon-1723 conversion-efficiency study as a function of the transverse momentum of the photon as well as its 1724 dependence on the event multiplicity, followed by the study of the efficiency associated with the 1725 muon reconstruction and selection in Sec. 5.2. The efficiency of the  $J/\psi$  mass-window and the 1726  $\chi_c$  mass-difference-window cut are discussed in Sec. 5.3 and Sec. 5.4, respectively. The efficiency 1727 of the low-multiplicity requirement at the hardware-trigger level is discussed in Sec. 5.5, followed 1728 by a study of the performance of the HERSCHEL figure-of-merit in Sec. 5.6.3. 1729

#### 1730 5.1 Determination of the photon-conversion efficiency

Although the combined conversion probability and reconstruction efficiency of photons  $(\varepsilon_{\gamma \to e^+e^-})$ 1731 at the LHCb experiment has been studied in the past, a couple of factors make the determination 1732 for photons from CEP events non-trivial. Previous  $\varepsilon_{\gamma \to e^+e^-}$  studies have not been performed for 1733 photons with transverse momenta as low as those observed in the CEP  $\chi_c$  selection. Furthermore, 1734  $\varepsilon_{\gamma \to e^+e^-}$  has a significant dependence on the transverse momentum of the photon, which makes 1735 the extrapolation of previous measurements into our soft regime unreliable. Previous studies 1736 have been performed on hard-scattering events [129,130]. Although these types of events provide 1737 a statistical advantage, they are characterised by a high detector occupancy. In comparison, the 1738 low-multiplicity of CEP events provides a favourable environment for the reconstruction of the 1739 electron tracks associated with the photon, which should result in a higher efficiency for a given 1740 photon's transverse momentum. 1741

#### <sup>1742</sup> 5.1.1 Strategy for the determination of the photon-conversion efficiency

The probability for a photon conversion to occur and the efficiency for it to be reconstructed by the LHCb spectrometer can be written as follows:

$$\epsilon_{\gamma \to e^+ e^-} = \frac{N_{\gamma \to e^+ e^-}}{N_{\gamma_{\text{All}}}},\tag{5.1}$$

where  $N_{\gamma \to e^+e^-}$  is the number of photons within a sample that undergo photon conversion into an electron-position pair and are fully reconstructed by LHCb, while  $N_{\gamma_{AII}}$  is the initial number of photons in the same data sample, whether or not they have converted. To take advantage of LHCb's excellent performance at reconstructing charmed mesons, we use a data-driven approach using  $D^*(2007)^0$  mesons to calculate the photon-conversion efficiency. In particular, we use  $D^*(2007)^0 \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  decays. Henceforth,  $D^{*0}$  should be taken to mean  $D^*(2007)^0$  mesons, and when we speak of  $D^{*0}$  candidates it should be assumed that we speak of  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  decays. Of course, there is some inefficiency associated with the reconstruction of the  $D^0 \to K^{\pm}\pi^{\mp}$  decay itself. Thus, we seek to measure

$$\epsilon_{\gamma \to e^+ e^-} = \frac{N_{\rm Conv}(D^{*0})}{N_{\rm All}(D^{*0}|D^0)} , \qquad (5.2)$$

where  $N_{\text{Conv}}(D^{*0})$  denotes the number of reconstructed and selected  $D^{*0}$  mesons using downstream converted photons, and  $N_{\text{All}}(D^{*0}|D^0)$  denotes the number of  $D^{*0}$  decays in which the  $D^0$ mesons would be reconstructed and selected given the criteria presented in Sec. 4.2, independent of the photon detection.

To calculate the number of  $D^{*0}$  mesons produced in our sample, we invoke isospin symmetry and take advantage of the results from a previous LHCb measurement of  $D^{*+} \rightarrow D^0[K^{\pm}\pi^{\mp}]\pi^+$ and  $D^0 \rightarrow K^{\pm}\pi^{\mp}$  production at  $\sqrt{s} = 13$  TeV [131]. By isospin symmetry we expect the same number of  $D^{*0}$  as  $D^{*+}$  to be produced in the LHCb detector. Therefore, we can use the number of all  $D^0$  mesons in the sample  $N(D^0)$  to calculate  $N_{\text{All}}(D^{*0}|D^0)$  within the LHCb acceptance such that,

$$N_{\text{All}}(D^{*0}|D^0) = N(D^0) \cdot \frac{\mathcal{B}(D^{*0} \to D^0 \gamma)}{\mathcal{B}(D^{*+} \to D^0 \pi^+)} \cdot r(D^{*+}/D^0),$$
(5.3)

where  $\mathcal{B}(D^{*0} \to D^0 \gamma) = (35.3 \pm 0.9)\%$  and  $\mathcal{B}(D^{*+} \to D^0 \pi^+) = (67.7 \pm 0.5)\%$  are the branching fractions of their corresponding decays as given by the PDG [81], and  $r(D^{*+}/D^0)$  is the ratio of the cross-sections times their corresponding branching fraction of  $D^{*+} \to D^0[K^{\pm}\pi^{\mp}]\pi^+$  and  $D^0 \to K^{\pm}\pi^{\mp}$  as calculated in the LHCb paper referenced above.

The ratio  $r(D^{*+}/D^0)$  has been measured in bins of the charmed meson rapidity, in the 2.0 < y < 4.5 range, and its transverse momentum, in the  $p_{\rm T}$  < 15 GeV/c range. These results are tabulated in Table 5.1 and are plotted in Fig. 5.1. Here we observe evidence of significant variation with the transverse momentum of  $D^0$ , but less so with its rapidity. We weight these  $r(D^{*+}/D^0)$  values by the transverse momentum and rapidity distributions of the  $D^0$  mesons in our inclusive  $D^0$  selection, to calculate a  $r(D^{*+}/D^0)$  central value that is representative of our sample.

The average transverse momentum of a photon in  $D^{*0} \to D^0 \gamma$  decays is higher than that of photons from CEP  $\chi_c \to J/\psi \gamma$  decays. Since the kinematics of the photon in  $D^{*0}$  decays are strongly correlated to the kinematics of the  $D^0$  meson, we are able to better match the kinematics of the  $D^{*0}$  photons to those in our CEP  $\chi_c$  sample by placing an upper limit cut on the transverse momentum of the  $D^0$ . As a result, we require that the transverse momentum of

	y					
$p_{ m T}~[{ m GeV}/c]$	[2.0,  2.5]	[2.5,3.0]	[3.0,  3.5]	[3.5,  4.0]	[4.0,  4.5]	
[0.0 - 1.0]	-	-	-	-	$21.9^{+3.0+6.7}_{-3.0-6.3}$	
[1.0 - 1.5]	-	$18.3^{+0.8+2.0}_{-0.8-2.0}$	$22.6^{+0.3+1.3}_{-0.3-1.6}$	$20.3_{-0.3-1.5}^{+0.3+1.4}$	$25.5_{-0.8-3.1}^{+0.8+3.7}$	
[1.5 - 2.0]	-	$26.3^{+0.5+1.8}_{-0.4-1.6}$	$26.4^{+0.2+1.3}_{-0.2-1.9}$	$24.7^{+0.3+1.5}_{-0.3-1.9}$	$25.5^{+0.6+2.5}_{-0.6-2.0}$	
[2.0 - 2.5]	$26.8^{+2.4+5.7}_{-2.4-6.0}$	$26.5_{-0.3-1.1}^{+0.3+1.9}$	$27.4_{-0.2-1.8}^{+0.2+1.3}$	$25.7^{+0.2+1.6}_{-0.2-1.6}$	$25.5_{-0.5-2.1}^{+0.5+2.6}$	
[2.5 - 3.0]	$26.8^{+0.9+2.9}_{-0.9-3.1}$	$27.1_{-0.3-1.7}^{+0.3+1.2}$	$27.0^{+0.2+1.6}_{-0.2-1.5}$	$26.0^{+0.2+1.7}_{-0.3-1.7}$	$26.6^{+0.6+1.8}_{-0.6-2.0}$	
[3.0 - 3.5]	$27.2^{+0.7+2.2}_{-0.7-2.5}$	$28.3^{+0.2+1.2}_{-0.2-1.9}$	$28.6^{+0.2+1.7}_{-0.2-1.4}$	$25.5_{-0.3-1.8}^{+0.3+1.8}$	$25.9^{+0.6+2.7}_{-0.6-2.2}$	
[3.5 - 4.0]	$28.9^{+0.6+2.1}_{-0.6-2.5}$	$29.8^{+0.3+1.4}_{-0.3-2.1}$	$28.9^{+0.2+1.8}_{-0.2-1.4}$	$27.2^{+0.3+1.9}_{-0.3-1.7}$	$27.9^{+0.8_{2.7}}_{-0.8-2.7}$	
[4.0 - 5.0]	$28.8^{+0.4+1.4}_{-0.4-2.3}$	$29.2^{+0.2+1.3}_{-0.2-2.0}$	$28.5^{+0.2+1.6}_{-0.2-1.4}$	$28.2^{+0.3+2.0}_{-0.3-1.5}$	$30.2^{+0.9+2.3}_{-0.9-2.2}$	
[5.0 - 6.0]	$27.3^{+0.4+1.3}_{-0.4-2.3}$	$29.4_{-0.2-2.0}^{+0.2+1.4}$	$29.9_{-0.3-1.3}^{+0.3+1.7}$	$32.2_{-0.5-1.6}^{+0.4+2.3}$	$31.5^{+2.1+4.1}_{-2.0-3.7}$	
[6.0 - 7.0]	$30.9^{+0.5+1.8}_{-0.5-2.6}$	$30.8^{+0.3+1.6}_{-0.3-2.1}$	$29.8\substack{+0.4+1.7\\-0.4-1.5}$	$29.5_{-0.6-2.2}^{+0.6+2.2}$	$38.0^{+10.0+24}_{-8.0-17.0}$	
[7.0 - 8.0]	$33.1_{-0.7-2.9}^{+0.7+2.0}$	$29.6_{-0.4-2.3}^{+0.4+1.7}$	$31.7^{+0.5+2.2}_{-0.5-1.6}$	$36.8^{+1.3+4.4}_{-1.2-4.3}$	-	
[8.0 - 9.0]	$32.3_{-0.8-2.9}^{+0.8+2.2}$	$29.9_{-0.5-2.3}^{+0.5+1.9}$	$31.4_{-0.7-1.7}^{+0.7+2.8}$	$28.0^{+1.8+4.1}_{-1.7-3.4}$	-	
[9.0 - 10.0]	$21.8^{+0.7+1.2}_{-0.7-1.7}$	$30.9^{+0.7+1.6}_{-0.7-2.1}$	$30.2^{+0.8+1.6}_{-0.8-1.5}$	$40.6^{+4.8+6.1}_{-4.3-7.0}$	-	
[10.0 - 11.0]	$31.8^{+1.2+1.6}_{-1.1-2.2}$	$32.1_{-0.9-2.1}^{+0.9+1.6}$	$34.6^{+1.4+1.8}_{-1.3-1.8}$	$34.0^{+10.0+13.0}_{-8.0-11.0}$	-	
[11.0 - 12.0]	$30.8^{+1.3+1.6}_{-1.4-2.5}$	$30.16^{+1.1+1.4}_{-1.1-2.0}$	$31.2^{+1.8+1.6}_{-1.7-1.7}$	-	-	
[12.0 - 13.0]	$33.0^{+1.8+2.1}_{-1.8-3.1}$	$32.2^{+1.4+1.6}_{-1.4-2.2}$	$32.8^{+2.5+2.2}_{-2.4-1.8}$	-	-	
[13.0 - 14.0]	$34.0^{+2.1+1.8}_{-2.1-3.0}$	$27.6^{+1.6+1.5}_{-1.6-2.0}$	$41.2^{+4.7+3.1}_{-4.3-3.4}$	-	-	
[14.0 - 15.0]	$29.7^{+2.5+1.9}_{-2.4-2.4}$	$34.0^{+2.5+2.3}_{-2.3-2.5}$	$27.8^{+5.6+6.7}_{-4.8-5.7}$	-	-	

**Table 5.1.** The ratios of differential production cross-section-times-branching-fraction for prompt  $D^{*+}$  and  $D^0$  mesons in bins of  $(p_T, y)$ . The first uncertainty is statistical and the second is systematic. All values are given in percent [131].



Figure 5.1. The ratios of differential production cross-section-times-branching-fraction for prompt  $D^{*+}$  and  $D^0$  mesons as a function of the  $D^0$  meson's transverse momentum and rapidity [131].

the  $D^0$  mesons used in the ratio calculation be less than 7.095 GeV/c in order to match the mean of the transverse-momentum distribution of the photons in the  $\chi_c$  sample. In a few cases, near the edge of phase space, the uncertainties in the measured cross-section ratios are larger than ten percent. To reduce the effect of these larger uncertainties, we average the value of that  $(p_{\rm T}, \eta)$  bin with that of the nearest bin in  $p_{\rm T}$ , then merge the result into a single larger bin. Any event with kinematics for which a ratio has not been calculated is assigned the value of the nearest neighbour in  $p_{\rm T}$ .

This procedure results in a value of  $r(D^{*+}/D^0) = (28.6 \pm 2.3)\%$ , which then can be used in 1787 Eq. 5.3 as part of the photon-conversion efficiency calculation. The value is marked in Fig. 5.1 1788 in grey. Here we assign a systematic uncertainty of a relative eight percent which is the same 1789 as determined for the average ratio quoted in the prompt-charm paper. (This compares to 1790  $(29.19 \pm 2.4)\%$ , marked in Fig. 5.1 in black, where the ratio is averaged over the entire  $D^0 p_{\rm T}$ 1791 kinematic range, 1.8 to 15.0 GeV/c. In this figure, the error bar along the x-axis for these two 1792 values are set by the root-mean-squared value of the  $D^0$  transverse-momentum distribution used 1793 in each calculation.) 1794

#### <sup>1795</sup> 5.1.2 Study of the photon acceptance in the calibration and signal samples

To ensure photons in our  $D^{*0}$  calibration sample are representative of those in our CEP  $\chi_c$ sample in all phase space, we compare the density plots of the photons' pseudorapidity and the logarithm of the photons' transverse momentum. We start with the photons in the  $D^{*0}$ sample in Fig. 5.2 (top left) where we observe a clear boundary in the photons' phase space. Low-momentum electrons tend to be deflected out of the spectrometer's acceptance. The electrons closest to the detector's edge are more likely to be expelled from the detector. This boundary can be described empirically with a line overlaid in red such that,

$$\log(p_{\rm T}(\gamma)) = -0.46 \cdot \eta(\gamma) + 4.1. \tag{5.4}$$

From the  $\chi_c$  signal sample (top right), we see that all events fall above this boundary and 1803 their phase space is well represented by the calibration sample. We observe the same in fully 1804 reconstructed and truth-matched Monte Carlo events for  $\chi_{c1}$  (middle left) and  $\chi_{c2}$  (middle right) 1805 mesons. The differences in the density-plot distributions between the CEP  $\chi_c$  data sample and 1806 the Monte Carlo are attributed to both reconstruction and resolution differences between data 1807 and Monte Carlo, specifically due to the bremsstrahlung correction and the contribution of 1808 inelastic background events in the data which typically have higher energies. In addition, we 1809 look at the generator-level phase-space distribution of the photon in Monte Carlo within our 1810 fiducial acceptance, given the reconstruction of a  $J/\psi$ . To do this, we reconstruct only the  $J/\psi$ 1811 mesons from the CEP  $\chi_c$  Monte Carlo using the same criteria pertaining to the  $J/\psi$  from the 1812 CEP  $\chi_c$  selection and save the generator-level information of the accompanying photon. The 1813 phase-space-density plots of these photons are shown in Fig. 5.2 for  $\chi_{c1}$  (bottom left) and  $\chi_{c2}$ 1814 (bottom right) Monte Carlo, from which we find that approximately 46 (49) percent of  $\chi_{c1}(\chi_{c2})$ 1815 events fall below this phase space boundary. 1816



Figure 5.2. Density plots of the photons' pseudorapidity and the logarithm of its transverse momentum for photons from  $D^{*0} \rightarrow D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  candidates (top left),  $\chi_c$  candidates (top right),  $\chi_{c1}$ reconstructed Monte Carlo (middle left),  $\chi_{c2}$  reconstructed Monte Carlo (middle right),  $\chi_{c1}$  generatorlevel Monte Carlo (bottom left), and  $\chi_{c2}$  generator-level Monte Carlo (bottom right). A linear fit is overlaid to show the kinematic limit for the reconstruction of photons in red.

# <sup>1817</sup> 5.1.3 Determination of $D^0$ yields

To calculate  $N(D^0)$  for Eq. 5.3, we fit the mass distribution of  $D^0 \to K^{\pm}\pi^{\mp}$  candidates in the 1819 1820  $< m(D^0) < 1910 \,\mathrm{MeV}/c^2$  mass range. For the signal we use two Gaussian distributions, which share the same mean parameter, and we use a first-order Chebyshev polynomial for the 1821 background. The fit results are shown in Fig. 5.3 and the parameter values are detailed in 1822 Table 5.2. As is evident from the distribution, this sample has very low combinatoric background, 1823 however to suppress it further we count the number of  $D^0$  candidates within a window of  $\pm 25$ 1824 MeV/ $c^2$  around its nominal mass value given by the PDG,  $1864.83 \pm 0.05 \,\mathrm{MeV}/c^2$ . From the fit we find 139, 404, 920  $\pm$  14931 signal and 8, 616, 519  $\pm$  5628 background events within the mass window, which corresponds to a purity of 94.18  $\pm$  0.01 %.



**Figure 5.3.** Invariant-mass distribution of  $D^0 \to K^{\pm}\pi^{\mp}$  mesons for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV from 2016 data. The  $D^0$  signal is fitted with two Gaussian distributions with a common mean in green and the background is fitted with a first-order Chebyshev polynomial in red. The total fit is shown in blue. The region excluded by the mass-window cut is highlighted in red.

**Table 5.2.** Fit parameters for  $D^0$  invariant-mass fit for 2016 data where  $\mu$  is the mean shared by the two Gaussian distributions,  $\sigma_1$  and  $\sigma_2$  are their widths,  $Y_1/Y_2$  is the fraction of the yields of the Gaussian distributions,  $Y_{\text{Signal}}$  is the number of  $D^0$  candidates,  $a_0$  is the parameter of the first-order Chebyshev polynomial, and  $Y_{\text{Background}}$  is the number of background events.

Parameter	Value	Units
$\mu$	$1865.3266 \pm 0.0008$	$MeV/c^2$
$\sigma_1$	$11.35\pm0.01$	$MeV/c^2$
$\sigma_2$	$6.751 \pm 0.003$	$MeV/c^2$
$Y_1/Y_2$	$0.507 \pm 0.003$	-
$Y_{ m Signal}$	$139{,}404{,}919 \pm 14930$	-
$a_1$	$-0.2885 \pm 0.0005$	-
$Y_{\rm Background}$	$8{,}616{,}519\pm5627$	-

# 1827 5.1.4 Determination of $D^{*0}$ yields

We use the difference between the reconstructed invariant mass of the  $D^{*0}$  candidates and the intermediate  $D^0$  meson throughout this analysis to partially cancel out the experimental error in the reconstruction of the  $D^0$  meson such that,

$$\Delta m_{D^{*0}} = m(D^0 \gamma) - m(D^0).$$
(5.5)

# 1831 Modelling $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$ invariant-mass difference

The shape of the  $\Delta m_{D^{*0}}$  distribution is determined from the large, fully reconstructed Monte 1832 Carlo described in Sec. 4.2.4. The same selection criteria used in the  $D^{*0}$  data are applied to the 1833 Monte Carlo sample. After truth-matching the Monte Carlo a double-sided Crystal Ball [132] is 1834 used to fit the  $\Delta m_{D^{*0}}$  distribution, shown in Fig. 5.4, where all the parameters are left floating 1835 during the fit. A double-sided Crystal Ball has a Gaussian core with two different power-law 1836 tails, which allow for an adequate description of the asymmetric  $\Delta m_{D^{*0}}$  shape. This shape has a 1837 total of six free parameters: a mean  $(\mu)$ , a width  $(\sigma)$ , two parameters that describe the distance 1838 to the left ( $\alpha_{\text{Left}}$ ) and right ( $\alpha_{\text{Right}}$ ) of the mean where the Gaussian core becomes a power law, 1839 and two parameters for the exponent of the power-law component of each tail  $(n_{\text{Left}} \text{ and } n_{\text{Right}})$ . 1840 We then separate the sample in  $p_{\rm T}(\gamma)$  bins of 200 MeV/c, and fit the  $\Delta m_{D^{*0}}$  distribution in each 1841 range up to 1600 MeV/c. These fits are shown in Fig. 5.5 and their fitting-parameter values are 1842 summarised in Table 5.3. 1843



Figure 5.4. Fit of the  $\Delta m_{D^{*0}}$  distribution of truth-matched  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  mesons for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV from 2016 Monte Carlo for converted photons with transverse momentum in the 0 to 1600 MeV/c range. The distribution is fitted with a double-sided Crystal Ball with all parameters floated (green).

# 1844 Modelling $D^{*0} o D^0[K^\pm\pi^\mp]\pi^0[\gamma\gamma]$ background

 $D^{*0}$  mesons can decay into a  $D^0\pi^0$  pair with a branching fraction of  $64.7 \pm 0.9\%$ , where the  $\pi^0$ decays into a pair of photons  $98.923 \pm 0.034\%$  of the time. This compares to the  $35.3 \pm 0.9\%$ branching fraction of  $D^{*0} \rightarrow D^0\gamma$  decays.  $D^0\pi^0$  events reconstructed with a single missing photon have a lower invariant-mass signature than  $D^0\gamma$  events, with the majority of events falling between 50 and 100 MeV/ $c^2$  in the  $\Delta m_{D^{*0}}$  distribution. Due to the negative-skewed distribution of the  $D^0\gamma$ , the tails overlap slightly and, as a result, it is important to include this lower-mass region as part of the data fit.

Parameter	Unites			Value		
$p_{ m T}(m{\gamma})$	${ m MeV}/c$	0 - 1600	0 - 200	200 - 400	400 - 600	600 - 800
μ	$MeV/c^2$	$142.25\pm0.08$	$142.4\pm0.7$	$143.0\pm0.2$	$142.30\pm0.02$	$142.1\pm0.2$
$\sigma$	$MeV/c^2$	$2.1\pm0.1$	$2.11\pm0.5$	$1.4\pm0.5$	$1.56\pm0.01$	$2.5\pm0.3$
$lpha_{ m Left}$	-	$0.32\pm0.02$	$0.13\pm0.05$	$0.11\pm0.04$	$0.21\pm0.01$	$0.40\pm0.05$
$lpha_{ m Right}$	-	$-0.73\pm0.05$	$-0.6\pm0.2$	$-0.4\pm0.1$	$-0.50\pm0.01$	$-0.9\pm0.1$
$n_{ m Left}$	-	$3.6\pm0.1$	$2.12\pm0.7$	$5.8\pm0.8$	$5.1\pm0.2$	$5.6\pm0.7$
$n_{ m Right}$	-	$5.09\pm0.4$	$3.0\pm1.6$	$9.8\pm3.1$	$6.5\pm0.4$	$4.3\pm0.7$
$p_{ m T}(m{\gamma})$	${ m MeV}/c$		800 - 1000	1000 - 1200	1200 - 1400	1400 - 1600
$\mu$	$MeV/c^2$		$142.2\pm0.2$	$142.1\pm0.3$	$142.3\pm0.2$	$142.4\pm0.3$
$\sigma$	$MeV/c^2$		$2.2\pm0.3$	$2.8\pm0.5$	$0.7\pm0.5$	$3.4\pm0.3$
$lpha_{ m Left}$	-		$0.44\pm0.07$	$0.5\pm0.1$	$0.2\pm0.1$	$0.7\pm0.1$
$lpha_{ m Right}$	-		$-0.9\pm0.2$	$-1.4\pm0.3$	$-0.5\pm0.3$	$-2.0\pm0.3$
$n_{\rm Left}$	-		$4.4\pm0.5$	$5.7\pm1.3$	$3.7\pm0.7$	$5.7\pm2.7$
$n_{ m Right}$	-		$3.7\pm0.9$	$2.5\pm0.7$	$2.8\pm0.7$	$1.0\pm0.5$

**Table 5.3.** Fit parameters and yields for  $D^{*0}$  invariant-mass fit for Monte Carlo in bins of  $p_{\rm T}(\gamma)$ .

To model this background, we use the  $D^{*0} \to D^0 \pi^0$  Monte Carlo sample described in 1852 Sec. 4.2.4. The fully reconstructed events are processed with the same selection criteria as the 1853  $D^{*0}$  data. As with the  $D^{*0}$  signal model, we need to understand how the  $D^0\pi^0$  background 1854 changes with the photon's transverse momentum. The  $\Delta m_{D^{*0}}$  shape of this background varies 1855 significantly with  $p_{\rm T}(\gamma)$ . As a result, we use a one-dimensional kernel estimation (KE) PDF [133], 1856 a flexible, non-parametric method which models each data point as a Gaussian kernel. The 1857 width of the Gaussian is proportional to the local density of events and contributes to 1/N of 1858 the total integral, where N is the total number of events in the distribution. The fit for photons 1859 with a transverse momentum in bins of 200 MeV/c is shown in Fig. 5.6. The fit results are 1860 saved as templates for later use in the data fits. 1861

 $_{1862}$   $D^{*0}$  combinatorial-background model

The combinatorial background in the  $\Delta m_{D^{*0}}$  distribution has a characteristic shape which goes to zero at threshold. To model the combinatorial background, where  $D^0$  mesons are wrongly matched with a photon, we use a density function designed to model  $D^{*0} \to D^0 X$  decays as follows,

$$f(\Delta m_{D^{*0}}) = \begin{cases} 0 & \Delta m_{D^{*0}} - \Delta m_0 \le 0\\ r^A \left[ 1 - \exp\left(\frac{-(\Delta m_{D^{*0}} - \Delta m_0)}{C}\right) \right] + B(r-1) & \Delta m_{D^{*0}} - \Delta m_0 > 0, \end{cases}$$
(5.6)

where  $\Delta m_0$  is the invariant-mass difference threshold under which the function is set to zero, r is the ratio of the delta mass and the  $\Delta m_{D^{*0}}$  threshold,  $\Delta m_{D^{*0}}/\Delta m_0$ , and A, B, and C are shape parameters. The first term,  $r^A$ , assures that the distribution tends to zero for small  $\Delta m_{D^{*0}}$ 



Figure 5.5. Fit of the  $\Delta m_{D^{*0}}$  distribution of truth-matched  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  Monte Carlo for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for 2016 data in increments of 200 MeV/c in  $p_{\rm T}$ ( $\gamma$ ) from left to right. The distributions are fitted with a double-sided Crystal Ball with all parameters floated.



**Figure 5.6.** Fit of the  $\Delta m_{D^{*0}}$  distribution of truth-matched  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  events reconstructed from  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\pi^0[\gamma\gamma]$  Monte Carlo for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for 2016 data run conditions in increments of 200 MeV/c in  $p_{\rm T}(\gamma)$  from left to right. The distributions are fitted with a kernel-estimator PDF.

values. The second exponential term,  $1 - \exp\left(\frac{-(\Delta m_{D^{*0}} - \Delta m_0)}{C}\right)$ , insures that the distribution tends to zero for large  $\Delta m_{D^{*0}}$  values, where C effectively controls the overall width of the distribution. The last term is a linear correction. For B < 0 the function can be negative at large  $\Delta m_{D^{*0}}$  values. This regime is never reached within the analysis. All parameters are floated during the fit.

# 1875 $D^{*0}$ data fit

To fit the  $\Delta m_{D^{*0}}$  distribution in the 2016 calibration data, we fix the  $D^0\gamma$  signal parameters 1876 associated with the tails of the double-sided Crystal Ball to match the results of the Monte 1877 Carlo fits for each  $p_{\rm T}(\gamma)$  range, while allowing the mean value to float. In addition, we use a 1878 Gaussian convolution on the signal shape as an empirical correction to account for differences 1879 in resolution between data and Monte Carlo. We allow the mean and width of the Gaussian to 1880 float in the fit of the data spanning a wide photon kinematic range, 0 to 1600 MeV/c, and fix 1881 the parameters according to these results for the fits in 200 MeV/c bin intervals. The KE PDFs 1882 of the  $D^0\pi^0$  background is used for the corresponding  $p_{\rm T}(\gamma)$  selection. This background has a 1883 much wider distribution than that of the  $D^0\gamma$  signal and, as a result, is not as susceptible to 1884 the resolution effects. Therefore, no additional correction is applied to the shapes extracted 1885 from Monte Carlo. Finally, we allow all the combinatorial-background parameters to float. 1886 The fit result in the  $p_{\rm T}(\gamma) < 1600$  MeV/c range is shown in Fig. 5.7 and for the individual 1887 200 MeV/c bin increments in Fig. 5.8. The peak of  $D^{*0}$  candidates is barely visible at low values 1888 of  $p_{\rm T}(\gamma)$  and steadily grows with higher  $p_{\rm T}(\gamma)$ . The corresponding yields and fit parameters 1889 are summarised in Table 5.4. The  $D^{*0}_{\text{Yield}}$  or  $N_{\text{Conv}}(D^*(2007)^0)$  is plotted as a function of the 1890 photon's transverse momentum in Fig. 5.9. This is the numerator of our efficiency calculation 1891 described in Eq. 5.2. 1892

#### 1893 5.1.5 Efficiency denominator

# $_{1894}$ $D^0$ kinematic re-weight method and validation

We now discuss the calculation of the denominator of Eq. 5.2,  $N_{\rm all}(D^{*0}|D^0)$ . As has been 1895 explained, the cross-section measurements,  $\sigma(pp \to D^{*+}X)$  and  $\sigma(pp \to D^0X)$  [131], are used 1896 together with the inclusive  $D^0$  sample to provide a normalisation. However, we also need the 1897  $p_{\rm T}(\gamma)$  dependence of  $N_{\rm all}(D^{*0}|D^0)$ . The selection requirements imposed on the  $D^0$  will of course 1898 change the  $p_{\rm T}(\gamma)$  distribution, even if there are no requirements imposed on the photon. These 1899 changes are driven by correlations in the kinematics, and can be reproduced by considering the 1900 changes in the  $\eta(D^0)$  and  $p_{\rm T}(D^0)$  distributions with respect to the distributions unbiased from 1901 selection and reconstruction effects. 1902

To obtain the  $p_{\rm T}(\gamma)$  dependence, we use the centrally produced  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  Monte Carlo described in Eq. 5.1.4 and weight the generator-level events such that their  $\eta(D^0)$  and  $p_{\rm T}(D^0)$  distributions are aligned with those observed in the data. By using these weights, we then obtain the distribution of  $p_{\rm T}(\gamma)$  before selection and reconstruction effects of the photon.



Figure 5.7. Fit of the  $\Delta m_{D^{*0}}$  distribution of  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  candidates for 2016 data with photons with transverse momentum between 0 to 1600 MeV/c. The  $D^{*0}$  signal is fitted with a double-sided Crystal Ball convoluted with a Gaussian (green), the  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\pi^0[\gamma\gamma]$  background is fitted with a KE template from Monte Carlo (purple), and the combinatorial background is fitted with Eq. 5.6.

This procedure is tested with Monte Carlo to check the assumption that reweighting in  $\eta(D^0)$  and  $p_T(D^0)$  is sufficient to reproduce the distribution of the  $p_T$  of the unselected photons. To do this, Monte Carlo events are selected with the requirement that the  $D^0$  is reconstructed, and with no requirement on the reconstruction of a photon. Using the Monte Carlo truth information, we check if the  $D^0$  is associated with a  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  decay. If it is, then the truth information of the photon is saved.

We re-weight unbiased generator-level Monte Carlo to match the kinematics of the  $D^0$ mesons in the fully reconstructed Monte Carlo. It is checked and shown in Fig. 5.10 that  $\eta(D^0)$ (top left),  $p_T(D^0)$  (top right), and  $p(D^0)$  (bottom left) are brought into agreement by this procedure, as expected. The photon momentum of the re-weighted generator-level Monte Carlo is then compared with the truth information of the photons that were saved along with the reconstructed  $D^0$  candidates. This is shown in Fig. 5.10 (bottom right), where good agreement is seen between the two distributions, indicating that the method works.

# <sup>1920</sup> $D^0$ kinematic re-weight and efficiency calculation

With the method validated, we next re-weight the generator-level Monte Carlo to now match the kinematics of all the  $D^0$  mesons in the inclusive  $D^0$  sample. The comparison between re-weighted Monte Carlo and the data is shown in Fig. 5.11. After this procedure, the  $p_{\rm T}(\gamma)$  of the re-weighted, generator-level Monte Carlo gives the  $p_{\rm T}(\gamma)$  dependence of  $N_{\rm All}(D^{*0}|D^0)$ . After normalising it using Eq. 5.3, we have obtained the denominator of our efficiency calculation, shown in Fig. 5.12.



Figure 5.8. Fit of the  $\Delta m_{D^{*0}}$  distribution of  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma[e^+e^-]$  candidates for 2016 data in increments of 200 MeV/c in  $p_{\rm T}^2(\gamma)$ . The  $D^{*0}$  signal is fitted with a double-sided Crystal Ball convoluted with a Gaussian (green), the  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\pi^0[\gamma\gamma]$  background is fitted with a KE template from Monte Carlo (purple), and the combinatorial background is fitted with Eq. 5.6.



Figure 5.9.  $D^{*0}_{\text{Yield}}$  in 200 MeV/*c* bins of the photon's transverse momentum.

**Table 5.4.** Fit parameters and yields for  $\Delta m_{D^{*0}}$  mass fit for 2016 data for photons with transverse momentum in the 0 to 1600 MeV/c range and in increments of 200 MeV/c. The  $\mu_{\text{Gauss}}$  and  $\sigma_{\text{Gauss}}$  parameters are related to the Gaussian convolution to the signal used to apply the empirical correction to the data.

Para.	Units			Value		
$p_{\mathrm{T}}(\gamma)$	${ m MeV}/c$	0 - 1600	0 - 200	200 - 400	400 - 600	600 - 800
$\mu_{\rm Gauss}$	$MeV/c^2$	$0.002\pm0.0005$	-	-	-	-
$\sigma_{\mathrm{Gauss}}$	${ m MeV}/c^2$	$0.12\pm0.01$	-	-	-	-
$\mu_{\mathrm{Signal}}$	${ m MeV}/c^2$	$142.9\pm0.2$	$140 \pm 6$	$141.6\pm0.4$	$143.6\pm0.1$	$142.8\pm0.2$
$Y_{\rm Signal}$	-	$48843 \pm 695$	$1 \pm 179$	$3901\pm367$	$13292\pm241$	$10970 \pm 215$
$m_0$	$MeV/c^2$	$26.0\pm0.3$	$25 \pm 0.5$	$25.8\pm0.7$	$31 \pm 2$	$45.95\pm0.04$
A	-	$0.486 \pm 0.002$	$0.93\pm0.07$	$0.57\pm0.02$	$0.641 \pm 0.004$	$0.62\pm0.02$
B	-	$-0.263 \pm 0.001$	$-0.73\pm0.14$	$-0.39\pm0.02$	$-0.42527 \pm 0.00004$	$-0.42\pm0.02$
C	-	$99.4\pm0.4$	$28 \pm 4$	$67 \pm 3$	$76.909 \pm 0.008$	$97 \pm 3$
$Y_{\rm Bkg}$	-	$518734\pm1209$	$61887 \pm 465$	$227327 \pm 777$	$131419\pm355$	$55613 \pm 352$
$Y_{\pi^0}$	-	$35499 \pm 569$	$2556 \pm 415$	$15563 \pm 426$	$11355\pm148$	$5073 \pm 196$
$p_{\mathrm{T}}(\gamma)$	${ m MeV}/c$		800 - 1000	1000 - 1200	1200 - 1400	1400 - 1600
$\mu_{\rm Signal}$	$MeV/c^2$		$142.03\pm0.02$	$143.011 \pm 0.001$	$142.03\pm0.09$	$142.1\pm0.2$
$Y_{\rm Signal}$	-		$7066 \pm 11$	$5065\pm0.01$	$2505\pm78$	$1414\pm50$
$m_0$	$MeV/c^2$		$49\pm2$	$42.2645 \pm 0.00002$	$55\pm 6$	$29\pm5$
A	-		$1.17\pm0.04$	$1.4113 \pm 0.0002$	$1.03\pm0.06$	$1.715\pm0.007$
B	-		$-1.0341 \pm 0.0006$	$-2.1000 \pm 0.0002$	$-1.04\pm0.03$	$-3.27\pm0.04$
C	-		$53.0145 \pm 0.0008$	$24\pm18$	$66 \pm 3$	$19\pm13$
$Y_{\rm Bkg}$	-		$25633 \pm 15$	$11891.5\pm0.01$	$5859 \pm 97$	$2645\pm56$
$Y_{\pi^0}$	-		$1854\pm5$	$155.472 \pm 0.002$	$29\pm24$	$5\pm4$

<sup>1927</sup> By dividing the reconstructed  $N(D^{*0})$ , shown in Fig. 5.9, and  $N_{\text{All}}(D^{*0}|D^0)$  in bins of <sup>1928</sup> the photon's transverse momentum, we obtain the efficiency of detecting a photon through



**Figure 5.10.**  $D^0 \to K^{\pm}\pi^{\mp}$  kinematics in the fully reconstructed Monte Carlo (black markers) and generator-level  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  before (red) and after re-weighting (blue). Weights are applied to  $D^0$  transverse momentum (top left) and  $\eta$  (top right) that successfully reproduce the  $D^0$  momentum distribution (bottom left) and the photon's transverse momentum (bottom right).

conversion versus  $p_{\rm T}(\gamma)$ , shown in Fig. 5.13. The efficiency is compatible with zero below 200 MeV/c and rises steadily to 1% at around 1300 MeV/c.



**Figure 5.11.**  $D^0 \to K^{\pm} \pi^{\mp}$  kinematics in the fully reconstructed Monte Carlo (black markers) and generator level  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  before (red) and after re-weighting (blue). Weights are applied to the  $D^0$  transverse momentum (left) and pseudorapidity (right).



Figure 5.12.  $N_{\text{All}}(D^{*0}|D^0)$  as a function of the photon's transverse momentum. The distribution is normalised according to the number of reconstructed  $D^0$  mesons and the ratio of  $pp \to D^{*+}$  and  $Xpp \to D^0 X$  cross-sections.



Figure 5.13. Photon-conversion efficiency as a function of photon's transverse momentum in bins of 200 MeV/c for 2016 run conditions.

#### <sup>1931</sup> 5.1.6 Dependence of efficiency on detector occupancy

<sup>1932</sup> The detector environment for events in the  $D^{*0}$  samples is not properly representative of the <sup>1933</sup> CEP environment, where we expect to see only particles associated with our decay mode in an <sup>1934</sup> otherwise empty detector. As detector occupancy can affect pattern-reconstruction efficiency <sup>1935</sup> in the tracking, we expect this difference to have consequences for the photon-reconstruction <sup>1936</sup> efficiency. The amount of activity in the detector can be estimated using the number of hits <sup>1937</sup> in the SPD. A typical CEP event, after accounting for spill over, will have less than 20 or 30 <sup>1938</sup> SPD hits, depending on the decay's final state. In contrast, the  $D^{*0}$  and  $D^0$  events used for the calibration studies are generally produced in inelastic collisions where the the detector occupancy is much higher: see Fig. 5.14 for a comparison of the SPD distribution from CEP  $\chi_c$  and inelastic  $D^{*0}$  events from 2016 data. It is expected that the reconstruction efficiency will vary as a function of the detector occupancy, as tracking and vertex reconstruction tends to improve with lower multiplicities. Therefore, to better understand the effect of the detector occupancy on  $\varepsilon_{\gamma \to e^+e^-}$ , we repeat the procedure detailed above and calculate the photon-conversion efficiency in bins of SPD hits.



**Figure 5.14.** Distribution of the number of SPD hits in the  $\chi_c$  sample (left) and the  $D^{*0}$  (right) sample for 2016 data.

The  $D^0$  and  $D^{*0}$  data set is further separated into subsets of SPD bins while keeping the whole converted-photon transverse momentum range, 0 to 1600 MeV/c, and their invariant mass distributions are fitted to calculate the efficiency normalisation. For systematic checks several binning schemes are considered: SPD bins of 75, 90, 112.5, and 150 SPD hit increments. The efficiency results for these four data sets are shown in Fig. 5.15.

As expected, from these distributions we learn that the photon-conversion efficiency increases with a lower detector occupancy. However, the overall shape of the distribution with respect to  $p_{\rm T}(\gamma)$  appears to be essentially independent of SPD multiplicity. We demonstrate this by fitting the efficiency calculated over the entire SPD range, 0 to 450, with a quadratic polynomial,

$$a + b \cdot p_{\mathrm{T}} + c \cdot p_{\mathrm{T}}^2, \tag{5.7}$$

with all parameters floating. We then apply the same fit, with all parameters fixed, except for the normalisation constant a, to each of the efficiencies using the 75 SPD hit interval subsets. These fits are shown in Fig. 5.16. In these fits the first bin with data is excluded as its inclusion leads to instabilities in the lower statistics samples. It is seen that the shape of the efficiency distribution fitted on the inclusive sample describes all of the sub-samples well, indicating that it is reasonable to factorise  $p_{\rm T}(\gamma)$  and multiplicity dependence when measuring the photon-conversion efficiency.



Figure 5.15. Photon-conversion efficiency as a function of the photon's transverse momentum in bins of 200 MeV/c for 2016 run conditions for 75 (top left), 90 (top right), 112.5 (bottom left), and 150 (bottom right) SPD hit increments.

To extrapolate the photon-conversion efficiency to the low-multiplicity regime of CEP events 1962 we take the sample of CEP candidates and correct for the photon-conversion efficiency according 1963 to the transverse momentum of the photon candidate to calculate the number of candidates 1964 prior to photon conversion. We repeat this exercise using the efficiency results evaluated in 1965 different bins of SPD multiplicity, taking as a baseline the 75 SPD hits bin width sample. The 1966 results are plotted in Fig. 5.17 (first two rows). The points are plotted at the mean of the SPD 1967 distribution for each range, with an error bar corresponding to the RMS of this distribution. As 1968 expected, the dependence of the photon-conversion efficiency on event multiplicity means that 1969 the corrected number of candidates is not constant, but rather falls with multiplicity, reflecting 1970 the higher efficiency in low-multiplicity events. Also shown is a point corresponding to the 1971 number of candidates calculated with the inclusive distribution, which appears at around 300 1972 SPD hits and is consistent with the binned distribution. 1973

To deduce the true number of CEP candidates we fit the binned distribution and extrapolate to zero multiplicity, which is representative of CEP conditions. We then fit a quadratic function over the entire SPD range, 0 to 450, with the minimum fixed at zero SPD hits:  $a + b \cdot x^2$ . The fit results are shown in Fig. 5.17 (first row) and the fit parameters are summarised in Table 5.5. We obtain the expected number of efficiency corrected  $\chi_c$  candidates from the intercept parameter a. To correct for the difference in multiplicity between the calibration and CEP samples we



Figure 5.16. Photon-conversion efficiency as a function of the photon's transverse momentum in bins of 200 MeV/c for 2016 run conditions in 75 SPD hit increments. The distributions are fitted with a quadratic polynomial (blue). The top plot shows the results for the inclusive distribution.

take the ratio between our extrapolation into low SPD, a, and the total number of expected  $\chi_c$ candidates as calculated using the whole SPD range,  $Y_{\text{All SPD}}$ .

As a systematic check, we repeat the fit using the quadratic model over the first four points, 1982 0 to 300 SPD hits, which are both well measured and lie closest to the CEP regime. This fit is 1983 shown in Fig. 5.17 (second row) for the 2016-only, and combined 2015 and 2016 data. The fit 1984 model is also substituted for a first-order polynomial and the fit is performed over both the first 1985 four points and the entire range, see Fig. 5.18 (first two rows). In addition, we split the data 1986 into five and four SPD bins then repeat the quadratic, Fig. 5.17, and linear, Fig. 5.18, fits. The 1987 fit parameters are summarised in Table 5.5. From considering the precision of these fits, and 1988 inspecting the variation in central values, we estimate a correction factor of  $0.50 \pm 0.10$ . 1989

Model	SPD Hits	SPD Bins	Parameter	2016	2015 + 2016
$a + b \cdot x^2$	0 - 450	6	a b $a/Y_{ m AllSPD}$	$\begin{array}{c} 194058 \pm 10051 \\ -43449 \pm 0.4 \\ 0.54 \pm 0.05 \end{array}$	$\begin{array}{c} 232315 \pm 12020 \\ -43470 \pm 0.4 \\ 0.54 \pm 0.05 \end{array}$
$a + b \cdot x^2$	0 - 300	6	a b $a/Y_{ m AllSPD}$	$201154 \pm 8913$ $-36353 \pm 0.6$ $0.56 \pm 0.05$	$240831 \pm 10664$ $-36309 \pm 0.4$ $0.56 \pm 0.05$
$a + b \cdot x^2$	0 - 450	5	a b $a/Y_{ m AllSPD}$	$196430 \pm 18634$ $-57300 \pm 0.4$ $0.55 \pm 0.07$	$235213 \pm 22208$ $-57345 \pm 0.4$ $0.55 \pm 0.07$
$a + b \cdot x^2$	0 - 450	4	$a \\ b \\ a/Y_{ m AllSPD}$	$204667 \pm 23953$ $-47500 \pm 0.4$ $0.57 \pm 0.08$	$245022 \pm 28547$ $-47535 \pm 0.4$ $0.57 \pm 0.08$
$a + b \cdot x$	0 - 450	6	$a \\ b \\ a/Y_{ m AllSPD}$	$136542 \pm 34248$ $704 \pm 215$ $0.38 \pm 0.10$	$163734 \pm 40886$ $839 \pm 257$ $0.38 \pm 0.10$
$a + b \cdot x$	0 - 300	6	$a \\ b \\ a/Y_{ m AllSPD}$	$161827 \pm 32124$ $506 \pm 226$ $0.45 \pm 0.10$	$193994 \pm 38339$ $602 \pm 269$ $0.45 \pm 0.10$
$a + b \cdot x$	0 - 450	5	a b $a/Y_{ m AllSPD}$	$152999 \pm 55386$ $571 \pm 294$ $0.43 \pm 0.16$	$183558 \pm 66021$ $680 \pm 350$ $0.43 \pm 0.16$
$a + b \cdot x$	0 - 450	4	a b $a/Y_{ m AllSPD}$	$138579 \pm 69566$ $724 \pm 331$ $0.39 \pm 0.20$	$166289 \pm 82928$ $862 \pm 394$ $0.39 \pm 0.20$

Table 5.5. Summary of the fit parameters used to extrapolate the number of expected  $\chi_c$  candidates corrected for photon conversions for linear and quadratic fits at two SPD intervals for 2016-only, and combined 2015 and 2016 data.



Figure 5.17. Number of  $\chi_c$  candidates corrected for the photon-conversion efficiency as a function of SPD hits for the 2016-only (left), and combined 2015 and 2016 (right) data. The distributions are fitted with a quadratic function centred at zero,  $a + b \cdot x^2$ . In the first and second row, the data are separated into six bins but the fit is performed using the full SPD range and the first four points, respectively. In the third and fourth row, the fit is performed using the full SPD range but the data are separated into five and four bins, respectively. The number of expected candidates calculated over the entire SPD range is overlaid in yellow.



Figure 5.18. Number of  $\chi_c$  candidates corrected for the photon-conversion efficiency as a function of SPD hits for the 2016-only (left), and combined 2015 and 2016 (right) data. The distributions are fitted with a first-order polynomial,  $b + m \cdot x$ . In the first and second row, the data are separated into six bins but the fit is performed using the full SPD range and the first four points, respectively. In the third and fourth row, the fit is performed using the full SPD range but the data are separated into five and four bins, respectively. The number of expected candidates calculated over the entire SPD range is overlaid in yellow.

### <sup>1990</sup> 5.1.7 Summary of photon-conversion efficiency studies

By studying the photons from  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  decays we have been able to calculate the photon-conversion efficiency (defined to be the product of the conversion probability and reconstruction efficiency) for downstream tracks in bins of the photon's transverse momentum,  $p_{\rm T}(\gamma)$ . A significant dependence on  $p_{\rm T}(\gamma)$  is observed, with negligible efficiency below ~ 300 MeV/c, rising steeply thereafter. This behaviour affects the reconstruction of  $\chi_{c0}$  mesons the most, for which the photons in CEP events are very soft. As a result, we conclude our sample in the  $\chi_{c0}$  mass region is background dominated and have excluded it from the study.

To account for the unique low-multiplicity environment conditions of CEP, we have studied the effect of the detector occupancy on the photon-conversion efficiency. By determining the evolution of the efficiency in bins of event multiplicity, quantified in the number of SPD hits, we are able to extrapolate the performance into the low-multiplicity regime of CEP physics.

In conclusion, we shall correct our observed  $\chi_{c1}$  and  $\chi_{c2}$  CEP signal by the photon-conversion efficiency vs.  $p_{\rm T}(\gamma)$  distribution of Fig. 5.13. The dominant systematic uncertainty in this procedure is a relative ±8% associated with the knowledge of the produced number of  $D^{*0}$ mesons in the sample. We shall then apply a further correction factor to the corrected yield of 0.50 ± 0.10 to account for the difference in multiplicity between the calibration and signal samples.

#### 2008 5.2 Muon-reconstruction efficiencies

The muon-pair reconstruction efficiencies have been calculated for CEP conditions using 2015 data in an earlier study of  $J/\psi$  and  $\psi(2S)$  production [43]. This study employed the same muon trigger lines and muon fiducial cut,  $2 < \eta < 4.5$ , used in our CEP  $\chi_c$  analysis. In the CEP  $J/\psi$ and  $\psi(2S)$  study, the reconstruction efficiency,  $\varepsilon_{\text{Rec}}$ , is defined such that,

$$\varepsilon_{\rm Rec} = \varepsilon_{\rm Track} \times \varepsilon_{\mu \rm Acc} \times \varepsilon_{\mu \rm ID} \times \varepsilon_{\rm Trig} \times f_{\rm Rec}, \qquad (5.8)$$

where  $\varepsilon_{\text{Track}}$  is the tracking efficiency for two tracks to be reconstructed inside the fiducial 2013 region,  $2 < \eta < 4.5$ ,  $\varepsilon_{\mu Acc}$  is the efficiency for both of the tracks to be inside the muon chamber 2014 acceptance,  $\varepsilon_{\mu ID}$  is the muon-identification efficiency, given by the fraction of muons traversing 2015 the muon chamber that are reconstructed as muons, and  $\varepsilon_{\rm Trig}$  is the trigger efficiency, which is 2016 defined as the fraction of events with two identified muons that fire the relevant hardware and 2017 software triggers. Throughout this study, we will refer to the product of these efficiencies as the 2018 dimuon efficiency. In Ref. [43] these efficiencies were calculated as a function of the  $J/\psi$  rapidity 2019 using simulation and were then corrected to bring Monte Carlo and data into agreement using a 2020 rapidity-dependent scale factor,  $f_{\text{Rec}}$ , obtained from a 'tag-and-probe' study performed on data. 2021 The efficiencies and correction factors are tabulated in Table 5.6, with their distributions shown 2022 in Fig. 5.19. We use this 2015 efficiency as a reference point in our analysis. However, we must 2023 adjust this measurement slightly to be suitable for the conditions of the 2015 and 2016  $\chi_c$  study. 2024

**Table 5.6.** Summary of the track ( $\varepsilon_{\text{Track}}$ ), muon-chamber acceptance ( $\varepsilon_{\mu\text{Acc}}$ ), muon identification ( $\varepsilon_{\mu\text{ID}}$ ), and trigger ( $\varepsilon_{\text{Trig}}$ ) efficiencies calculated using SuperChic Monte Carlo of exclusive  $J/\psi$  production for 2015 run conditions, and the scaling factor ( $\varepsilon_{\text{Rec}}$ ) applied to the simulation in order to match data and calculate the muon data-reconstruction efficiency ( $\varepsilon_{\text{Rec}}$ ). These values are reproduced from Ref. [43]. There follow quantities determined in the current analysis:  $R_N$ , which is the measured ratio of  $J/\psi$  events between 2015 and 2016 data samples, and  $\varepsilon_{\mu\mu15}$  and  $\varepsilon_{\mu\mu16}$ , which are the total dimuon efficiencies in data (including all the contributions above) for 2015 and 2016, respectively.

$\boldsymbol{y}$	$\left[2.0, 2.25\right]$	$\left[2.25, 2.5\right]$	$\left[2.5, 2.75\right]$	$\left[2.75, 3.0\right]$	$\left[3.0, 3.25\right]$
$\varepsilon_{\mathrm{Track}}$	$0.624\pm0.018$	$0.770 \pm 0.009$	$0.812 \pm 0.007$	$0.861 \pm 0.005$	$0.877 \pm 0.005$
$\varepsilon_{\mu  m Acc}$	$0.789 \pm 0.019$	$0.860 \pm 0.009$	$0.896 \pm 0.006$	$0.907 \pm 0.005$	$0.887 \pm 0.005$
$\varepsilon_{\mu \mathrm{ID}}$	$0.986 \pm 0.006$	$0.979 \pm 0.004$	$0.966 \pm 0.004$	$0.952 \pm 0.004$	$0.944 \pm 0.004$
$\varepsilon_{\mathrm{Trig}}$	$0.790 \pm 0.022$	$0.797 \pm 0.011$	$0.805 \pm 0.008$	$0.797 \pm 0.007$	$0.820 \pm 0.006$
$f_{\rm Rec}$	$1.070\pm0.063$	$1.016\pm0.042$	$0.981 \pm 0.032$	$0.952 \pm 0.026$	$0.934 \pm 0.024$
$\varepsilon_{ m Rec}$	$0.410 \pm 0.031$	$0.524 \pm 0.024$	$0.555 \pm 0.020$	$0.564 \pm 0.017$	$0.562 \pm 0.016$
$f_{\mu\varepsilon15}$	$0.973 \pm 0.086$	$0.930 \pm 0.042$	$0.955\pm0.034$	$1.004\pm0.030$	$1.044\pm0.029$
$R_N$	$0.143 \pm 0.007$	$0.151 \pm 0.004$	$0.148 \pm 0.003$	$0.158 \pm 0.003$	$0.165\pm0.003$
$\varepsilon_{\mu\mu15}$	$0.399 \pm 0.046$	$0.488 \pm 0.032$	$0.530 \pm 0.027$	$0.566 \pm 0.024$	$0.586 \pm 0.023$
$\varepsilon_{\mu\mu16}$	$0.485 \pm 0.061$	$0.562 \pm 0.039$	$0.622 \pm 0.034$	$0.622\pm0.029$	$0.617 \pm 0.026$
y	$\left[3.25, 3.5\right]$	$\left[3.5, 3.75 ight]$	$\left[3.75, 4.0\right]$	$\left[4.0, 4.25\right]$	$\left[4.25, 4.5\right]$
$oldsymbol{y}{arepsilon_{ ext{Track}}}$	[3.25, 3.5] $0.897 \pm 0.004$	[3.5, 3.75] $0.916 \pm 0.004$	[3.75, 4.0] $0.925 \pm 0.005$	$[4.0, 4.25]$ $0.913 \pm 0.007$	$[4.25, 4.5]$ $0.900 \pm 0.013$
$oldsymbol{y} \ arepsilon_{\mathrm{Track}} \ arepsilon_{\mu\mathrm{Acc}}$	$\begin{matrix} [\textbf{3.25},\textbf{3.5}] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \end{matrix}$	$\begin{matrix} \textbf{[3.5, 3.75]} \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \end{matrix}$	$\begin{matrix} [\textbf{3.75}, \textbf{4.0}] \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon_{\mathrm{Track}} & & \ arepsilon_{\mu\mathrm{Acc}} & & \ arepsilon_{\mu\mathrm{ID}} & & \ \end{array}$	$\begin{matrix} [\textbf{3.25, 3.5}] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \end{matrix}$	$\begin{matrix} \textbf{[3.5, 3.75]} \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \end{matrix}$	$\begin{matrix} \textbf{[3.75, 4.0]} \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon_{\mathrm{Track}} & \ arepsilon_{\mu\mathrm{Acc}} & \ arepsilon_{\mu\mathrm{ID}} & \ arepsilon_{\mathrm{Trig}} & \ arepsilon_{$	$\begin{matrix} \textbf{[3.25, 3.5]} \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \end{matrix}$	$\begin{matrix} \textbf{[3.5, 3.75]} \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \\ 0.902 \pm 0.005 \end{matrix}$	$\begin{matrix} \textbf{[3.75, 4.0]} \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon_{\mathrm{Track}} & & \ arepsilon_{\mu\mathrm{Acc}} & & \ arepsilon_{\mu\mathrm{ID}} & & \ arepsilon_{\mathrm{Trig}} & & \ arepsilon_{\mathrm{Trig}} & & \ f_{\mathrm{Rec}} & & \ \end{array}$	$\begin{matrix} \textbf{[3.25, 3.5]} \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \\ 0.924 \pm 0.023 \end{matrix}$	$\begin{matrix} [\mathbf{3.5, 3.75}] \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \\ 0.902 \pm 0.005 \\ 0.915 \pm 0.024 \end{matrix}$	$\begin{matrix} \textbf{[3.75, 4.0]} \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \\ 0.911 \pm 0.026 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \\ 0.914 \pm 0.030 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \\ 0.893 \pm 0.037 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon_{\mathrm{Track}} & \ arepsilon_{\mu\mathrm{Acc}} & \ arepsilon_{\mu\mathrm{ID}} & \ arepsilon_{\mu\mathrm{ID}} & \ arepsilon_{\mathrm{Trig}} & \ arepsilon_{\mathrm{Rec}} & \ arepsilon_{Re$	$\begin{matrix} [\textbf{3.25, 3.5}] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \\ 0.924 \pm 0.023 \\ 0.587 \pm 0.016 \end{matrix}$	$\begin{matrix} [3.5, 3.75] \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \\ 0.902 \pm 0.005 \\ 0.915 \pm 0.024 \\ 0.599 \pm 0.017 \end{matrix}$	$\begin{matrix} \textbf{[3.75, 4.0]} \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \\ 0.911 \pm 0.026 \\ 0.587 \pm 0.019 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \\ 0.914 \pm 0.030 \\ 0.550 \pm 0.021 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \\ 0.893 \pm 0.037 \\ 0.517 \pm 0.029 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon_{\mathrm{Track}} & \ arepsilon_{\mu\mathrm{Acc}} & \ arepsilon_{\mu\mathrm{ID}} & \ arepsilon_{\mathrm{Trig}} & \ arepsilon_{\mathrm{Trig}} & \ arepsilon_{\mathrm{Rec}} & \ arepsilon_{\mathrm{Rec}} & \ arepsilon_{\mathrm{Rec}} & \ arepsilon_{\muarepsilon15} & \ arepsilon_{\muare$	$\begin{matrix} [3.25, 3.5] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \\ 0.924 \pm 0.023 \\ 0.587 \pm 0.016 \\ 1.030 \pm 0.029 \end{matrix}$	$\begin{matrix} [3.5, 3.75] \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \\ 0.902 \pm 0.005 \\ 0.915 \pm 0.024 \\ 0.599 \pm 0.017 \\ 1.068 \pm 0.032 \end{matrix}$	$\begin{matrix} [3.75, 4.0] \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \\ 0.911 \pm 0.026 \\ 0.587 \pm 0.019 \\ 1.099 \pm 0.096 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \\ 0.914 \pm 0.030 \\ 0.550 \pm 0.021 \\ 1.065 \pm 0.122 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \\ 0.893 \pm 0.037 \\ 0.517 \pm 0.029 \\ 1.126 \pm 0.099 \end{matrix}$
$egin{array}{c} egin{array}{c} arepsilon^{arepsilon}_{\mathrm{Track}} & arepsilon_{\mu\mathrm{Acc}} & arepsilon_{\mu\mathrm{ID}} & arepsilon^{arepsilon}_{\mathrm{Trig}} & arepsilon^{arepsilon}_{\mathrm{Trig}} & arepsilon^{arepsilon}_{\mathrm{Rec}} & arepsilon^{arepsilon}_{\mathrm{Rec}} & arepsilon^{arepsilon}_{\mathrm{Rec}} & arepsilon^{arepsilon}_{\mathrm{I}} & are$	$\begin{matrix} [3.25, 3.5] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \\ 0.924 \pm 0.023 \\ 0.587 \pm 0.016 \\ 1.030 \pm 0.029 \\ 0.166 \pm 0.003 \end{matrix}$	$\begin{matrix} [3.5, 3.75] \\ 0.916 \pm 0.004 \\ 0.850 \pm 0.006 \\ 0.932 \pm 0.004 \\ 0.902 \pm 0.005 \\ 0.915 \pm 0.024 \\ 0.599 \pm 0.017 \\ 1.068 \pm 0.032 \\ 0.171 \pm 0.003 \end{matrix}$	$\begin{matrix} [3.75, 4.0] \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \\ 0.911 \pm 0.026 \\ 0.587 \pm 0.019 \\ 1.099 \pm 0.096 \\ 0.171 \pm 0.003 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \\ 0.914 \pm 0.030 \\ 0.550 \pm 0.021 \\ 1.065 \pm 0.122 \\ 0.164 \pm 0.004 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \\ 0.893 \pm 0.037 \\ 0.517 \pm 0.029 \\ 1.126 \pm 0.099 \\ 0.171 \pm 0.008 \end{matrix}$
$egin{array}{c} egin{array}{c} arsigma \ arsigma \$	$\begin{matrix} [3.25, 3.5] \\ 0.897 \pm 0.004 \\ 0.871 \pm 0.005 \\ 0.935 \pm 0.004 \\ 0.869 \pm 0.006 \\ 0.924 \pm 0.023 \\ 0.587 \pm 0.016 \\ 1.030 \pm 0.029 \\ \hline 0.166 \pm 0.003 \\ 0.604 \pm 0.023 \end{matrix}$	$\begin{bmatrix} 3.5, 3.75 \end{bmatrix}$ $0.916 \pm 0.004$ $0.850 \pm 0.006$ $0.932 \pm 0.004$ $0.902 \pm 0.005$ $0.915 \pm 0.024$ $0.599 \pm 0.017$ $1.068 \pm 0.032$ $0.171 \pm 0.003$ $0.639 \pm 0.027$	$\begin{matrix} [3.75, 4.0] \\ 0.925 \pm 0.005 \\ 0.818 \pm 0.007 \\ 0.921 \pm 0.006 \\ 0.925 \pm 0.006 \\ 0.911 \pm 0.026 \\ 0.587 \pm 0.019 \\ 1.099 \pm 0.096 \\ \hline 0.171 \pm 0.003 \\ 0.645 \pm 0.060 \end{matrix}$	$\begin{matrix} [4.0, 4.25] \\ 0.913 \pm 0.007 \\ 0.779 \pm 0.011 \\ 0.905 \pm 0.009 \\ 0.935 \pm 0.008 \\ 0.914 \pm 0.030 \\ 0.550 \pm 0.021 \\ 1.065 \pm 0.122 \\ \hline 0.164 \pm 0.004 \\ 0.586 \pm 0.071 \end{matrix}$	$\begin{matrix} [4.25, 4.5] \\ 0.900 \pm 0.013 \\ 0.763 \pm 0.020 \\ 0.888 \pm 0.017 \\ 0.950 \pm 0.012 \\ 0.893 \pm 0.037 \\ 0.517 \pm 0.029 \\ 1.126 \pm 0.099 \\ 0.171 \pm 0.008 \\ 0.583 \pm 0.061 \end{matrix}$

By comparing the yield of  $J/\psi$  events we select in 2015,  $N(J/\psi)_{\rm B}$ , with those of the 2025 earlier analysis,  $N(J/\psi)_{\rm A}$ , we are able to determine the efficiency of our selection, since the 2026 muon-reconstruction efficiency for our 2015 sample is given by  $\varepsilon_{\mu\mu 15} = f_{\mu\varepsilon 15} \times \varepsilon_{\text{Rec}}$ , where 2027  $f_{\mu\epsilon 15} = N(J/\psi)_{\rm B}/N(J/\psi)_{\rm A}$ . The selection criteria in the earlier paper are summarised in 2028 Table 5.7. In our analysis we replace the software trigger requirements, which are conditional on 2029 the state of the low-multiplicity hadron trigger decision called LOHadron, lowMult, with a pass on 2030 either the Hlt2LowMultDimuon or Hlt2LowMultMuon trigger line detailed in Table 4.3 in order to 2031 match our  $\chi_c$  analysis requirement. In performing this study we also need to reproduce as closely 2032 as possible the HERSCHEL working point adopted in the earlier analysis. The aforementioned 2033 paper used a different method and calibration to calculate  $\ln(\chi^2_{HBC})$ . Therefore, using our 2034 HERSCHEL figure-of-merit efficiency results presented later in Sec. 5.6, we select a threshold 2035 of  $\ln(\chi^2_{\text{HRC}}) < 5$ . This is the same requirement used in the main  $\chi_c$  analysis, which has an 2036



**Figure 5.19.** Track ( $\varepsilon_{\text{Track}}$  in blue), muon chamber acceptance ( $\varepsilon_{\mu\text{Acc}}$  in red), muon identification ( $\varepsilon_{\mu\text{ID}}$  in purple), and trigger efficiencies calculated ( $\varepsilon_{\text{Trig}}$  in green) using SuperChic Monte Carlo of exclusive  $J/\psi$  production for 2015 run conditions and the global scaling factor ( $f_{\text{Rec}}$  in yellow) applied to the simulation in order to match data and calculate the muon data-reconstruction efficiency ( $\varepsilon_{\text{Rec}}$  in black).

efficiency of  $0.704 \pm 0.018$  (see Sec. 5.6), to match the  $\ln(\chi^2_{\text{HRC}}) < 3.5$  cut used in the CEP  $J/\psi$ analysis, which in turn has an efficiency of  $0.723 \pm 0.008$ .



Figure 5.20.  $J/\psi$  yield as a function of rapidity (left) from CEP  $J/\psi$  results [43],  $N(J/\psi)_{\rm A}$  in black, together with the results from our modified selection,  $N(J/\psi)_{\rm B}$  in blue, for 2015 data, run numbers 164524 to 167136. Correction factor for 2015 data,  $f_{\mu\epsilon 15}$  (right).

The  $J/\psi$  yields,  $N(J/\psi)_{\rm B}$  and  $N(J/\psi)_{\rm A}$ , are shown in Fig. 5.20 in bins of rapidity alongside their ratio and their values are tabulated in Table 5.6. We see that there is a difference in the total selection efficiency between the two analyses that varies with rapidity and does not exceed 10%. Globally, the yields integrated over all rapidity bins for each sample is  $N(J/\psi)_{\rm A \ Total} = 14783 \pm 122$  and  $N(J/\psi)_{\rm B \ Total} = 15193 \pm 123$ , making our selection more efficient. The corrected muon-reconstruction efficiency for 2015 is shown in Fig. 5.21 (black).

Trigger Level		$J\!/\psi$ paper	$2015J\!/\psi$	2016 $J/\psi$
L0 Trigger		L0Muon,lowMult or	LOMuon,lowMult or	LOMuon,lowMult or
		L0DiMuon,lowMult	L0DiMuon,lowMult	L0DiMuon,lowMult
HLT1		Pass through	Pass through	Pass through
HLT2		${ m If}\ ! {\tt LOHadron, lowMult}$	Hlt2LowMultMuon or	<code>Hlt2LowMultMuon</code> or
		${ m then}\; { m Hlt2LowMultMuon}$	Hlt2LowMultDiMuon	Hlt2LowMultDiMuon
		${ m If}$ LOHadron,lowMult		
		${\rm then}\; {\tt Hlt2LowMultChiC2HH}$		
Variable	$\mathbf{Units}$	Cut		
$\overline{m_{\mu^+\mu^-}}$ window	$MeV/c^2$	$ m_{\mu^+\mu^-} - 3097  < 65$	$ m_{\mu^+\mu^-} - 3097  < 65$	$ m_{\mu^+\mu^-} - 3097  < 50$
$N^{\underline{O}}$ photons	-	0 with $E_T > 200 \mathrm{MeV}$	0 with $E_T > 200 \mathrm{MeV}$	0 with $E_T > 200 \mathrm{MeV}$
$\rm N^{\underline{\rm O}}$ upstream tracks	-	0	0	0
$\rm N^{\underline{\rm O}}$ VELO tracks	-	0	0	0
$\rm N^{\underline{\rm O}}$ backward tracks	-	0	0	0
$\rm N^{\underline{\rm O}}$ downstream tracks	-	0	0	0
$\rm N^{\underline{\rm O}}$ long tracks	-	$2 \ (\mu^+\mu^-)$	$2 \ (\mu^+\mu^-)$	$2 \ (\mu^+\mu^-)$
$\rm N^{\underline{\rm O}}$ muon tracks	-	$2 \ (\mu^+\mu^-)$	$2 \ (\mu^+\mu^-)$	$2 \ (\mu^+\mu^-)$
Muon ID	-	True	True	True
$p_{\mathrm{T}}^2(\mu^+\mu^-)$	$[\operatorname{GeV}/c]^2$	< 0.8	< 0.8	< 0.5
$\eta(\mu)$	-	$\in [2, 4.5]$	$\in [2, 4.5]$	$\in [2, 4.5]$
$\ln(\chi^2_{ m HRC})$	-	< 3.5	< 5	< 5
$p_{\mathrm{T}}(\mu)$	MeV/c	-	-	> 200  or Max > 800
$N^{\underline{O}}$ SPD Hits	-	-	-	< 20

**Table 5.7.** Selection criteria used in CEP  $J/\psi$  analysis from Ref. [43], selection criteria applied to 2015 data to compare with results from the CEP  $J/\psi$  paper, and selection criteria applied to both 2015 and 2016 data used to compare muon-reconstruction efficiency between the two years.



**Figure 5.21.** Dimuon-reconstruction efficiency for 2015 (black),  $\varepsilon_{\mu\mu15}$ , and 2016 (blue),  $\varepsilon_{\mu\mu16}$ , run conditions.
It is now necessary to understand whether there are differences between the selection efficiency for 2015 and 2016. To determine if this is the case, we apply the same nominal selections to both years and compare the ratio of reconstructed CEP-like  $J/\psi$  events between the years with the ratio of their integrated luminosities. If the efficiency is the same, we expect these ratios to be the same. To account for any differences, the dimuon efficiency for 2016 is given by  $\varepsilon_{\mu\mu16} = \varepsilon_{\mu\mu15} \times R_{\mathcal{L}} / R_N$ , where  $R_{\mathcal{L}}$  is the integrated-luminosity ratio of 2015 to 2016 data of single interaction crossings <sup>1</sup>, and  $R_N$  is the  $J/\psi$  yield ratio from 2015 and 2016 data.

We use a similar selection as the one detailed above with a few exceptions: we tighten the 2052  $J/\psi$  mass-window cut to match the one used in our  $\chi_c$  study, and we tighten the upper cut on the 2053  $p_T^2(J/\psi)$  to increase the CEP purity of the sample. In addition, some changes were implemented 2054 to the hardware trigger for the 2016 runs. This included the tightening of the number of SPD 2055 hit requirements from < 30 to < 20 hits for both LODiMuon, lowMult and LOMuon, lowMult trigger 2056 lines. The LOMuon, lowMult trigger line requires a muon with a momentum greater than 800 2057 MeV/c compared to 400 MeV/c. As a result, we apply these tighter cuts on the 2015 sample to 2058 have a uniform selection between samples. The  $J/\psi$  selection is summarised in Table 5.7. 2059

We find that the global value of  $R_N$  is 0.1624 whereas  $R_{\mathcal{L}}$  is 0.1739. Hence the 2016 efficiency is seen to be 7% more efficient than 2015. The  $J/\psi$  yield for 2015 and 2016 is shown in Fig. 5.22 alongside their ratio in bins of  $J/\psi$  rapidity. As the rapidity dependence is significant, we apply the correction factor to go from 2015 to 2016 efficiency in bins of this quantity. The corrected muon reconstruction efficiency for 2016 is shown in Fig. 5.21 and the values are tabulated in Table 5.6.



**Figure 5.22.**  $J/\psi$  yield as a function of rapidity (left) for two muon-track events for 2015,  $N(J/\psi)_{15}$  in black, and 2016,  $N(J/\psi)_{16}$  in blue, together with their ratio (right)  $R_N$ .

To calculate a global dimuon efficiency, which accounts for the distribution in rapidity, we correct each  $\chi_c$  signal candidate for efficiency according to its rapidity bin, and then divide the number of candidates in the original sample by the corrected total. This yields a global dimuon efficiency of 0.611 (0.635) with the HERSCHEL cut applied for 2015 (2016) data and

<sup>&</sup>lt;sup>1</sup>We assume there is negligible uncertainty on this ratio, as the bulk of the luminosity systematic will be fully correlated between years.

0.607 (0.635) without. This corresponds to a global dimuon efficiency of 0.631 for the combined
data set both with and without the HERSCHEL cut applied, once these individual numbers are
weighted by the integrated luminosities of each year.

The systematic uncertainty on the trigger and dimuon efficiency as determined in Ref. [43] is 0.2% and 0.4%, respectively. However, the uncertainty we assign is necessarily larger, and is dominated by the change in efficiency between 2015 and 2016, which is not fully understood. We assign the full value of this change as a systematic uncertainty in the 2016 analysis, which then corresponds to an uncertainty of 5% on the combined 2015 and 2016 analysis.

# 2078 5.3 $J/\psi$ mass-window efficiency

We apply a  $J/\psi$  mass-window cut,  $|m(J/\psi) - 3096.916| < 50$  MeV/ $c^2$ , to reduce contamination 2079 from di-muon continuum background. Since there is a slight difference in the  $J/\psi$  mass resolution 2080 in Monte Carlo and data, we use a data-driven method to calculate the efficiency associated 2081 with this cut,  $\varepsilon_{m(J/\psi)}$ . We employ a similar  $J/\psi$  selection as the one used for the 2015 and 2016 2082 muon-reconstruction efficiency comparison tabulated in Table 5.7. However, we omit the SPD 2083 and muon transverse-momentum requirements, since these cuts were only intended to make a 2084 one-to-one comparison between 2015 and 2016 data samples and are not part of the  $\chi_c$  analysis. 2085 Similarly, we omit the photon cut as we expect to see extra photons in  $\chi_c \to J/\psi \, [\mu^+ \mu^-] \gamma [e^+ e^-]$ 2086 events from bremsstrahlung radiation. 2087

To fit the  $J/\psi$  mass distribution, we use a Gaussian and a double-sided Crystal Ball with a 2088 shared mean value. The ratio of the Gaussian yield and the double-sided Crystal Ball yield 2089 is fixed according to the results of these fits on CEP  $\chi_{c1,2} \to J/\psi \left[\mu^+ \mu^-\right] \gamma \left[e^+ e^-\right]$  Monte Carlo 2090 described in Sec. 4.1 while keeping all other parameters free. Applying this constraint, we 2091 perform an unbinned-maximum-likelihood fit of the data samples over the 2750 to 3450 MeV 2092 mass range, the results of which are shown in Fig. 5.23. The fit does a good job at describing 2093 the slightly skewed shape of the  $J/\psi$  resonance. The normalised integral of the  $J/\psi$  signal shape 2094 within our selection mass window is  $0.954 \pm 0.002$  ( $0.955 \pm 0.002$ ) for 2016 (2015 and 2016). 2095

As a systematic check, we repeat the fit using a single double-sided Crystal Ball to model the J/ $\psi$  signal while maintaining a single exponential to model the background. The fit results are shown in Fig. 5.23 for 2016-only, and the combined 2015 and 2016 data samples. The normalised integral of the J/ $\psi$  signal shape over our selection mass window is  $0.958\pm0.002$  ( $0.958\pm0.002$ ) for 2016 (2015 and 2016). We take the difference between the two sets of results as the systematic uncertainty, corresponding to 0.4% (0.3%) for the 2016 (2015 and 2016) data.



Figure 5.23. Invariant mass of CEP like  $J/\psi \rightarrow \mu^+\mu^-$  mesons from proton-proton collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for the 2016-only (left), and combined 2015 and 2016 (right) data in linear (first and third row) and logarithmic (second and fourth row) scale. In the first and second row the signal is fitted with the combination of double-sided Crystal Ball (dashed purple) and a Gaussian (dashed green) while in the third and fourth row it is fitted with a double-sided Crystal Ball. The continuum-combinatorial background is fitted with an exponential (dotted red). Integrals for events within our selection mass window are shown and the veto region is highlighted in red.

# 2102 5.4 $\chi_c$ invariant-mass-difference window-selection efficiency

As part of our analysis, we apply a cut to the mass difference between the  $\chi_c$  candidate and the intermediate  $J/\psi$  meson,  $350 < \Delta m_{\chi_c} < 500$ . Therefore, when measuring the  $\chi_{c1}$  and  $\chi_{c2}$  yields we have to account for the cut efficiency for each meson,  $\varepsilon_{\Delta m_{\chi_{c1}}}$  and  $\varepsilon_{\Delta m_{\chi_{c2}}}$  respectively. To calculate the efficiency, we use the reconstructed CEP  $\chi_c$  Monte Carlo, described in Sec. 4.1.4, with our CEP  $\chi_c$  selection applied. The  $\Delta m_{\chi_c}$  distribution of the selected events is shown in Fig. 5.24 for the 2016-only, and combined 2015 and 2016 run conditions together with the delta-mass vetoed regions highlighted in red.



**Figure 5.24.** Delta-mass distribution from reconstructed CEP  $\chi_{c1}$  (top) and  $\chi_{c2}$  (bottom) Monte Carlo generated with SuperChic v2 for the 2016-only, and combined 2015 and 2016 run conditions. The delta-mass veto region is highlighted in red.

It is important to note that these distributions correspond to reconstructed events, and it is 2110 possible for the reconstruction efficiency of converted photons to be different in Monte Carlo 2111 and data. The efficiency of the photon reconstruction varies with transverse momentum. If 2112 the  $p_{\rm T}$  distribution of those events below the cut in delta mass is different from those events 2113 within the window, and if the dependence of the reconstruction efficiency with  $p_{\rm T}$  is different 2114 between data and Monte Carlo, then the determination of the window-selection efficiency will 2115 be biased. To account for any differences, we calculate the photon-conversion efficiency using 2116 the Monte Carlo, the distributions of which are shown as a function of the photon's transverse 2117 momentum in Fig. 5.25 for 2016-only, and combined 2015 and 2016 run conditions. The photon-2118 conversion efficiency determined from data grows more steeply than that of the Monte Carlo. 2119

We then correct the delta-mass distribution for the Monte Carlo photon-conversion efficiency and apply the efficiency determined using the data-driven method described in Sec. 5.1, such that the distribution is now representative of that reconstructed in data. By integrating the  $\Delta m_{\chi_c}$  distribution within out selection window we determine the cut to have an efficiency of 0.885 ± 0.017 (0.892 ± 0.015) for  $\chi_{c1}$  mesons and 0.944 ± 0.021 (0.948 ± 0.015) for  $\chi_{c2}$  for the 2016-only (combined 2015 and 2016) data.



Figure 5.25. Photon-conversion efficiency as a function of the photon's generator-level transverse momentum using combined CEP  $\chi_{c1}$  and  $\chi_{c2}$  Monte Carlo for the 2016-only (left), and combined 2015 and 2016 (right) run conditions.

As a systematic check we determine the mass-window efficiency directly from Monte Carlo, that is with the photon-reconstruction efficiencies displayed in Fig. 5.25. By taking the fraction of events that fall within our selection window we determine the window cut efficiency to be 0.851 ± 0.014 (0.856 ± 0.012) for  $\chi_{c1}$  mesons and 0.928 ± 0.017 (0.923 ± 0.012) for  $\chi_{c2}$  for the 2016-only (combined 2015 and 2016) data. As a result, we assign an uncertainty of 3.8% (4.0%) for  $\chi_{c1}$  and 1.7% (2.7%) for  $\chi_{c2}$  for the 2016-only (combined 2015 and 2016) data.

A further source of possible bias would be if the  $\chi_c$  resolution of the Monte Carlo is significantly different from that in the data. This is not the case, as can be seen in Fig. 5.26, where we compare the function fitted to the data with the  $\chi_{c1}$  and  $\chi_{c2}$  Monte Carlo distributions. Although the fit undershoots the Monte Carlo at the core, the tail is well described. Nonetheless, exercises are performed in which additional smearing is introduced to the Monte Carlo, such that the agreement with data remains tolerable. No significant change in the fraction of events in the veto region is observed.

# 2139 5.5 SPD efficiency

As part of the low-multiplicity hardware trigger lines, we use the number of SPD hits as an indicator of the detector occupancy. Characteristically, CEP events have a low number of final-state particles and will leave few SPD hits. However, due to the short 25 ns bunch spacing, this detector is sensitive to remnant signatures from collisions of adjacent beam crossings, known as spill-over and pre-spill. The LOMuon, lowMult and the LODiMuon, lowMult hardware trigger lines require less than thirty SPD hits for 2015 data and less than twenty for 2016. To estimate the



**Figure 5.26.** Delta-mass distribution from  $\chi_{c1}$  (top) and  $\chi_{c2}$  (bottom) Monte Carlo for the 2016-only (left), and combined 2015 and 2016 (right) run conditions. The distributions are fitted with the functions fitted to data.

impact of the SPD cut on the efficiency of our  $\chi_c$  selection, we model the SPD distribution of an empty detector, as well as the separate contribution of muons from the  $J/\psi$  decay and electrons from the photon conversion.



**Figure 5.27.** Distribution of SPD hits in randomly triggered empty events with zero tracks and photons in linear (left) and logarithmic scale (right) for 2016 data. The SPD veto regions for the LOMuon,lowMult and LODiMuon,lowMult trigger lines is highlighted in red for 2015 and pink for 2016 run conditions.

To estimate the extra activity in the detector (detector noise, spill-over, and pre-spill), we use randomly-triggered events and select those with zero reconstructed tracks and photons.



**Figure 5.28.** Distribution of SPD hits of CEP  $J/\psi$  candidates (blue) and of randomly triggered events with zero tracks and photons, added to a simple model that describes the interaction of muons assuming a Poisson distribution with a mean of one (black) for 2015 (top) and 2016 (bottom) data shown in linear (left) and logarithmic (right) scale.

The SPD distribution for these empty events is shown in Fig. 5.27 for 2016 data in linear and 2151 logarithmic scale. We expect muons to leave about one hit in the SPD. To model this, we 2152 assume a Poisson distribution with a mean of one  $(\lambda_{\mu} = 1)$  for each muon and add it to the 2153 noise distribution extracted from the minimum-bias sample. We check the model against the 2154 CEP  $J/\psi$  sample used to compare the muon reconstruction efficiency of 2015 and 2016 data 2155 described in Table 5.7 but with the additional requirement that there are no photons in the 2156 event. The SPD distribution of this sample and our SPD model are shown in Fig. 5.28. This 2157 method adequately models the right tail region, where our interest lies, although less well so for 2158 lower SPD hit values. 2159

We expect electrons to generate more hits on the SPD than muons because of bremsstrahlung radiation and material interactions. We can estimate the number of hits expected from electrons using Monte Carlo, where we expect this aspect of the SPD response to be adequately described. We use the truth matched  $\chi_{c1,2} \rightarrow J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  Monte Carlo, described in Sec. 4.1, with our CEP  $\chi_c$  selection applied. The SPD distribution for this selection is shown in Fig. 5.29. Note that this distribution has less of a spillover tail than that of Fig. 5.28 due to the absence of noise, pre spill, and spill over in the simulated sample. Given our previous determination of approximately one SPD hit per muon, we estimate an additional average of two hits per electron is required to model the distribution.



**Figure 5.29.** Normalised distribution of SPD hits of CEP  $\chi_{c1}$  (blue and purple) and  $\chi_{c2}$  (red and green) candidates from 2015 (dots) and 2016 (diamonds) Monte Carlo with CEP  $\chi_c$  selections applied.



Figure 5.30. Distribution of SPD hits of CEP  $\chi_c$  candidates (blue) and a model composed of randomly triggered 2016 events with zero tracks and photons added to a model that describes the interaction of muons assuming a Poisson distribution with a mean of one (black), and that of electrons with a Poisson distribution with a mean of two for 2015 (top) and 2016 (bottom) data shown in linear (left) and logarithmic (right) scale.

With this in mind, we repeat the procedure detailed above and add a Poisson distribution with a mean of two ( $\lambda_e = 2$ ) for each electron in the  $\chi_c$  decay to the  $J/\psi$  plus additional-activity



**Figure 5.31.** Distribution of SPD hits model based on randomly triggered 2016 events with zero tracks and photons added to a model that describes the interaction of muons assuming a Poisson distribution with a mean of one (black), and that of electrons with a Poisson distribution with a mean of two in linear (left) and logarithmic (right) scale.

**Table 5.8.** SPD efficiencies for the reconstruction of CEP-like  $\chi_c$  events using different  $\lambda_{\mu}$  and  $\lambda_e$  values in our SPD distribution model for 2015 and 2016 hardware trigger requirements.

$\lambda_{\mu}$	$\lambda_e$	< 20 SPD Hits	< 30 SPD Hits
	1	$0.970 \pm 0.001$	$0.997 \pm 0.001$
0.75	2	$0.949 \pm 0.001$	$0.995 \pm 0.001$
	3	$0.914 \pm 0.001$	$0.992 \pm 0.001$
	1	$0.966 \pm 0.001$	$0.997 \pm 0.001$
1	2	$0.942 \pm 0.001$	$0.994 \pm 0.001$
	3	$0.902\pm0.001$	$0.991 \pm 0.001$
	1	$0.961 \pm 0.001$	$0.996 \pm 0.001$
1.25	2	$0.933 \pm 0.001$	$0.994 \pm 0.001$
	3	$0.888 \pm 0.001$	$0.989 \pm 0.001$

model. The resulting model for  $\chi_c$  events is presented together with the SPD hit distribution from  $\chi_c$  data for 2015 and 2016 samples, shown in Fig. 5.30. We obtain a reasonable description of the right tail. We then generate a large sample with one million events, shown in Fig. 5.31, and take a normalised integral from zero to thirty (twenty) to calculate an SPD efficiency,  $\varepsilon_{\text{SPD}}$ , of 0.994 ± 0.001 (0.942 ± 0.001) for 2015 (2016). We weight the efficiency of each year by its corresponding single-interaction luminosity, the calculation of which is described in Sec. 7.5, to yield a SPD efficiency of  $\varepsilon_{\text{SPD}} = 0.950 \pm 0.001$  for the combined 2015 and 2016 data set.

As a systematic check we vary the mean number of hits for muons by  $\pm 0.25$  and electrons by  $\pm 1$ , the results for which are summarised in Table 5.8. We assign a systematic uncertainty of  $\pm 0.005 \ (\pm 0.05)$  for 2015 (2016) data. This corresponds to a combined uncertainty of  $\pm 0.04$ for the combined 2015 and 2016 data set.

# 2182 5.6 HERSCHEL efficiency

To combine the response of all twenty HERSCHEL counters, four for each of the five modules, we construct a figure-of-merit quantity,  $\ln(\chi^2_{HRC})$ , as defined in Eq. 4.5, where low values correspond to CEP-like events and high values correspond to non-CEP background. To better understand the efficiency of the figure-of-merit,  $\varepsilon_{HRC}$ , and inform the placement of an upper limit for the  $\chi_c$  analysis, we study the efficiency with a continuum-dimuon sample as it offers a large CEP data set. The dimuon CEP is mediated through two-photon exchange.

# 2189 5.6.1 Dimuon-data selection



Figure 5.32. Dimuon invariant-mass distribution for the CEP (left) and non-CEP (right) dimuon selection for the 2015 (top), 2016 (middle), and combined 2015 and 2016 (bottom) data in logarithmic scale. The veto region of resonant  $J/\psi$  and  $\psi(2S)$  mesons is highlighted in red.

For this efficiency study, we select two samples: one with a high-CEP purity and another inelastic sample that breaks the rapidity-gap criteria. Henceforth, these samples will be



Figure 5.33. Dimuon transverse-momentum-squared distribution for the CEP (left) and non-CEP (right) dimuon selection for the 2015 (top), 2016 (middle), and combined 2015 and 2016 (bottom) data.

referred to as the CEP-dimuon and non-CEP-dimuon samples. For the high-CEP purity 2192 sample we use a similar selection as the one presented in Table 5.7 for the comparison of 2193 the  $J/\psi$  yield between 2015 and 2016 data sets. However, we omit the SPD and muon 2194 transverse-momentum requirements, since these cuts were only intended to make a one-to-one 2195 comparison between the two data sets. In addition, the dimuon invariant mass is limited to 2196 the window  $1500 < m(\mu^+\mu^-) < 8000 \text{ MeV}/c^2$  where the resonant-mass windows of the  $J/\psi$ , 2197  $2700 < m(J/\psi) < 3200 \text{ MeV}/c^2$ , and  $\psi(2S)$ ,  $3500 < m(\psi(2S)) < 3800 \text{ MeV}/c^2$ , are excluded 2198 from the study. We choose to exclude these resonant contributions because CEP  $J/\psi$  and 2199  $\psi(2S)$  meson production is mediated by a different mechanism, photon-pomeron exchange, than 2200 that of CEP-dimuon production, which is mediated by DPE. The veto-windows around the 2201 resonant peaks are asymmetric in width to fully exclude the tail associated with energy loss 2202

via bremsstrahlung radiation. A total of 14,357 and 86,918 events pass the selection for 2015 and 2016 data respectively. The statistics for this sample are summarised in Table 5.9. The invariant-mass distribution for this sample is shown in Fig. 5.32 (left) for 2015 and 2016 data sets, where the vetoed resonance regions are highlighted in red. The transverse-momentum distribution, excluding data from the invariant-mass-veto regions, is shown in Fig. 5.33 (left).

For the non-CEP-dimuon sample, the track selection is modified to break the rapidity-gap 2208 criteria by requesting that the event has at least one extra track or any type of photon activity 2209 in the calorimeter. This assures the sample corresponds to non-CEP background. A total 2210 of 2, 158, 100 and 2, 712, 653 events survive the selection for 2015 and 2016 data respectively, 2211 summing to a total of 4,870,753 events. The statistics for this sample are also summarised in 2212 Table 5.9. The invariant-mass distribution for the non-CEP-dimuon sample is shown in Fig. 5.32 2213 (right) for the 2015, 2016, and combined 2015 and 2016 data sets. The transverse-momentum 2214 distribution, excluding data from the invariant-mass-veto regions, is shown in Fig. 5.33 (right). 2215 We use these histograms as templates for the PDF of the transverse-momentum-squared 2216 distributions of non-CEP-dimuon production, which is necessary to describe the background of 2217 our CEP-dimuon sample. 2218

Table 5.9. Summary of CEP and non-CEP dimuon samples for the 2015, 2016, and combined 2015 and 2016 data as well as the LPair dimuon Monte Carlo for 2016.

Mechanism	2015	2016	2015 + 2016
CEP	14,357	86,981	101,275
Non-CEP	2, 158, 100	2,712,653	4,870,753
MC	-	18,166	-

#### 2219 5.6.2 Dimuon transverse-momentum fit model

To model the signal we use LPair [134], a Monte Carlo generator devoted to the process of 2220 electromagnetic production of lepton pairs, to generate 100,000 CEP-dimuon events for 13 TeV 2221 proton-proton collisions. After the reconstruction process, we apply the same selection criteria as 2222 in the CEP-dimuon data sample and use generator-level information to check we reconstructed 2223 the correct muons. A total of 18, 166 events pass our selection. The invariant-mass distribution of 2224 the dimuon system is shown in Fig. 5.34 where the veto-mass windows corresponding to the  $J/\psi$ 2225 and  $\psi(2S)$  resonance regions have been highlighted in red and the transverse-momentum-squared 2226 distribution of the dimuon system is shown in Fig. 5.35. The events in the veto have been 2227 excluded from this distribution. We can now use the transverse-momentum-squared histogram 2228 as a PDF for the CEP signal in the fit of our CEP-dimuon sample. 2229



Figure 5.34. Dimuon invariant-mass distribution for the CEP-dimuon Monte Carlo for proton-proton collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for 2016 run conditions in linear (left) and logarithmic scales (right). The veto region of resonant  $J/\psi$  and  $\psi(2S)$  mesons is highlighted in red.



Figure 5.35. Dimuon transverse-momentum-squared distribution for the CEP dimuon Monte Carlo for proton-proton collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for 2016 run conditions in linear (left) and logarithmic scales (right).

# 2230 5.6.3 HERSCHEL efficiency calculation

The  $\ln(\chi^2_{\text{HRC}})$  distribution is shown in Fig. 5.36 for the CEP (blue) and non-CEP (red) sample. To better showcase the discriminatory power of the HERSCHEL detector, we tighten the

transverse-momentum-squared cut of the CEP sample, such that  $p_T^2(\mu^+\mu^-) < 0.01 \text{ GeV}/c$ , to 2233 increase the CEP purity. Similarly, we can increase the inelastic purity of the non-CEP sample 2234 by requiring that there are more than five long tracks in the main spectrometer. The  $\ln(\chi^2_{\rm HRC})$ 2235 distributions for these enhanced purity samples are shown alongside our nominal selection in 2236 Fig. 5.36. The non-CEP samples have a tail which extends to low  $\ln(\chi^2_{HRC})$  values: these are 2237 background events where all additional activity associated with proton dissociation or secondary 2238 interactions lies outside the HERSCHEL acceptance. Similarly, the CEP enhanced-purity sample 2239 has a small fraction of events that have high  $\ln(\chi^2_{HRC})$  values: we attribute this feature to small 2240 levels of residual background and also occasions where secondary proton interactions may occur, 2241 producing activity outside of the main spectrometer but within that of HERSCHEL. Prior to 2242 October 1st 2015, HERSCHEL was under commission. As a result, the HERSCHEL response 2243 was less stable during this period, thus explaining the worse separation compared to 2016. 2244

To measure a signal efficiency for a given  $\ln(\chi^2_{HRC})$  cut we perform an unbinned-maximum-2245 likelihood fit on the dimuon-transverse-momentum-squared distribution of our CEP-dimuon 2246 sample with the  $\ln(\chi^2_{\text{HRC}})$  cut applied. The fit enables us to determine how the true signal 2247 content of the CEP sample varies with  $p_T^2$ . We use a PDF composed of a CEP-signal shape 2248 extracted from the LPair Monte Carlo, and a non-CEP-background shape extracted from data, 2249 as described above. An example of such a fit is shown in Fig. 5.37 for  $\ln(\chi^2_{\rm HRC}) < 5$ . The signal 2250 peaks at low  $p_{\rm T}^2$  values, a feature characteristic of CEP events, while the background has a 2251 much wider profile and extends to higher  $p_{\rm T}^2$  values. 2252

The effect of the HERSCHEL activity on a CEP-dimuon sample can be quantified by an 2253 efficiency given by the ratio of the CEP-signal yield after the  $\ln(\chi^2_{\rm HBC})$  cut is applied to the CEP-2254 signal yield prior to the  $\ln(\chi^2_{HBC})$  cut. Similarly, we are able to calculate the effect HERSCHEL 2255 activity has on the non-CEP background surviving the cut. The background rejection rate 2256 is given by subtracting the background-efficiency rate from one. The CEP-dimuon-signal 2257 efficiency distribution and the non-CEP-background rejection are shown Fig. 5.38. The efficiency 2258 distributions are shown for the interval of greatest change in efficiency,  $2 < \ln(\chi^2_{\text{HRC}}) < 5$ , 2259 alongside the full-range versions. The uncertainties are calculated from the fit-yield uncertainties. 2260 while the values and uncertainties between intervals are linearly interpolated. The signal-2261 efficiency and background-rejection values are tabulated in Table 5.10. 2262

Our working  $\ln(\chi^2_{HBC})$  upper limit for the  $\chi_c$  analysis, marked in Fig. 5.38 by a grey-vertical-2263 dashed line, is  $\ln(\chi^2_{HRC}) < 5$ . With this cut the signal efficiency is  $70.4 \pm 1.8\%$ ,  $85.1 \pm 0.8\%$ , and 2264  $83.0 \pm 0.8\%$  for 2015, 2016, and combined 2015 and 2016 data respectively, while the background 2265 rejection is  $71.9 \pm 2.1\%$ ,  $67.6 \pm 0.8\%$ , and  $68.2 \pm 0.7\%$ . It should be stressed, however, that the 2266 proportion of background that is suppressed is dependent on the exact nature of the background, 2267 and so the values found are not necessarily representative of the background in the  $\chi_c$  analysis 2268 sample. As stated previously, the difference in performance between 2015 and 2016 is due to a 2269 period of commissioning during 2015. 2270



Figure 5.36. Distribution, normalised to unit area, of the discriminating  $\ln(\chi^2_{\rm HRC})$  variable that is related to the activity in HERSCHEL for the 2015 (top), 2016 (middle), and combined 2015 and 2016 (bottom) data. The response is shown for the CEP (blue) and non-CEP (red) sample in the left column and again on the right column with tighter CEP,  $p_{\rm T}^2(\mu^+\mu^-) < 0.01$  GeV/*c*, and non-CEP, number of long tracks  $\geq 6$ , requirements respectively.

To assess the systematic uncertainty associated with the dimuon transverse-momentum fit used to calculated the HERSCHEL efficiency, we independently vary the background and signal fit model, then recalculate the efficiency at our working point  $\ln(\chi^2_{\rm HRC}) < 5$  for each of the new configurations. As an alternative model of the background we use a shape suggested in a HERA analysis [135] associated with the inelastic proton form factor, which takes the form

$$B(p_T^3) = \frac{p_0}{(1 + (a/n)p_T^2)^n},$$
(5.9)

where *n* and *a* are free parameters and the n = 1.79 is fixed to the experimental value taken from the HERA paper. The fit results are shown in Fig. 5.39 in linear and logarithmic scale. With this model we obtain an efficiency of  $(85.5 \pm 0.8)\%$  and  $(83.6 \pm 0.7)\%$  for the 2016-only, and combined 2015 and 2016 data, respectively. This corresponds to a difference of 0.4% and 0.7%, respectively, with respect to the default fit.

Similarly, we replace the signal shape for the sum of two exponentials while keeping the background shape model unchanged such that

$$p_0 e^{p_1 p_t^2} + p_2 e^{p_3 p_t^2}. (5.10)$$

As before, we repeat the fit procedure, the fits for which are shown in Fig. 5.39, and find an efficiency of  $(80.2 \pm 1.1)\%$  and  $(78.9 \pm 1.0)\%$  for the 2016-only, and combined 2015 and 2016 data. This corresponds to a difference of 5.7% and 4.9%, respectively, with respect to the default fit. We take the largest effect as the systematic uncertainty, and assign a 6% to both the 2016-only and 5% for the combined 2015 and 2016 data.



Figure 5.37. An example for the dimuon transverse-momentum-squared fits with a  $\ln(\chi^2_{HRC}) < 5$  cut applied for the 2015 (top), 2016 (middle), and combined 2015 and 2016 (bottom) data in linear (left) and logarithmic (right) scale. The CEP component is fitted with a template extracted from Monte Carlo (green) while the inelastic non-CEP component is fitted with a template extracted from inelastic data (red).



**Figure 5.38.** CEP-signal efficiency (blue) and background rejection (red) for dimuon production as a function of the limit chosen for  $\ln(\chi^2_{\rm HRC})$  for the 2015 (top), 2016 (middle), and combined 2015 and 2016 (bottom) data. The distribution is reproduced for the range where  $\ln(\chi^2_{\rm HRC})$  has the largest variation (right),  $2 < \ln(\chi^2_{\rm HRC}) < 5$ .



**Figure 5.39.** Dimuon transverse-momentum-squared fits with a  $\ln(\chi^2_{HRC}) < 5$  cut applied for the 2016-only (first and third row), and combined 2015 and 2016 (second and fourth row) data in linear (left) and logarithmic (right) scale. In the first and second row, the CEP component is fitted with a template extracted from Monte Carlo (green) while the inelastic non-CEP component with Eq. 5.9 (red). In the second and third row, the CEP component is fitted with the sum of two exponentials (green) while the inelastic non-CEP componentials (green) while the inelastic non-CEP component is fitted with a template extracted from inelastic data (red).

$\ln(\chi^2_{\rm HPC})$		Efficiency [%]		Background Rejection [%]			
<	2015	2016	2015 + 2016	2015	2016	2015 + 2016	
0.25	$0.0 \pm 0.01$	$0.0 \pm 0.002$	$0.0 \pm 0.002$	_	_	_	
0.50	$0.0 \pm 0.01$	$0.004 \pm 0.004$	$0.003 \pm 0.003$	-	$100 \pm 134$	$100 \pm 134$	
0.75	$0.019 \pm 0.009$	$0.03 \pm 0.01$	$0.03 \pm 0.01$	-	$100 \pm 40$	$100 \pm 42$	
1.00	$0.12 \pm 0.05$	$0.2 \pm 0.03$	$0.2 \pm 0.02$	$100.0\pm70.3$	$100 \pm 42$	$100 \pm 37$	
1.25	$0.7 \pm 0.1$	$0.6 \pm 0.05$	$0.6 \pm 0.05$	$99.8 \pm 35.1$	$99.8 \pm 14.4$	$99.8 \pm 13.4$	
1.50	$1.9 \pm 0.2$	$1.8 \pm 0.09$	$1.8 \pm 0.08$	$99.5 \pm 19.6$	$99.5\pm8.8$	$99.5\pm8.0$	
1.75	$4.4 \pm 0.3$	$4.2 \pm 0.1$	$4.2 \pm 0.1$	$99.1 \pm 15.0$	$99.0 \pm 5.9$	$99.0 \pm 5.5$	
2.00	$8.4 \pm 0.5$	$8.2\pm0.2$	$8.2 \pm 0.2$	$98.0 \pm 10.2$	$97.9 \pm 4.1$	$97.9 \pm 3.8$	
2.25	$14.0 \pm 0.6$	$14.2 \pm 0.3$	$14.1 \pm 0.2$	$96.6 \pm 7.8$	$96.2 \pm 3.0$	$96.3 \pm 2.8$	
2.50	$20.6 \pm 0.8$	$22.3 \pm 0.3$	$22.0 \pm 0.3$	$94.9 \pm 6.3$	$94.2 \pm 2.4$	$94.3 \pm 2.2$	
2.75	$26.5 \pm 0.9$	$31.4 \pm 0.4$	$30.7 \pm 0.4$	$92.9 \pm 5.2$	$91.8 \pm 2.0$	$91.9 \pm 1.8$	
3.00	$32.6 \pm 1.1$	$40.8 \pm 0.5$	$39.6 \pm 0.4$	$90.8 \pm 4.4$	$89.0 \pm 1.7$	$89.3 \pm 1.6$	
3.25	$37.8 \pm 1.2$	$49.1 \pm 0.6$	$47.5 \pm 0.5$	$88.5 \pm 3.9$	$86.1 \pm 1.4$	$86.5 \pm 1.3$	
3.50	$43.6 \pm 1.3$	$57.1 \pm 0.6$	$55.2 \pm 0.6$	$86.2 \pm 3.5$	$83.2 \pm 1.3$	$83.7 \pm 1.2$	
3.75	$48.3 \pm 1.4$	$64.3 \pm 0.7$	$62.1 \pm 0.6$	$84.0 \pm 3.1$	$80.4 \pm 1.1$	$81.0 \pm 1.1$	
4.00	$52.9 \pm 1.5$	$70.3 \pm 0.7$	$67.8 \pm 0.7$	$81.6 \pm 2.8$	$77.6 \pm 1.0$	$78.2 \pm 1.0$	
4.25	$57.3 \pm 1.6$	$75.5 \pm 0.8$	$72.9 \pm 0.7$	$79.3 \pm 2.6$	$74.8 \pm 0.9$	$75.5 \pm 0.9$	
4.50	$62.1 \pm 1.7$	$79.8 \pm 0.8$	$72.0 \pm 0.1$ $77.3 \pm 0.7$	$77.1 \pm 2.4$	$72.4 \pm 0.9$	$73.0 \pm 0.8$	
4 75	$66.6 \pm 1.8$	$82.9 \pm 0.8$	$80.6 \pm 0.7$	$74.5 \pm 2.2$	$69.9 \pm 0.8$	$70.5 \pm 0.8$	
5.00	$70.4 \pm 1.8$	$85.1 \pm 0.8$	$83.0 \pm 0.8$	$71.0 \pm 2.2$ $71.9 \pm 2.1$	$67.6 \pm 0.8$	$68.2 \pm 0.7$	
5 25	$74.2 \pm 1.9$	$86.5 \pm 0.8$	$84.7 \pm 0.8$	$69.0 \pm 1.9$	$65.4 \pm 0.7$	$65.9 \pm 0.7$	
5.50	$74.2 \pm 1.9$ 77 3 + 2 0	$87.5 \pm 0.9$	$86.0 \pm 0.8$	$66.0 \pm 1.7$	$63.4 \pm 0.7$	$63.6 \pm 0.6$	
5.75	$11.0 \pm 2.0$ $80.0 \pm 2.0$	$88.1 \pm 0.9$	$86.0 \pm 0.8$	$62.0 \pm 1.6$	$60.2 \pm 0.1$	$61.1 \pm 0.6$	
6.00	$83.0 \pm 2.0$	$88.7 \pm 0.9$	$80.9 \pm 0.8$	$50.7 \pm 1.5$	$58.2 \pm 0.6$	$58.5 \pm 0.5$	
6.25	$85.2 \pm 2.$ $85.4 \pm 2.1$	$89.7 \pm 0.9$ $89.4 \pm 0.9$	$87.3 \pm 0.8$ $88.7 \pm 0.8$	$55.8 \pm 1.3$	$55.2 \pm 0.0$ $55.6 \pm 0.5$	$55.6 \pm 0.5$	
6.50	$87.0 \pm 2.1$	$00.3 \pm 0.0$	$80.0 \pm 0.8$	$53.0 \pm 1.3$ $52.4 \pm 1.2$	$53.0 \pm 0.5$ 52.7 ± 0.5	$53.0 \pm 0.5$ 52.7 ± 0.5	
6.75	$37.3 \pm 2.1$ $80.7 \pm 2.2$	$90.3 \pm 0.9$ 01.1 ± 0.0	$39.9 \pm 0.8$	$32.4 \pm 1.2$ $48.2 \pm 1.1$	$52.7 \pm 0.5$	$32.7 \pm 0.3$	
7.00	$03.7 \pm 2.2$ 01.8 $\pm$ 2.3	$91.1 \pm 0.9$ $01.0 \pm 0.0$	$90.9 \pm 0.8$	$40.2 \pm 1.1$	$49.0 \pm 0.0$	$45.9 \pm 0.4$	
7.00	$91.0 \pm 2.3$ $03.5 \pm 2.3$	$91.9 \pm 0.9$ $02.6 \pm 0.0$	$91.3 \pm 0.8$ $92.7 \pm 0.8$	$44.2 \pm 1.0$ $40.1 \pm 0.8$	$40.2 \pm 0.4$ $42.5 \pm 0.4$	$43.9 \pm 0.4$	
7.50	$93.0 \pm 2.0$ 04.0 ± 0.2	$92.0 \pm 0.9$	$92.1 \pm 0.8$	$40.1 \pm 0.3$ 25.8 ± 0.7	$42.5 \pm 0.4$	$42.2 \pm 0.3$	
7.50	$94.2 \pm 2.3$ $94.7 \pm 2.3$	$93.3 \pm 0.9$ 04.2 ± 0.0	$93.4 \pm 0.8$ $94.2 \pm 0.8$	$30.0 \pm 0.1$	$33.5 \pm 0.3$ $34.6 \pm 0.3$	$36.2 \pm 0.3$ $34.1 \pm 0.3$	
8.00	$94.7 \pm 2.3$ 05.2 $\pm$ 2.2	$94.2 \pm 0.9$ 04.7 ± 0.0	$94.2 \pm 0.8$	$30.3 \pm 0.0$	$34.0 \pm 0.3$	$34.1 \pm 0.3$	
8.00 8.25	$95.3 \pm 2.3$	$94.7 \pm 0.9$	$94.8 \pm 0.9$	$20.4 \pm 0.3$ $22.7 \pm 0.4$	$30.0 \pm 0.2$ 26.4 ± 0.2	$30.0 \pm 0.2$	
8.20 8.50	$95.8 \pm 2.3$ 96.4 ± 2.4	$95.2 \pm 0.9$ 06.0 ± 0.0	$95.3 \pm 0.9$ 96.0 ± 0.9	$22.7 \pm 0.4$ 18.0 ± 0.4	$20.4 \pm 0.2$ $22.5 \pm 0.2$	$23.9 \pm 0.2$ $22.0 \pm 0.2$	
8.50 9.75	$90.4 \pm 2.4$ $07.0 \pm 2.4$	$90.0 \pm 0.9$	$90.0 \pm 0.9$	$15.9 \pm 0.4$	$22.0 \pm 0.2$	$18.5 \pm 0.1$	
0.75	$97.0 \pm 2.4$ $97.6 \pm 2.4$	$90.3 \pm 0.9$ 07.1 ± 0.0	$90.0 \pm 0.9$ 07.1 ± 0.0	$13.0 \pm 0.3$ $12.0 \pm 0.2$	$18.9 \pm 0.1$ 15.7 ± 0.1	$16.0 \pm 0.1$ 15.2 $\pm 0.1$	
9.00	$97.0 \pm 2.4$	$97.1 \pm 0.9$ 07.7 ± 0.0	$97.1 \pm 0.9$ $07.7 \pm 0.0$	$12.9 \pm 0.2$ 10.4 ± 0.2	$13.7 \pm 0.1$ $12.7 \pm 0.1$	$10.0 \pm 0.1$ $12.41 \pm 0.00$	
9.20	$98.2 \pm 2.4$	$97.7 \pm 0.9$ 08.1 $\pm$ 1.0	$97.7 \pm 0.9$	$10.4 \pm 0.2$ 7 7 $\pm$ 0.1	$12.7 \pm 0.1$ 10.0 ± 0.07	$12.41 \pm 0.09$	
9.50	$98.9 \pm 2.4$	$98.1 \pm 1.0$	$98.2 \pm 0.9$	$7.7 \pm 0.1$	$10.0 \pm 0.07$	$9.07 \pm 0.07$	
9.75	$99.2 \pm 2.4$	$98.3 \pm 1.0$	$98.0 \pm 0.9$	$5.0 \pm 0.1$	$7.8 \pm 0.00$	$7.31 \pm 0.03$	
10.00	$99.3 \pm 2.4$	$98.8 \pm 1.0$	$98.9 \pm 0.9$	$4.01 \pm 0.07$	$5.9 \pm 0.04$	$5.00 \pm 0.04$	
10.25	$99.4 \pm 2.4$	$99.1 \pm 1.0$	$99.2 \pm 0.9$	$2.75 \pm 0.05$	$4.2 \pm 0.03$	$4.02 \pm 0.03$	
10.50	$99.8 \pm 2.4$	$99.5 \pm 1.0$	$99.6 \pm 0.9$	$1.41 \pm 0.03$	$2.5 \pm 0.02$	$2.42 \pm 0.02$	
10.75	$99.9 \pm 2.4$	$99.8 \pm 1.0$	$99.8 \pm 0.9$	$(139 \pm 2)10^{-9}$	$(978 \pm 7)10^{-3}$	$(800 \pm 6)10^{-3}$	
11.00	$100.0 \pm 2.4$	$99.9 \pm 1.0$	$99.9 \pm 0.9$	$(312 \pm 6)10^{-4}$	$(333 \pm 2)10^{-6}$	$(290 \pm 2)10^{-6}$	
11.20	$100.0 \pm 2.4$	$100.0 \pm 1.0$	$100.0 \pm 0.9$	-	$(344 \pm 4)10^{-5}$	$(408 \pm 3)10^{-4}$	
11.50	$100.0 \pm 2.4$	$100.0 \pm 1.0$	$100.0 \pm 0.9$	-	$(909 \pm 7)10^{-10}$	$(781 \pm 5)10^{-5}$	
11.75	$100.0 \pm 2.4$	$100.0 \pm 1.0$	$100.0 \pm 0.9$	-	-	-	
12.00	$100.0 \pm 2.4$	$100.0 \pm 1.0$	$100.0 \pm 0.9$	-	-	-	

**Table 5.10.** Summary of  $\ln(\chi^2_{HRC})$  efficiency and background rejection.

# CHAPTER 6

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# Background and fit model

In this chapter, we construct the simultaneous-fit model of the  $\Delta m_{\chi_c}$  and  $p_T^2$  distributions of 2291 selected CEP  $\chi_c$  candidates, with and without the HERSCHEL cut applied. The final result for 2292 our  $\chi_c$  cross-section measurement is obtained with the HERSCHEL cut applied, which suppresses 2293 the inelastic background. However, in order to understand the effect of the HERSCHEL detector 2294 and as a systematic check we present the results of both samples along side one another. We 2295 start by introducing a series of background studies through which we quantify and model each 2296 background contribution for later use in the fit of CEP  $\chi_c$  candidates. In Sec. 6.1 we present 2297 studies of *combinatorial* background, where erroneously combined particles are matched to 2298 emulate our decay signal. In Sec. 6.2 we describe *feed-down* background where the decay chains 2299 of heavier particles, in particular those of  $\psi(2S)$  mesons, may contain the final-state particles 2300 from our signal-decay mode. The  $\Delta m_{\chi_c}$  of many of these decays fall around the range of our 2301 signal events, making it an important source of background to model and quantify. The last 2302 source of background, discussed in Sec. 6.3, comes from the production of  $\chi_c$  mesons by *inelastic* 2303 processes where we fail to detect rapidity-breaking signatures such as proton dissociation or 2304 gluon radiation. Finally, we discuss the fit parameterisation of our CEP  $\chi_c$  signal and provide a 2305 summary of all the fit components in Sec. 6.5. 2306

#### 2307 6.1 Combinatorial background

There are two sources of combinatorial background. Firstly, non-resonant dimuon production from photon-photon fusion can be wrongly matched with a random converted-photon candidate. We will refer to this background as *dimuon* or *continuum combinatorial*. Secondly, a genuine  $J/\psi$  meson can be wrongly matched with a random converted photon and mimic our signal. We will refer to this background as  $J/\psi$  combinatorial.

# 2313 6.1.1 Continuum-combinatorial background

We estimate the continuum-combinatorial background by fitting the invariant mass of the  $\mu^+\mu^-$  system of the events that pass our CEP  $\chi_c$  selection criteria. This distribution contains contributions from genuine  $J/\psi$  mesons and a dimuon continuum that falls with increasing invariant mass. A fraction of this dimuon continuum falls within our 100 MeV/ $c^2$  wide  $J/\psi$ mass window centred around the nominal invariant-mass value of the  $J/\psi$ , which is set at  $m_{J/\psi} = 3096.900 \pm 0.006 \text{ MeV}/c^2$  according to the Particle Data Group [81]. We estimate this contribution by fitting the distribution outside the window.



Figure 6.1. Invariant mass of  $J/\psi$  mesons from  $\chi_c \to J/\psi [\mu^+\mu^-]\gamma[e^+e^-]$  for proton-proton collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV data in logarithmic scale before (top) and after (bottom) the HERSCHEL cut is applied,  $\ln(\chi^2_{HRC}) < 5$ , for the 2016-only (left), and combined 2015 and 2016 (right) data sets. Events within a 100 MeV mass window around the  $J/\psi$  nominal mass are selected. The complementary rejection windows are highlighted in red. A double-sided Crystal Ball (dashed purple) together with a Gaussian is used for the  $J/\psi$  candidates (dashed green) and the continuum-combinatorial background is fitted with an exponential (dashed red).

<sup>2321</sup> The continuum contribution is modelled with a single exponential,

$$A \cdot e^{a \cdot p_T^2}.\tag{6.1}$$

where A is a normalisation parameter and  $\lambda$  is the slope of the exponential. To fit the  $J/\psi$ 2322 resonance we use a combination of a double-sided Crystal Ball [132] and a Gaussian with a 2323 shared mean parameter, which is the same model used for the comparison of the 2015 and 2016 2324  $J/\psi$  yields presented in Sec. 5.3. As before, the yield ratio of the double-sided Crystal Ball and 2325 a Gaussian is fixed to values extracted from CEP  $\chi_c$  Monte Carlo. Applying this constraint, we 2326 perform an unbinned-maximum-likelihood fit of the data samples, the results of which are shown 2327 in Fig. 6.1 with and without the HERSCHEL cut applied for the 2016-only, and combined 2015 2328 and 2016 data samples. The final values of the fit parameters are detailed in Table 6.1. 2329

Variable	Units	Without HERSCHEL		With HERSCHEL	
		2016	2015 + 2016	2016	2015+2016
$\mu$	$MeV/c^2$	$3096.7\pm0.6$	$3096.4\pm0.5$	$3097.2\pm0.9$	$3096.6 \pm 0.9$
$\alpha_{\rm Left}$	-	$1.26\pm0.09$	$1.70\pm0.20$	$0.90\pm0.97$	$1.03\pm0.13$
$lpha_{ m Right}$	-	$-1.58\pm0.16$	$-1.85\pm0.23$	$-1.41\pm0.26$	$-1.45\pm0.25$
$n_{\rm Left}$	-	$4.32\pm2.00$	$1.36\pm0.20$	$4.32\pm2.00$	$2.72\pm0.76$
$n_{\rm Right}$	-	$5.83 \pm 2.60$	$11.50 \pm 12.33$	$6.34 \pm 4.70$	$6.26 \pm 4.31$
$\sigma_{ m DCB}$	$MeV/c^2$	$13.38\pm0.88$	$12.84 \pm 1.45$	$13.24 \pm 1.65$	$12.84 \pm 1.45$
$\sigma_{ m Gauss}$	$MeV/c^2$	$12.07\pm0.84$	$9.91 \pm 1.33$	$9.31 \pm 1.33$	$9.91 \pm 1.33$
$Y_{\rm Gauss}/Y_{\rm DCB}$	-	$^{*}0.818 \pm 0.501$	$^{*}0.748 \pm 0.408$	$^{*}0.818 \pm 0.501$	$^{*}0.748 \pm 0.408$
λ	$[\operatorname{MeV}/c^2]^{-1}$	$-0.009 \pm 0.007$	$-0.010 \pm 0.008$	$-0.009 \pm 0.008$	$-0.009 \pm 0.008$

**Table 6.1.** Parameter values of  $J/\psi$  mass fit from CEP  $\chi_c$  Monte Carlo and data for 2016-only, and combined 2015 and 2016 data sets. Fixed parameters are marked by \*.

By integrating the background component of our data fit within our  $J/\psi$  selection window,  $m_{J/\psi} \pm 50 \text{ MeV}/c^2$ , we estimate  $0.46 \pm 0.39 \ (0.43 \pm 0.26)$  continuum-combinatorial-background events in the combined 2015 and 2016 data, and  $0.68 \pm 0.36 \ (0.51 \pm 0.26)$  in the 2016-only data without (with) the HERSCHEL cut applied. This demonstrates we have a very clean  $J/\psi$  signal with a minimal amount of continuum-combinatorial background. This background is assumed to have a flat  $\Delta m_{\chi c}$  profile.

# 2336 6.1.2 $J/\psi$ -combinatorial background

 $J/\psi$ -combinatorial background comes from the association of genuine  $J/\psi$  mesons and a converted 2337 photon from another process, or from noise in the calorimeter wrongly interpreted as a photon. 2338 To precisely determine the amount of  $J/\psi$ -combinatorial background we study a  $\Delta m_{\chi_c}$  region 2339 where we know the signal is dominated by  $J/\psi$ -combinatorial background. From the CEP 2340  $\chi_c$  Monte Carlo we know not to expect any  $\chi_c$  signal in the  $\Delta m_{\chi_c}$  region above 500 MeV/ $c^2$ . 2341 From the feed-down study that will be presented in Sec. 6.2, we also know to expect minimal 2342 feed-down background in this right-hand side-band. Therefore, any events above this threshold 2343 are dominated by  $J/\psi$ -combinatorial background with slight contamination from continuum-2344 combinatorial background. We are able estimate the amount  $J/\psi$ -combinatorial background in 2345 our sample by fitting events in this right-tail region and extrapolating into our selection window. 2346

To perform the extrapolation we first need to know the shape of the  $J/\psi$ -combinatorial background along the entire  $\Delta m_{\chi_c}$  range. We model it through a data-driven approach where we mismatch a  $J/\psi$  meson from one event with a converted photon from a different event in the CEP  $\chi_c$  data set. The resulting  $\Delta m_{\chi_c}$  distribution falls gently to either side of our signal window as shown in Fig. 6.2, with and without the HERSCHEL cut applied. We will refer to this as the *artificial*-combinatorial background. To fit the distribution, we use a double-sided Crystal Ball to accommodate the tail asymmetry in an unbinned-maximum-likelihood fit where



**Figure 6.2.** Fit of  $\Delta m_{\chi_c}$  distribution of artificial-combinatorial model before (top) and after (bottom) the HERSCHEL cut is applied from the 2016-only (left), and combined 2015 and 2016 (right) data. The distribution is fitted with a double-sided Crystal Ball in green. The vetoed ranges in our CEP  $\chi_c$  selection are highlighted in red.

all parameters are left floating. The fit is overlaid on Fig. 6.2, and the final-parameter values are summarised in Table 6.2.

In addition to the  $J/\psi$ -combinatorial shape described above, we add a uniform distribution 2356 for the sub-dominant continuum-combinatorial background such that its integral around our 2357 selection window matches the results presented above. In determining the absolute background 2358 level from the CEP  $\chi_c$  sample, the size of the  $J/\psi$ -combinatorial background is determined 2359 exclusively by the candidates to the right of the signal window. The fit shape of the  $J/\psi$ 2360 combinatorial is then extrapolated into lower- $\Delta m_{\chi_c}$  values. The maximum-likelihood-fit results 2361 of the combinatorial component are shown in Fig. 6.3, with and without the HERSCHEL cut 2362 applied. The data in the resonant region are not shown as this is signal dominated and not 2363 described by the fit model. The fit does not describe the low- $\Delta m_{\chi_c}$ -veto region well since it has 2364 an additional contribution from  $\chi_{c0}$ , which the fit does not account for. By integrating within 2365 the selection window we find that we expect  $44.97 \pm 6.35$   $(13.25 \pm 4.66)$  J/ $\psi$ -combinatorial events 2366 in the combined 2015 and 2016 data, and  $35.55 \pm 5.85$  (11.91  $\pm 4.51$ ) in the 2016-only data 2367 without (with) the HERSCHEL cut applied. Hence we conclude that HERSCHEL suppresses 2368 this source of background by around 70%. 2369



**Figure 6.3.** Fit of  $\Delta m_{\chi_c}$  distribution from CEP  $\chi_c$  selection before (top) and after (bottom) the HERSCHEL cut is applied,  $\ln(\chi^2_{HRC}) < 5$ , for the 2016-only (left), and combined 2015 and 2016 (right). The  $\Delta m_{\chi_c}$  ranges vetoes in the main analysis are highlighted in red. The entries in the resonant region are not shown as they are signal dominated.

<b>Table 6.2.</b> Parameters of $\Delta m_{\chi_c}$ and $p_T^2$ fits of $J/\psi$ -combinatorial background for the 2016-only,	and
combined 2015 and 2016 data with a HERSCHEL cut, $\ln(\chi^2_{\text{HRC}}) < 5$ , applied.	

		Without HERSCHEL		With HE	RSCHEL			
Variable	Units	2016	2015+2016	2016	2015 + 2016			
$\Delta m_{\chi_c}$ combinatorial								
$\mu$	$MeV/c^2$	$397.27 \pm 6.72$	$386.61 \pm 6.04$	$399.20\pm10.34$	$401.52\pm9.68$			
$\sigma$	$MeV/c^2$	$121.46\pm4.47$	$111.77\pm3.79$	$114.35\pm7.87$	$115.123\pm7.41$			
$n_{\rm Left}$	-	$50.00 \pm 111.32$	$50.00 \pm 111.32$	$50.00\pm111.32$	$50 \pm 111.32$			
$n_{ m Right}$	-	$124.65\pm2.58$	$108.49 \pm 311.77$	$3.31 \pm 1.06$	$2.96 \pm 0.83$			
$\alpha_{ m Left}$	-	$52.06 \pm 47.94$	$52.06\pm122.44$	$55.08 \pm 125.42$	$52.06 \pm 122.44$			
$\alpha_{ m Right}$	-	$-0.55\pm0.03$	$-0.48\pm0.02$	$-0.97\pm0.10$	$-0.99\pm0.11$			
		$p_{ m T}^2(J\!/\!\psi)$	γ) combinatorial					
a	$[MeV/c]^{-2}$	$-0.58\pm0.04$	$-0.56\pm0.04$	$-2.78\pm0.68$	$-2.60\pm1.01$			
b	$[MeV/c]^{-2}$	-	-	$-0.64\pm0.18$	$-0.77\pm0.19$			
A	$[MeV/c]^{-2}$	$0.44\pm0.60$	$0.43\pm0.42$	$0.92\pm0.83$	$0.82\pm0.59$			
В	$[\operatorname{MeV}/c]^{-2}$	-	-	$0.14\pm0.76$	$0.33\pm0.62$			



Figure 6.4. Fit of  $p_{\rm T}^2$  distribution of  $J/\psi$ -combinatorial background modelled by mismatched  $J/\psi$  mesons and converted photons from different events before (top) and after (bottom) the HERSCHEL cut is applied,  $\ln(\chi^2_{\rm HRC}) < 5$ , for the 2016-only (left), and combined 2015 and 2016 (right) data with our CEP  $\chi_c$  selection applied. We use one exponential to fit the  $p_{\rm T}^2$  distribution with all parameters left floating shown in green.

We can also determine the  $p_T^2$  distribution of the  $J/\psi\gamma$  background system with this 2370 method. Prior to the application of the HERSCHEL cut, the shape is well described by a single 2371 exponential,  $A \cdot \exp(a \cdot p_T^2)$ , where A is a normalisation parameter and a is the slope of the 2372 exponential. However, once the HERSCHEL cut is applied a single exponential is not sufficient 2373 to successfully describe the  $p_T^2$  distribution. Therefore, we use the sum of two exponentials to fit 2374 the  $p_T^2$  distribution such that,  $A \cdot \exp(a \cdot p_T^2) + B \cdot \exp(b \cdot p_T^2)$ , where A and B are normalisation 2375 parameters and a and b are the slopes of the exponentials. All parameters are floated during 2376 the unbinned-maximum-likelihood fit of both samples. The fit results are shown in Fig. 6.4 and 2377 the fit parameter values are detailed in Table 6.2. We use these results to fix the fit parameters 2378 and the yield of the  $\Delta m_{\chi_c}$ , as well as  $p_{\rm T}^2$  in the CEP  $\chi_c$  fit. 2379

# 2380 6.2 $\psi(2S)$ feed-down background modelling

In this section we discuss possible contributions from  $\psi(2S)$  feed-down through decays that include an intermediate  $J/\psi$  meson and a photon. These events are accompanied by one or more particles other than the  $J/\psi\gamma$  system and can therefore be rejected by the exclusivity requirement of CEP. However, we expect feed-down contributions from  $\psi(2S)$  decays that mimic our signal when one or more of the decay particles are not reconstructed by the main spectrometer, or if we fail to veto them.

# 2387 6.2.1 $\psi(2S)$ feed-down reconstruction

To calculate the contribution of  $\psi(2S)$  feed-down background on our CEP  $\chi_c$  selection, we 2388 use the  $\psi(2S) \to J/\psi X$  Monte Carlo described in Sec. 4.1.4. Here, X represents all possible 2389 decay products in a  $\psi(2S)$  decay containing a  $J/\psi$  meson. The same criteria used for the CEP 2390  $\chi_c$  selection are applied to the  $\psi(2S)$  Monte Carlo. Some of these decays have two or more 2391 photons in the final state. This reduces the available phase space and, on average, results in low 2392 transverse-momentum photons. As expected from the photon-conversion efficiency results, the 2393 reconstruction rate of these events is low. As a result of this and the limited Monte Carlo sample 2394 size (100,000 generated events) fully reconstructing the  $J/\psi \left[\mu^+\mu^-\right]\gamma \left[e^+e^-\right]$  system results in 2395 a sample with limited statistical precision. In addition, the Monte Carlo description of the 2396 photon-conversion probability and reconstruction may not match the data efficiency well. 2397

To take advantage of the more precise and reliable photon-conversion efficiency measured from 2398 data, detailed in Sec. 5.1, we reconstruct only the  $J/\psi$  meson while keeping the generator-level 2399 information of the photons associated with the decay irrespective of whether the photons convert 2400 in Monte Carlo. we later apply our knowledge of the photon-conversion and reconstruction 2401 efficiency from data. These decay modes involve more than one photon and the reconstructed-2402 mass distributions vary according to which photon is paired with the  $J/\psi$  mesons. The vast 2403 majority of the decays involve two photons, however, the  $\psi(2S) \to J/\psi \left[\mu^+\mu^-\right] \pi^0 [\gamma\gamma] \pi^0 [\gamma\gamma]$ 2404 decay has four photons, two from each  $\pi^0$  meson. We save up to four photons involved in the 2405 main decay chain,  $\gamma_1$ ,  $\gamma_2$ ,  $\gamma_3$ , and  $\gamma_4$ , then reconstruct the  $J/\psi\gamma$  system for all possible pairs. 2406 All bremsstrahlung photons are omitted from this selection process. 2407

The reconstruction resolution of the photons will have an effect on the number of events 2408 that fall within our CEP  $\chi_c \Delta m_{\chi_c}$  selection window, and ultimately the  $\Delta m_{\chi_c}$  shape we extract 2409 for later use in our CEP  $\chi_c$  signal fit. These photons are particularly sensitive to resolution 2410 effects due to bremsstrahlung radiation and our ability to correct for it. Therefore, to account 2411 for detector-resolution effects for the converted photons we use the combined 2015 and 2016 2412  $\chi_{c2}$  Monte Carlo introduced in section Sec. 6.5.1. The CEP  $\chi_c$  analysis selection is applied 2413 and we use generator-level information to check that the reconstructed particles belong to our 2414 decay. The resolution for each of the four-momentum components is given by subtracting the 2415 true value from the reconstructed value. These resolution distributions are shown in Fig. 6.5 2416 for 2015 run conditions. For any given event, the amount of smearing necessary to account for 2417 the detector-resolution effects is given by the product of a randomly selected resolution value 2418 from the corresponding normalised-momentum resolution distribution, and the absolute value 2419 of the true-momentum component of the accompanying photon, which is saved alongside the 2420 reconstructed  $J/\psi$  in the  $\psi(2S) \to J/\psi X$  Monte Carlo. 2421



Figure 6.5. Photon-reconstruction-resolution distributions for  $p_x$  (top left),  $p_y$  (top right),  $p_z$  (bottom left), and E (bottom right) using reconstructed CEP  $\chi_{c2}$  Monte Carlo from pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV for 2015 run conditions.

The resolution of the three-momentum components are not significantly correlated and can 2422 be used to smear the momentum of the accompanying photons independently. Similarly, no 2423 strong correlations are observed between the energy resolution and the momentum resolution 2424 along the x and y-axis. However, there is a strong correlation between the energy resolution and 2425 the momentum resolution along the z-axis. As a result of this strong correlation, the energy and 2426 momentum along the z-axis can not be randomly smeared simultaneously. Instead, the same 2427 randomly selected smear factor is used for both  $p_z$  and E. The smeared photon four-vector can 2428 now be used to calculate the  $\Delta m_{\chi_c}$  together with their corresponding  $p_T^2$  distributions, shown 2429 in Fig. 6.6. 2430

The difference in the profile of the distributions is due to the nature of the algorithm designed 2431 to search for the photons associated with the  $\psi(2S)$  meson, namely  $\gamma_1$  to  $\gamma_4$ . For example, in 2432  $\psi(2S) \rightarrow \chi_c \gamma$  decays the  $\chi_c$  mesons tend to appear earlier in the decay list. Therefore, the 2433 first photon the algorithm encounters is associated with the  $\chi_c$  decay,  $\chi_c \to J/\psi\gamma$ . This is 2434 reflected in the presence of the three resonant  $\chi_{c0}$ ,  $\chi_{c1}$ , and  $\chi_{c2}$  peaks in invariant-mass-difference 2435 distributions in Fig. 6.6 (first row). The second photon encountered by the algorithm would 2436 then be the one associated with  $\psi(2S)$  decay,  $\psi(2S) \to \chi_c \gamma$ . This is also reflected as three peaks 2437 in the invariant-mass distribution in Fig. 6.6 (second row). However, due to the higher invariant 2438 mass of the  $\chi_{c2}$  meson the phase space available for the photon is lower than that in decays 2439



Figure 6.6. Delta-mass (left) and  $p_{\rm T}^2$  (right) distributions of reconstructed  $J/\psi$  mesons and generatorlevel photons prior to conversion and reconstruction, from  $\psi(2S) \to J/\psi [\mu^+ \mu^-] X$  Monte Carlo from pp collisions at  $\sqrt{s} = 13$  TeV for 2015 run conditions. Since there are decay modes with more than one photon, we consider four possible combinations displayed separately:  $\gamma_1$ ,  $\gamma_2$ ,  $\gamma_3$ , and  $\gamma_4$  from top to bottom. The vetoed  $\Delta m_{\chi_c}$  region in the CEP  $\chi_c$  selection is highlighted in red.

with a  $\chi_{c0}$ . Therefore, when we reconstruct the  $J/\psi\gamma$  system with the second photon the order of the peaks is inverted. That is, the  $\chi_{c2}$  decay is the one at lower  $\Delta m_{\chi_c}$  values followed by  $\chi_{c1}$ and  $\chi_{c0}$ . Finally, there are cases where more than one photon appears within the same decay generation such as in  $\psi(2S) \rightarrow J/\psi [\mu^+\mu^-] \pi^0 [\gamma\gamma] \pi^0 [\gamma\gamma]$ . In this case, similar shapes are present in all four  $\Delta m_{\chi_c}$  distributions. The  $\Delta m_{\chi_c}$  distributions in Fig. 6.6 (rows three and four) come from these decay channels and are also present in the other two plots.

# 2446 6.2.2 Photon-conversion efficiency and production-mechanism weights

Using the true transverse momentum of the photon, we weight each event by the photonconversion efficiency as determined in data according to Fig. 5.13 in Sec. 5.1. We multiply this efficiency by a factor of two to account for the dependence of the photon-conversion efficiency on the detector occupancy, so as to be appropriate for CEP-like events, as described in Sec. 5.1.6.

The feed-down background receives contributions from both exclusive and inclusive (i.e. inelastic)  $\psi(2S)$  mesons. To model these two contributions, the Monte Carlo kinematics of  $\psi(2S)$  mesons are reweighted to match the characteristic kinematics of each production mode according to the results of an earlier LHCb analysis [43], where two exponentials are used to fit the  $p_T^2$  ( $\psi(2S)$ ) distribution: one for the inelastic background,  $B_I$ , and another for the exclusive background,  $B_E$ . Each shape consists of two parameters: one for normalization,  $p_0$  and  $p_2$ respectively, and another for the slope of the exponential,  $p_1$  and  $p_3$  respectively, such that,

$$B_{\rm I}(p_T^2) = e^{(p_0 + p_1 \cdot p_T^2)}$$
 and  $B_{\rm E}(p_T^2) = e^{(p_2 + p_3 \cdot p_T^2)}$ . (6.2)

The experimental fit result of the  $\psi(2S)$  study is reproduced in Fig. 6.7. The parameters from these fits are:  $p_0 = 3.536 \pm 0.340$ ,  $p_1 = -0.7966 \pm 0.2490 \; [\text{MeV}/c]^{-2}$ ,  $p_2 = 5.667 \pm 0.082$ , and  $p_3 = -6.075 \pm 0.799 \; [\text{MeV}/c]^{-2}$ .

To perform the re-weighting, the generator-level kinematic information of the  $\psi(2S)$  is saved 2461 alongside the reconstructed  $J/\psi \to \mu^+\mu^-$  mesons. The weights for each case, CEP and inelastic, 2462 are calculated by taking the ratio of the  $p_T^2(\psi(2S))$  extracted from the  $\psi(2S)$  experimental 2463 fit results, and the  $p_T^2(\psi(2S))$  of the generator-level  $\psi(2S)$  Monte Carlo. Furthermore, the 2464 inelastic events are assigned an additional weight factor of 0.776, which is the ratio of exclusive-2465 to-inclusive processes measured in an LHCb study of  $\psi(2S)$  CEP [43]. Meanwhile the CEP 2466 events are assigned a complementary weight factor of 1 - 0.776, assuring the two backgrounds 2467 are produced in the correct proportions. The  $\Delta m_{\chi_c}$  distribution of the CEP and inclusive  $J/\psi\gamma$ 2468 system from  $\psi(2S)$  decays, after the selection and weights are applied, is shown in Fig. 6.8 for 2469 each of the four photons used to construct the  $J/\psi\gamma$  pair. 2470

#### $_{2471}$ 6.2.3 $\psi(2S)$ feed-down fit and number of expected events

The  $\Delta m_{\chi_c}$  distributions are fitted with a kernel estimation PDF (KE PDF), which is an extremely flexible fit method capable of describing the intricate shapes of this background. Since up to four photons are saved for each  $\psi(2S)$  meson, the simulated data sets for each  $J/\psi\gamma$  pair are treated independently and their fit results are shown in Fig. 6.8. The PDFs are then merged



Figure 6.7. Transverse-momentum-squared distribution of  $\psi(2S) \rightarrow \mu^+ \mu^-$  CEP candidates from 2015 pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV. The distribution is fitted with two exponentials: one for CEP events in cyan and another for the inelastic background in dashed red. Reproduced from Ref. [43].

for both the CEP and inelastic components in proportion to their calculated contribution, as detailed below. The resulting distribution is used as an input for the fit of the CEP  $\chi_c$  sample.

From the weighted Monte Carlo we can determine an effective efficiency of the selection 2478 process,  $\epsilon_s$ , defined here as the number of events that pass the reconstruction and our selection 2479 from the 100,000 generated events. This includes the effects of the weights associated with 2480 the production mechanism, the reconstruction efficiency of the dimuons, the photon-conversion 2481 efficiency, and the multiplicity-correction factor. In the case of CEP production, we have an 2482 average-effective efficiency for up to four possible photon combinations of  $8.87 \times 10^{-6}$  within 2483 our  $\Delta m_{\chi_c}$  selection window, 350 to 500 MeV/ $c^2$ . In the case of inelastic production we have an 2484 average-effective efficiency of  $4.15 \times 10^{-6}$  within the same range. 2485

The number of expected  $\psi(2S)$  feed-down background events,  $N(\psi(2S))_{\text{FD}}$ , is calculated as follows:

$$N(\psi(2S))_{\rm FD} = \frac{\mathcal{L} \cdot \sigma(\psi(2S) \to \mu^+\mu^-) \cdot \mathcal{B}(J/\psi \to \mu^+\mu^-) \cdot \mathcal{B}(\psi(2S) \to J/\psi X) \cdot \epsilon_s}{\mathcal{B}(\psi(2S) \to \mu^+\mu^-)}, \qquad (6.3)$$

where  $\mathcal{L}$  is the integrated luminosity,  $\sigma(\psi(2S) \to \mu^+\mu^-) = 11.1 \pm 1.1 \pm 0.3 \pm 0.4 \text{ pb}$  is the cross-section for the CEP of  $\psi(2S)$  reconstructed with two muons within the acceptance of the LHCb experiment  $(2 < \eta(\mu^+\mu^-) < 4.5)$  [43].  $\mathcal{B}(J/\psi \to \mu^+\mu^-) = (5.961 \pm 0.033)$  % and  $\mathcal{B}(\psi(2S) \to \mu^+\mu^-) = (8.0 \pm 0.6) \times 10^{-3}$  are the branching fractions for  $J/\psi$  and  $\psi(2S)$ decaying into a pair of muons, and  $\mathcal{B}(\psi(2S) \to J/\psi X) = (61.4 \pm 0.6)$  % is the branching fraction for  $\psi(2S)$  mesons decaying into  $J/\psi X$  according to the PDG [81]. The total number of expected  $\psi(2S)$  feed-down events is calculated for each  $J/\psi \gamma$  pair independently and their



**Figure 6.8.** Delta-mass of  $J/\psi \gamma$  system from  $\psi(2S) \rightarrow J/\psi X$  feed-down using weighted Monte Carlo of pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV to match CEP (left column) and inelastic (right column) experimental  $\psi(2S)$  kinematics. This is done for four potentially reconstructible photons,  $\gamma_1$ ,  $\gamma_2$ ,  $\gamma_3$ , and  $\gamma_4$ , from top to bottom.

<b>Table 6.3.</b> Summary of $\psi(2S)$ feed-down (FD) and combinatorial-background studies including the
number of expected background events within the CEP $\chi_c \Delta m_{\chi_c}$ selection, 350 to 500 MeV/ $c^2$ , for the
2015 (2016), and combined 2015 and 2016 CEP $\chi_c$ data, both with and without the HERSCHEL cut.
The effective-efficiency value, $\epsilon_s$ , used in the calculation of the total number of events is also included
for 2015 Monte Carlo. Also listed is the total number of events passing the signal selection.

Mechanism	$\ln(\chi^2_{ m HRC})$	$\gamma_n$	$\epsilon_s \  imes 10^{-5}$	Events 2015 (2016)	Total 2015 (2016)	$\begin{array}{c} \text{Combined} \\ 2015+2016 \end{array}$
Calaata d	-	-	-	-	88 (451)	539
Selected	< 5	-	-	-	23 (127)	150
	-	$\gamma_1$	3.45	$0.98 \pm 0.24 \ (5.80 \pm 1.4)$		
(DC)CEP	-	$\gamma_2$	0.069	$0.0196 \pm 0.0058 \ (0.11 \pm 0.03)$	$1.02\pm0.61$	$6.09 \pm 5.02$
$\psi(2S)_{\rm FD}$	-	$\gamma_3$	0.026	$0.007 \pm 0.003  (0.043 \pm 0.015)$	$(5.97 \pm 3.58)$	$0.98 \pm 0.93$
	-	$\gamma_4$	0.027	$0.008 \pm 0.002  (0.045 \pm 0.014)$		
	< 5	$\gamma_1$	-	$0.69 \pm 0.17 \ (4.91 \pm 1.19)$		
$(0, \alpha)$ CEP	< 5	$\gamma_2$	-	$0.0138 \pm 0.0041  (0.1 \pm 0.03)$	$0.72\pm0.43$	r 90 + 4 09
$\psi(2S)_{\rm FD}$	< 5	$\gamma_3$	-	$0.005 \pm 0.002 \ (0.036 \pm 0.013)$	$(5.08 \pm 3.05)$	$5.80 \pm 4.92$
	< 5	$\gamma_4$	-	$0.005 \pm 0.002  (0.039 \pm 0.012)$		
	-	$\gamma_1$	1.58	$0.45 \pm 0.11 \ (2.6 \pm 0.6)$		
$(\Omega C)^{\text{In}}$	-	$\gamma_2$	0.035	$0.01 \pm 0.003  (0.058 \pm 0.017)$	$0.47 \pm 0.29$	<u> 9 99 ∣ 9 91</u>
$\psi(2S)_{\rm FD}$	-	$\gamma_3$	0.024	$0.007 \pm 0.002 \ (0.04 \pm 0.014)$	$(2.76 \pm 1.70)$	$3.23 \pm 2.81$
	-	$\gamma_4$	0.016	$0.005 \pm 0.002  (0.027 \pm 0.009)$		
	< 5	$\gamma_1$	_	$0.32 \pm 0.08  (1.8 \pm 0.4)$		
(a c) In.	< 5	$\gamma_2$	-	$0.007 \pm 0.002 \ (0.039 \pm 0.011)$	$0.34\pm0.21$	0.00 + 1.00
$\psi(2S)_{\rm FD}$	< 5	$\gamma_3$	-	$0.005 \pm 0.002 \ (0.027 \pm 0.009)$	$(1.86 \pm 1.15)$	$2.20 \pm 1.92$
	< 5	$\gamma_4$	-	$0.003 \pm 0.001  (0.018 \pm 0.006)$		
utut aamah	-	-	-	-	$(0.68 \pm 0.36)$	$0.46 \pm 0.39$
$\mu^+\mu^-$ comb.	< 5	-	-	-	$(0.51 \pm 0.26)$	$0.43\pm0.26$
I/a/a a a mala	-	-	-	-	$(35.55 \pm 5.85)$	$44.97 \pm 6.35$
$J/\psi$ comb.	< 5	-	-	-	$(11.91\pm4.51)$	$13.25\pm4.66$

results are summed together to account for the full range of decay modes that contribute to this background. We find a total of  $5.97 \pm 3.58$  ( $6.98 \pm 5.93$ ) CEP  $\psi(2S)$  feed-down background and  $2.76 \pm 1.70$  ( $3.23 \pm 2.81$ ) inelastic  $\psi(2S)$  feed-down background events within our  $\Delta m_{\chi_c}$ selection window in the 2016-only (combined 2015 and 2016) data. Therefore, we expect to see a very small contribution of feed-down events. These results are summarised in Table 6.3.

## $_{2500}$ 6.2.4 $\psi(2S)$ feed-down background with HERSCHEL

To account for the effects of the HERSCHEL cut we use the efficiency for CEP,  $\epsilon_{\text{HRC}}^{\text{CEP}}$ , and inelastic,  $\epsilon_{\text{HRC}}^{\text{In.}}$ , events calculated in Sec. 5.6. With these efficiencies, we can scale down the calculated  $\psi(2S)$  feed-down background prior to the implementation of the HERSCHEL cut, as calculated in Sec. 6.2. For a HERSCHEL cut of  $\ln(\chi^2_{\text{HRC}}) < 5$ ,  $\epsilon_{\text{HRC}}^{\text{CEP}} = 85.1 \pm 0.8$  (83.0 ± 0.8) and  $\epsilon_{\text{HRC}}^{\text{In.}} = 67.6 \pm 0.8$  (68.2 ± 0.7) for 2016-only (combined 2015 and 2016), we expect to see 5.08 ± 3.05 (5.80 ± 4.92) CEP and 1.86 ± 1.15 (2.20 ± 1.92) inelastic  $\psi(2S)$  feed-down events in 2016-only (combined 2015 and 2016)  $\chi_c$  data after the HERSCHEL cut is applied. The results are summarised in Table 6.3.

#### 2509 6.3 Inelastic $\chi_c$ background

The background in the CEP  $\chi_c$  selection is dominated by the inelastic production of  $\chi_c$  mesons. 2510 In this process the proton fragments, or debris from gluon radiation, may leave traces in the 2511 main spectrometer. In the case that they do, we are able to suppress the background via our 2512 CEP track selection, which requests two long tracks for the two muons associated with the 2513  $J/\psi$ , and two downstream tracks for the two electrons used to reconstruct the photon in an 2514 otherwise empty detector. However, due to the high longitudinal momentum of the protons, 2515 the proton fragments tend to continue their trajectory down the beam line and outside the 2516 detector acceptance. We are able to reject some of these high-rapidity inelastic events by 2517 looking for activity in the HERSCHEL modules. In addition, we can exploit the different 2518 transverse-momentum signatures of CEP and inelastic events to determine the contribution of 2519 inelastic events we fail to veto by simultaneously fitting the  $\Delta m_{\chi_c}$  and  $p_T^2$  distributions of the 2520  $\chi_c$  candidates. In particular, this study allows us to determine the following information to 2521 later constrain the global CEP  $\chi_c$  fit necessary to determine the contributions from CEP and 2522 inelastic processes: 2523

- The production ratio of inelastic  $\chi_{c1}$  and  $\chi_{c2}$  within our  $\Delta m_{\chi_c}$  selection window.
- Understand the  $\Delta m_{\chi_c}$  fit model for inelastic  $\chi_c$  candidates.
- Extract the  $p_T^2$  fit model for inelastic  $\chi_c$  candidates.

# 2527 6.3.1 Inelastic data set and selection

To study the inelastic  $\chi_c$  contribution, we select a sample guaranteed to be inelastic by ensuring 2528 events violate the rapidity-gap criteria through the presence of additional tracks, other than 2529 those associated with the  $\chi_c$  decay mode. We use the main analysis  $\chi_c$  data samples but omit 2530 the CEP track-selection criteria used to meet the rapidity-gap criteria and, in its place, we 2531 select events that have two downstream tracks for the converted-photon reconstruction and 2532 three or more long tracks, two of which are muons from the  $J/\psi$  meson reconstruction, and no 2533 other tracks. This guarantees we are selecting inelastic  $\chi_c$  events and associated backgrounds. 2534 From this point forth, we will refer to this data set as the  $\geq$  3Long sample. A total of 3099 and 2535 2096 events pass our selection for the 2015 and 2016 data respectively, of which 838 and 778 2536 events fall within our  $\Delta m_{\chi_c}$  selection window. 2537

In addition, we apply the HERSCHEL cut,  $\ln(\chi^2_{HRC}) < 5$ , to the  $\geq 3$ Long sample to study its effects on the inelastic background. From here on, we will refer to this sample as the  $\geq 3$ Long + HRC sample. A total of 187 and 191 events pass our  $\geq 3$ Long + HRC selection for 2015 and 2016 data, adding up to a total of 378 for the combined 2015 and 2016 sample. As expected, the HERSCHEL cut has reduced the size of this sample significantly compared to the  $\geq$  3Long sample. Of these events, 55 and 60 fall within our  $\Delta m_{\chi_c}$  selection window for each year respectively, summing to 115 events for the combined data set.

Recall that the CEP selection has a tight requirement for the maximum number of SPD hits imposed at the hardware-trigger level, and so the multiplicity in these events is still low. The 2546 2015 data set has a larger number of events due to looser requirements on the event multiplicity at the hardware-trigger level, in spite of 2016 being the sample with higher integrated luminosity since higher-multiplicity events are more common. The number of events in the  $\geq$  3Long and  $\geq$  3Long + HRC samples are summarised in Table 6.4.

**Table 6.4.** Summary of  $\geq$  3Long sample with and without the HERSCHEL cut,  $\ln(\chi^2_{\text{HRC}})$ , including  $\psi(2S)$  feed-down and combinatorial-background results for events within the CEP  $\chi_c \ \Delta m_{\chi_c}$  selection window, 350 to 500 MeV/ $c^2$ , for 2015 (2016), and the combined 2015 and 2016 luminosities. The effective-efficiency value,  $\epsilon_s$ , used in the calculation of the total number of events is also included for Monte Carlo with 2015 run conditions. Also listed is the total number of events passing the signal selection

Mechanism	$\ln(\chi^2_{ m HRC})$	$\gamma_n$	$\epsilon_s \  imes 10^{-5}$	Events 2015 (2016)	Total 2015 (2016)	$\begin{array}{c} \text{Combined} \\ 2015+2016 \end{array}$
Selected	-	-	-	-	838 (778)	1616
	< 5	-	-	-	55~(60)	115
	-	$\gamma_1$	0.146	$0.041 \pm 0.011  (0.243 \pm 0.063)$		
$\psi(2S)_{\rm FD}^{\rm In.}$	-	$\gamma_2$	0.017	$0.005 \pm 0.002  (0.028 \pm 0.01)$	$\begin{array}{c} 0.05 \pm 0.03 \\ (0.29 \pm 0.2) \end{array} \qquad \qquad 0.33 \pm \end{array}$	$0.99 \pm 0.99$
$\geq 3 \text{Long}$	-	$\gamma_3$	0.003	$0.0009 \pm 0.0003 \ (0.005 \pm 0.002)$		$0.33 \pm 0.33$
	-	$\gamma_4$	0.005	$0.0015 \pm 0.0006  (0.009 \pm 0.004)$		
	< 5	$\gamma_1$	-	$0.03 \pm 0.008 \ (0.164 \pm 0.042)$		
$\psi(2S)_{\rm FD}^{\rm In.}$	< 5	$\gamma_2$	-	$0.003 \pm 0.001 \ (0.019 \pm 0.007)$	$0.04\pm0.02$	$0.92 \pm 0.92$
$\geq$ 3Long +HRC	< 5	$\gamma_3$	-	$0.0007 \pm 0.0002 \ (0.004 \pm 0.001)$	$(0.19\pm0.14)$	$0.23 \pm 0.23$
	< 5	$\gamma_4$	-	$0.0011 \pm 0.0004  (0.006 \pm 0.002)$		
Comh	-	-	-	-	$(439.37 \pm 14.56)$	$1177.77 \pm 22.92$
Comb.	< 5	-	-	-	$(52.52 \pm 5.58)$	$90.74 \pm 6.54$

As well as genuine  $\chi_c$  events, this samples will also contain combinatorial and feed-down backgrounds. To determine these non- $\chi_c$  backgrounds, we repeat the procedures detailed in the two previous sections, Sec. 6.1 and Sec. 6.2, used to model combinatorial and feed-down backgrounds in order to access the pure inelastic  $\chi_c$  component. Table 6.4 also summarises these contributions to the inelastic sample, the determination of which is detailed in the following sections. As will be seen, the combinatorial background is dominant in this sample.

# 2557 6.3.2 Combinatorial background in inelastic $\chi_c$ sample

To study the combinatorial background in the  $\geq 3\text{Long}$  and  $\geq 3\text{Long} + \text{HRC}$  samples, we apply the same data-driven approach described in Sec. 6.1.2 to model the  $J/\psi$  combinatorial background for the CEP  $\chi_c$  sample and fit the purely combinatorial  $\Delta m_{\chi_c}$  range. Since this method is also sensitive to the much smaller continuum-combinatorial background, we use a single shape to account for both backgrounds. We mismatch  $J/\psi$  mesons with converted photons from different events using the  $\geq 3$ Long sample, then fit the  $p_T^2$  and the  $\Delta m_{\chi_c}$  distributions of the  $J/\psi\gamma$  system. To model the  $p_T^2(J/\psi\gamma)$  distribution of the  $\geq 3$ Long sample we use the sum of two exponentials, such that

$$A \cdot e^{-a \cdot p_T^2} + B \cdot e^{-b \cdot p_T^2},\tag{6.4}$$

where A and B are normalising factors while a and b are the slopes of the exponentials. In the case of the  $\geq 3\text{Long} + \text{HRC}$  sample, we use a single exponential,  $A \cdot \exp(-a \cdot p_T^2)$ . The fit results are shown in Fig. 6.9 where we see small contributions from the second exponential in the fit of the  $\geq 3\text{Long}$  sample.

We use a double-sided Crystal Ball in a maximum-unbinned-likelihood fit to model the 2570  $\Delta m_{\chi_c}$  distribution. The fit results are shown in Fig. 6.10. The parameter values for the  $p_T^2$ 2571 and  $\Delta m_{\chi_c}$  fits are summarised in Table 6.5. These shapes are then fixed and used to fit the 2572  $\Delta m_{\chi_c}$  and  $p_{\rm T}^2(J/\psi\gamma)$  in the  $\geq$  3Long and  $\geq$  3Long + HRC inelastic  $\chi_c$  data set, as shown in 2573 Fig. 6.11. The data in the resonant region are not shown as this is signal dominated and is 2574 not accounted for in this fit model. The normalisation of the fit is based on the combinatoric 2575 region above 500 MeV/ $c^2$  and the PDF is extrapolated into our CEP  $\chi_c$  selection window, 350 2576 to 500 MeV/ $c^2$ . Although there is significantly more combinatorial background in this sample 2577 compared to the CEP  $\chi_c$  sample, the data-driven method does an excellent job at modelling 2578 this contribution. We see a total of  $1177.77 \pm 22.92$  ( $13.25 \pm 4.66$ ) events in the combined 2015 2579 and 2016 data, and  $439.37 \pm 14.56$  (11.91  $\pm 4.51$ ) events in the 2016-only data without (with) 2580 the HERSCHEL cut applied. These results are summarised in Table 6.4. 2581

Variable	Units	Without HERSCHEL		With HE	RSCHEL				
		2016	2015 + 2016	2016	2015 + 2016				
$\Delta m_{\chi_c}$ Combinatorial									
$\mu$	$MeV/c^2$	$384.27 \pm 4.57$	$382.86 \pm 3.10$	$439.08 \pm 1.34$	$431.24\pm11.94$				
$\sigma$	$MeV/c^2$	$112.38\pm2.80$	$114.30 \pm 1.89$	$145.89 \pm 1.53$	$143.62\pm8.13$				
$n_{ m Left}$	-	$50.00 \pm 111.32$	$50.00 \pm 111.32$	$50.00 \pm 111.32$	$50.00\pm111.32$				
$n_{ m Right}$	-	$113.85\pm0.29$	$107.08\pm92.53$	$1.81\pm0.60$	$119.16 \pm 1.44$				
$lpha_{ m Left}$	-	$62.84 \pm 48.13$	$69.27 \pm 126.77$	$52.06 \pm 47.94$	$52.06 \pm 47.94$				
$\alpha_{ m Right}$	-	$-0.28\pm0.01$	$-0.26\pm0.01$	$-0.82\pm0.01$	$-0.40\pm0.03$				
		$p_{ m T}^2(J\!/\!\psi\gamma$	) Combinatoria	ıl					
a	$[MeV/c]^{-2}$	$-0.19\pm0.05$	$-0.17\pm0.02$	$-0.52\pm0.07$	$-0.39\pm0.04$				
b	$[MeV/c]^{-2}$	$-0.62\pm0.12$	$-0.62\pm0.09$	-	-				
A	$[MeV/c]^{-2}$	$0.14\pm0.55$	$0.17\pm0.58$	$0.34\pm0.24$	$0.36\pm0.68$				
В	$[\operatorname{MeV}/c]^{-2}$	$0.99 \pm 0.93$	$0.86 \pm 0.57$	-	-				

**Table 6.5.** Parameters of  $\Delta m_{\chi_c}$  and  $p_{\rm T}^2$  fits of  $J/\psi$ -combinatorial background of the  $\geq$  3Long and  $\geq$  3Long + HRC sample for the 2016-only, and combined 2015 and 2016 data.


Figure 6.9. Fit of the  $p_{\rm T}^2$  distribution of the artificial-combinatorial background from the  $\geq$  3Long (top), fitted with the sum of two exponentials, and  $\geq$  3Long + HRC (bottom) samples, fitted with a single exponential, for 2016-only (left), and combined 2015 and 2016 (right) data.



**Figure 6.10.** Fit of the  $\Delta m_{\chi_c}$  distribution of the artificial-combinatorial background using the events from the  $\geq$  3Long (top) and  $\geq$  3Long + HRC (bottom) sample for the 2016-only (left), and combined 2015 and 2016 (right) data. The vetoed range of our CEP  $\chi_c$  selection is highlighted in red.



Figure 6.11. Fit of the  $\Delta m_{\chi_c}$  distribution of inelastic  $\chi_c \to J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  decays from the  $\geq 3$ Long (top) and  $\geq 3$ Long + HRC (bottom) sample, shown in truncated scale for the 2016-only (left), and combined 2015 and 2016 (right) data. The vetoed range of our CEP  $\chi_c$  selection is highlighted in red.

#### $_{2582}$ 6.3.3 $\psi(2S)$ feed-down background in inelastic $\chi_c$ sample

To calculate the number of expected inelastic  $\psi(2S)$  feed-down background events in the 2583  $\geq$  3Long sample, as well as extract an invariant-mass fit shape, we use the same procedure 2584 detailed in Sec. 6.2 using the  $\psi(2S) \to J/\psi X$  Monte Carlo. Our selection is identical with the 2585 exception of the track selection where we replace the CEP track selection criteria with that of 2586 the  $\geq$  3Long sample, by requiring that an event has one or more long tracks on top of the two 2587 long muon tracks and two downstream electron tracks. We apply the weights associated with 2588 the photon-conversion efficiency as well as the weights necessary to match the experimental 2589  $p_{\rm T}^2$  ( $\psi(2S)$ ) results of inelastic  $\psi(2S)$  mesons. From this, we expect  $0.33 \pm 0.33$  events in the 2590 combined 2015 and 2016 data set, and  $0.29 \pm 0.20$  in the 2016-only sample. We account for the 2591 effects of the HERSCHEL cut by scaling the results in Sec. 6.3.3 by  $\epsilon_{\text{HRC}}^{\text{In.}}$ . After performing this 2592 procedure, we find that we expect a total of  $0.23 \pm 0.23$  inelastic  $\psi(2S)$  feed-down events in the 2593 combined 2015 and 2016 data, and  $0.19 \pm 0.14$  events in the 2016-only data. These results are 2594 summarised in Table 6.4 for each of the  $J/\psi\gamma$  combinations. To extract the PDFs, we apply the 2595 resolution effects to the photon's kinematics before reconstructing the  $J/\psi\gamma$  system. As before, 2596 the  $p_T^2$  shape is fixed according to the inelastic  $\psi(2S)$  component presented in the results of the 2597  $J/\psi$  and  $\psi(2S)$  CEP measurement [43]. 2598

#### 2599 6.3.4 Inelastic $\chi_c$ background fit

We model each of the inelastic  $\chi_c$  resonant peaks with a double-sided Crystal Ball and use the 2600 CEP  $\chi_c$  Monte Carlo samples described in Sec. 4.1 to constrain the fit parameters. The CEP  $\chi_c$ 2601 selection criteria are applied to the reconstructed Monte Carlo and generator-level information 2602 is used to verify each reconstructed particle in the event matches its type and position within 2603 the  $\chi_c \to J/\psi \,[\mu^+\mu^-]\gamma [e^+e^-]$  decay chain. To extract the resonant shapes, we use an unbinned 2604 maximum-likelihood fit on the  $\Delta m_{\chi_c}$  distribution while floating all shape parameters. The 2605 flexibility of the double-sided Crystal Ball allows for an adequate description of the asymmetric 2606 shape, which results from the energy loss of the electrons to bremsstrahlung radiation. The 2607 fit results are shown in Fig. 6.12 and the final values of the fit parameters are summarised in 2608 Table 6.6. 2609

In the fit of the  $\geq$  3Long sample, the two double-sided Crystal Balls for the  $\chi_{c1}$  and  $\chi_{c2}$ 2610 contributions are joined together into a single composite shape. This allows us to simplify the 2611 model of the inelastic  $p_{\rm T}^2$  contribution to a single shape and a single yield parameter shared 2612 between the mass and  $p_{\rm T}^2$  shapes, thereby improving the fit's stability. The Monte Carlo fit 2613 results are used to constrain the ratio of the widths of the  $\chi_c$  resonances in the data fit, providing 2614 some flexibility for resolution differences between data and Monte Carlo. The mean value of the 2615  $\chi_{c1}$  is fixed relative to the mean value of the  $\chi_{c2}$  according to the mass difference between  $\chi_{c1}$ 2616 and  $\chi_{c2}$  mesons. These mean values are expected to be slightly lower than the nominal values, 2617 which are  $3510.67 \pm 0.05$  MeV/ $c^2$  and  $3556.17 \pm 0.07$  MeV/ $c^2$  [81]. This is due to bremsstrahlung 2618 radiation. The tail parameters, on the other hand, are completely fixed according to the Monte 2619



**Figure 6.12.** Fit of the  $\Delta m_{\chi_c}$  distributions of CEP  $\chi_{c1}$  (top) and  $\chi_{c2}$  (bottom) Monte Carlo from pp collisions at a centre-of-mass energy of  $\sqrt{s} = 13$  TeV generated with SuperChic v2 for the 2016-only (left), and combined 2015 and 2016 (right) run conditions. The Monte Carlo is reconstructed using converted photons and the CEP  $\chi_c$  selection is applied before the distributions are fitted with a double-sided Crystal Ball with all parameters left floating.

**Table 6.6.** Summary of the parameter values pertaining to the fit parameters of the double-sided Crystal Balls used to fit the  $\Delta m_{\chi_c}$  distributions of CEP  $\chi_{c1}$  and  $\chi_{c2}$  mesons from the 2016-only, and combined 2015 and 2016 Monte Carlo of pp collisions at centre-of-mass energy  $\sqrt{s} = 13$  TeV.

		$\chi_{c1}$		$\chi_{c2}$		
Parameter	$\mathbf{Units}$	2016	2015+2016	2016	2015 + 2016	
$\mu$	$MeV/c^2$	$413.54\pm0.20$	$413.70\pm0.16$	$458.99\pm0.20$	$458.77\pm0.13$	
$\sigma$	$MeV/c^2$	$1.94\pm0.29$	$1.98\pm0.14$	$2.40\pm0.21$	$2.61\pm0.14$	
$\alpha_{ m Left}$	-	$0.34\pm0.05$	$0.47\pm0.09$	$0.52\pm0.06$	$0.59\pm0.04$	
$\alpha_{ m Right}$	-	$-1.19\pm0.20$	$-1.47\pm0.12$	$-1.75\pm0.22$	$-1.92\pm0.18$	
$n_{ m Left}$	-	$1.13\pm0.09$	$1.02\pm0.12$	$1.06\pm0.06$	$1.00\pm0.04$	
$n_{ m Right}$	-	$3.44\pm0.60$	$2.66 \pm 0.27$	$2.01\pm0.49$	$1.78\pm0.38$	

Carlo results. For the  $p_{\rm T}^2$ , we use the sum of two exponentials (Eq. 6.4) where all parameters are left floating for the Monte Carlo unbinned-simultaneous-maximum-likelihood fit. The  $\Delta m_{\chi_c}$  and  $p_{\rm T}^2$  fit results are shown in Fig. 6.13 while the values of the floated parameters are summarised in Table 6.7. From these fit results we are able to extract two important pieces of information. The first is the yield ratio of the inelastic  $\chi_{c1}$  to  $\chi_{c2}$  mesons,  $\chi_{c1}/\chi_{c2} = 0.91 \pm 0.16$  for 2016-only



**Figure 6.13.** Fit of the  $\Delta m_{\chi_c}$  (first and second row) and  $p_{\rm T}^2$  (third and fourth row) distribution of  $\chi_c$  candidates from the  $\geq$  3Long sample in linear (first and third row) and logarithmic scale (second and fourth row) for the 2016-only (left), and combined 2015 and 2016 (right) data. The  $p_{\rm T}^2$  distribution is modelled by a single shape composed two exponentials for the inelastic  $\chi_{c1}$  and  $\chi_{c2}$  mesons. Note that the *x*-axis scales are different for the linear and logarithmic versions for the  $p_{\rm T}^2$  distribution.



Figure 6.14. Fit of the  $\Delta m_{\chi_c}$  (first and second row) and  $p_{\rm T}^2$  (third and fourth row) distribution of  $\chi_c$  candidates from the  $\geq 3\text{Long} + \text{HRC}$  sample in linear (first and third row) and logarithmic scale (second and fourth row) for the 2016-only (left), and combined 2015 and 2016 (right) data. Note that the *x*-axis scales are different for the linear and logarithmic versions for the  $p_{\rm T}^2$  distribution.

data, and  $\chi_{c1}/\chi_{c2} = 0.83 \pm 0.14$  for the combined 2015 and 2016 data. In addition, we can determine the  $p_{\rm T}^2$  shape parameter and fix them in our simultaneous fit of the CEP  $\chi_c$  sample.

Finally, we perform a simultaneous fit of the  $\Delta m_{\chi_c}$  and the  $p_{\rm T}^2$  distributions of the inelastic 2627  $\chi_{c1}$  and  $\chi_{c2}$  candidates in the  $\geq 3$ Long + HRC sample. Because this sample is much smaller, on 2628 account of the HERSCHEL cut, we fix the width of the double-sided Crystal Ball to the fit results 2629 of the  $\geq 3\text{Long} + \text{HRC}$  sample, detailed in Table 6.7. The  $\Delta m_{\chi_c}$  and  $p_T^2$  fit results are shown in 2630 Fig. 6.14. As before, we extract the  $\chi_{c1}$  to  $\chi_{c2}$  production ratio for later use in the CEP  $\chi_c$  fit: for 2631 the combined 2015 and 2016 data,  $\chi_{c1}/\chi_{c2} = 2.50 \pm 2.37$  and  $\chi_{c1}/\chi_{c2} = 2.11 \pm 1.76$  for 2016-only 2632 data. The production ratios and the values of floated parameters are summarised in Table 6.7 2633 for both  $\geq$  3Long + HRC and  $\geq$  3Long samples. We observe a total of 20 ± 6 (29 ± 9) inelastic 2634  $\chi_c$  events in the 2016-only (combined 2015 and 2016) data. This compares to  $366 \pm 26$  ( $526 \pm 37$ ) 2635 events prior to the HERSCHEL cut, corresponding to a  $5.46 \pm 1.68$  ( $5.51 \pm 1.75$ ) percent retention 2636 of inelastic  $\chi_c$  events for the 2016-only (combined 2015 and 2016) data. This suggests that 2637 HERSCHEL is successfully eliminating inelastic events. Finally, we use the fit results of the  $p_T^2$ 2638 distribution to fix the parameters in the CEP  $\chi_c$  fit. 2639

As a systematic check we repeat the fit using a single exponential, Eq. 6.1, to model the  $p_{\rm T}^2$  distribution from the combined contribution of inelastic  $\chi_{c1}$  and  $\chi_{c2}$  mesons. From the fit of the  $\Delta m_{\chi_c}$  we obtain the  $\chi_c$  yield ratios consistent with the results presented above,

			1 Exp.		2 Exp.	
Variable	Units	$\ln(\chi^2_{ m HRC})$	2016	2015 + 2016	2016	2015 + 2016
			$\Delta m_{\chi_c}$ Ine	lastic		
σ	$MeV/c^2$	-	$3.00\pm0.51$	$3.41\pm0.51$	$3.09\pm0.53$	$3.49\pm0.64$
	$M_0 V/a^2$		$457.97\pm0.60$	$458.13\pm0.54$	$458.05\pm0.62$	$457.98\pm0.68$
$\mu$	wev/c	< 5	$456.58 \pm 1.66$	$455.79 \pm 1.84$	$455.94 \pm 1.69$	$455.86 \pm 2.08$
x . / x .		-	$0.88\pm0.18$	$0.83\pm0.14$	$0.91\pm0.16$	$0.83\pm0.14$
$\chi c1/\chi c2$	-	< 5	$1.49 \pm 1.15$	$1.78 \pm 1.44$	$2.11 \pm 1.76$	$2.50\pm2.37$
			$p_{ m T}^2(J\!/\!\psi\gamma)$ Ir	nelastic		
<i>a</i>	$[M_{0}V/_{c}]^{-2}$	-	$-0.17\pm0.01$	$-0.16\pm0.01$	$-0.06\pm0.03$	$-0.07\pm0.03$
u	$[\operatorname{Mev}/c]$	< 5	$-0.11\pm0.04$	$-0.11\pm0.04$	$-0.08\pm0.06$	$-0.07\pm0.05$
Ь	$[M_{o}V/a]^{-2}$	-	-	-	$-0.38\pm0.08$	$-0.36\pm0.09$
0	$[\operatorname{Mev}/c]$	< 5	-	-	$-2.54\pm2.38$	$-1.45\pm1.57$
Λ	$[M_{1}, N_{2}, N_{3}] = 2$	-	$0.7\pm0.39$	$0.46\pm0.65$	$0.04\pm0.56$	$0.15\pm0.52$
A	$[\operatorname{mev}/c]$	< 5	$0.46\pm0.23$	$0.57\pm0.21$	$0.02\pm0.76$	$0.02\pm0.84$
B	$[M_0 V/c]^{-2}$	-	-	-	$0.37\pm0.80$	$0.91\pm0.51$
<i>D</i>	[1016.0./ C]	< 5	-	-	$0.28\pm0.59$	$0.23\pm0.86$

**Table 6.7.** Floated parameter values of  $\Delta m_{\chi_c}$  and  $p_T^2$  fits of  $\geq 3$ Long and  $\geq 3$ Long + HRC sample for the 2016-only, and combined 2015 and 2016 data. Results are shown for the case where the  $p_T^2$  of inelastic  $\chi_c$  candidates is modelled with one and with two exponentials.

 $\chi_{c1}/\chi_{c2} = 0.88 \pm 0.18 \ (1.49 \pm 1.15)$  for 2016-only data, and  $\chi_{c1}/\chi_{c2} = 0.83 \pm 0.14 \ (1.78 \pm 1.44)$ for the combined 2015 and 2016 data without (with) the HERSCHEL cut applied. All floated parameters are summarised in Table 6.7.

Unlike the combinatorial and feed-down background, the amount of inelastic  $\chi_c$  background expected in the CEP  $\chi_c$  sample is not presented in this section as it is determined together with the amount of CEP  $\chi_c$  signal in the simultaneous fit of the CEP  $\chi_c$  sample. The results of the CEP  $\chi_c$  sample fit are presented in detail in Chapter 7.

#### 2650 6.4 Background summary

In this section, we summarise the results of the background studies necessary to model our CEP  $\chi_c$  selection data with and without the HERSCHEL cut applied. Table 6.8 lists the expected contributions of the combinatorial and feed-down components in our CEP  $\chi_c$  selection.

Using the CEP  $\chi_c$  data set we were able to model the  $J/\psi$ -combinatorial by mismatching  $J/\psi$ mesons with converted photons from different events. We combined this with a flat distribution for the continuum combinatorial such that it matches the measured background under our selected  $J/\psi$  mesons. We used this model to fit the  $\Delta m_{\chi_c}$  distribution based on the purely combinatorial side-band and extrapolate into our signal range. The  $\Delta m_{\chi_c}$  and  $p_T^2$  PDFs for the combinatorial background are shown in Fig. 6.2 and Fig. 6.4 with and without the HERSCHEL cut, respectively. The fit parameters are detailed in Table 6.2.

We modeled  $\psi(2S)$  feed-down by applying weights to CEP  $\psi(2S)$  Monte Carlo to match the  $\psi(2S)$  kinematics of CEP and the inelastic production mechanism, and to account for photon-conversion efficiency. The transverse-momentum of these photons tends to be softer and as a result have a lower effective-reconstruction efficiency, making this our smallest background. The final  $\Delta m_{\chi_c}$  PDFs for the  $\psi(2S)$  feed-down background are shown in Fig. 6.8 and  $p_T^2$  shapes are extracted from the CEP  $J/\psi$  and  $\psi(2S)$  LHCb paper [43]. We observe that ninety-six percent of these background events originate from  $\psi(2S) \rightarrow \chi_c \gamma$  decays.

The inelastic  $\chi_c$  background is not included in this table as its yield and exact shape are 2668 determined concurrently with the CEP  $\chi_c$  signal in a two-dimensional fit of the  $\Delta m_{\chi_c}$  and  $p_T^2$ . 2669 By selecting events with three or more long tracks we were able to select a  $\chi_c$  sample guaranteed 2670 to be inelastic. We were able to isolate the inelastic signal and extract crucial information 2671 from the fit including the yield ratio between  $\chi_{c1}$  and  $\chi_{c2}$ , as well as the  $p_{\rm T}^2(\chi_c)$  shape for these 2672 events. Since the  $\Delta m_{\chi_c}$  shapes of the inelastic and CEP  $\chi_c$  signal are assumed to be identical, 2673 it is crucial to have a good model for the inelastic  $p_{\rm T}^2$  necessary to determine the contribution of 2674 the CEP signal and the inelastic background. The final  $\Delta m_{\chi_c}$  and  $p_T^2$  PDFs for the  $\chi_c$  inelastic 2675 background are shown in Fig. 6.13 and Fig. 6.14. The values of the floated parameters are 2676 summarised in Table 6.7. 2677

**Table 6.8.** Summary of the number of expected background events in our CEP  $\chi_c \to J/\psi [\mu^+ \mu^-] \gamma [e^+ e^-]$  selection sample between our  $\Delta m_{\chi_c}$  selection window, 350 to 500 MeV/ $c^2$ , with and without the HERSCHEL cut applied,  $\ln(\chi^2_{\rm HRC}) < 5$ . The values are presented for the 2016-only, and combined 2015 and 2016 data. This table does not include the important contribution from inelastic  $\chi_c$  events, which is determined in the final fit to the signal sample.

Component	No $\ln(\chi$	$^{2}_{\mathrm{HRC}}$ ) Cut	With $\ln(\chi^2_{ m HRC}) < 5~{ m Cut}$		
Component	2016	<b>2015</b> + <b>2016</b>	2016	2015 + 2016	
$\mu^+\mu^-$ combinatorial	$0.68\pm0.36$	$0.46\pm0.39$	$0.51\pm0.26$	$0.43\pm0.26$	
$J\!/\psi$ combinatorial	$35.55 \pm 5.85$	$44.97 \pm 6.35$	$11.91 \pm 4.51$	$13.25\pm4.66$	
$\overline{\psi(2S)_{ m FD}^{ m CEP}}$	$5.97 \pm 3.58$	$6.98 \pm 5.93$	$5.08 \pm 3.05$	$5.80 \pm 4.92$	
$\psi(2S)_{ m FD}^{ m In.}$	$2.76 \pm 1.70$	$3.23\pm2.81$	$1.86 \pm 1.15$	$2.20 \pm 1.92$	

#### 2678 6.5 CEP $\chi_c$ fit model

A simultaneous unbinned maximum-likelihood fit is performed on the  $\Delta m_{\chi_c}$  and  $p_T^2$  spectrum 2679 of CEP  $\chi_c \to J/\psi \left[\mu^+\mu^-\right] \gamma \left[e^+e^-\right]$  candidates on the 350  $< \Delta m_{\chi_c} < 500 \,\text{MeV}/c^2$  and  $p_T^2(\chi_c) < 0$ 2680  $30 \,[\,\mathrm{MeV}/c]^2$  range, for 2016-only, and combined 2015 and 2016 data. This fitting method allows 2681 us to determine the contribution of inelastic  $\chi_c$  background events from our CEP signal. We 2682 fit the  $\Delta m_{\chi_c}$  distribution with a model composed of seven components: the CEP  $\chi_{c1}$  and  $\chi_{c2}$ 2683 signal, a single inelastic  $\chi_c$  shape accounting for both inelastic  $\chi_{c1}$  and  $\chi_{c2}$  background,  $J/\psi$ -2684 combinatorial background, continuum-combinatorial background, as well as CEP and inelastic 2685  $\psi(2S)$  feed-down background. Of these shapes, only the CEP  $\chi_c$  signal and the inelastic  $\chi_c$ 2686 background have free parameters and yields in the fit. All other background shapes and yields 2687 are fixed according to results detailed in previous sections of this chapter. Each of these  $\Delta m_{\chi_c}$ 2688 shapes is accompanied by a  $p_T^2$  distribution that shares the same yield parameter. All  $p_T^2$ 2689 shapes are fixed according to data, Monte Carlo, or previous studies from the LHCb experiment. 2690 Table 6.9 provides a summary of all the fit components, their shapes, the parameters that are 2691 floated, and a brief description of how each shape is calculated and constrained. The fit is 2692 performed with and without a HERSCHEL cut applied. 2693

#### 2694 6.5.1 Invariant-mass-difference parameterisation

#### 2695 CEP $\chi_c$ fit model

The CEP  $\chi_{c1}$  and  $\chi_{c2}$  resonances are each fitted with a double-sided Crystal Ball [132]. To 2696 help constrain the fits to the CEP  $\chi_c$  data, the values of the tail parameters are fixed ( $\alpha_{\text{Left}}$ , 2697  $\alpha_{\text{Right}}$ ,  $n_{\text{Left}}$ , and  $n_{\text{Right}}$ ) to the values obtained from the CEP  $\chi_c$  Monte Carlo fit results, 2698 shown in Fig. 6.12 and Table 6.6 where they were first presented as part of the inelastic  $\chi_c$ 2699 background study in Sec. 6.3.4. In addition, the width of  $\chi_{c1}$  and  $\chi_{c2}$  are described by a single 2700 free parameter,  $\sigma(\chi_{c2})$ , whereby the width of  $\chi_{c1}$  is fitted by taking  $\sigma(\chi_{c2})$  times the ratio of 2701 the  $\chi_{c1}$  to  $\chi_{c2}$  width extracted from the Monte Carlo fit results. Similarly, the mean value of 2702  $\chi_{c1}$  is constrained relative to the mean of  $\chi_{c2}$  by the difference of the nominal-mass value of  $\chi_{c1}$ 2703

Fit Component	Dist.	Fitted Shape	Floated Values	Model Description
CEP $\chi_{c1}$	$\begin{vmatrix} \Delta m_{\chi_c} \\ p_{\rm T}^2 \end{vmatrix}$	DCB Eq. 6.5	$\mu^*, \sigma^*, Y$ Y	- Fixed tails and constraint $\sigma$ to CEP $\chi_{c1}$ MC fit results. - Fixed shape to CEP $\chi_{c1}$ MC fit results.
CEP $\chi_{c2}$	$\begin{vmatrix} \Delta m_{\chi_c} \\ p_{\rm T}^2 \end{vmatrix}$	DCB Eq. 6.5	$\mu, \sigma, Y$ Y	- Fixed tails and constraint $\sigma$ to CEP $\chi_{c2}$ MC fit results. - Fixed shape to CEP $\chi_{c2}$ MC fit results.
In. $\chi_c$	$ \begin{vmatrix} \Delta m_{\chi_c} \\ p_{\rm T}^2 \end{vmatrix} $	DCB Eq. 6.4	$\mu, \sigma, Y$ Y	- Shares shape parameters with CEP $\chi_c$ counterparts. $\chi_{c1}/\chi_{c2} Y$ ratio fixed to $\geq 3$ Long $\Delta m_{\chi_c}$ fit results. - Shape fixed to $\geq 3$ Long $p_T^2$ fit results
$\psi(2S)_{\rm FD}^{\rm CEP}$	$\Delta m_{\chi_c}$	KE	-	- Modelled from $\psi(2S) \to J/\psi X$ MC. Yield calculated with Eq. 6.3.
$\psi(2S)_{\rm FD}$	$p_{\mathrm{T}}^2$	Eq. 6.2	-	- Parameters fixed from LHCb CEP $J/\psi$ and $\psi(2S)$ paper [43].
$\psi(2S)_{\rm FD}^{{\rm In.}}$	$\Delta m_{\chi_c}$	KE	-	- Modelled from $\psi(2S) \to J/\psi X$ MC. Yield calculated with Eq. 6.3.
12	$p_{\mathrm{T}}^2$	Eq. 6.2	-	- Parameters fixed from LHCb CEP $J/\psi$ and $\psi(2S)$ paper [43].
$\mu^+\mu^-$ Com.	$\Delta m_{\chi_c}$	Horizontal line	-	- Yield calculated from fit to $m(J/\psi)$ in CEP $\chi_c$ sample.
	$p_{\mathrm{T}}^2$	Eq. 6.1, 6.4	-	- Same $p_{\rm T}^2$ shape used as in $J/\psi$ combinatorial.
$J/\psi$ Com.	$\Delta m_{\chi_c}$	DCB	-	- Shape for $\Delta m_{\chi_c}$ and $p_{\rm T}^2$ are fixed to fit result of mis- matched $J/\psi$ and $\gamma$ from CEP $\chi_c$ sample.
	$p_{\mathrm{T}}^2$	Eq. 6.1, 6.4	-	- Yield extrapolated from fit to $\chi_c \ \Delta m_{\chi_c} > 500 \ {\rm MeV}/c^2$ tail.

**Table 6.9.** Summary of the simultaneous-fit strategy of the CEP  $\chi_c$  sample. Yield values (Y) between corresponding  $\Delta m_{\chi_c}$  and  $p_T^2$  shapes are shared.

\* Parameters floated relative to their corresponding parameter in the CEP  $\chi_{c2}$  shape.

and  $\chi_{c2}$  according to the PDG [81]. Finally, all yield values are floated and shared with the corresponding  $p_T^2(J/\psi\gamma)$  shape.

#### 2706 Inelastic $\chi_c$ fit model

The  $\Delta m_{\chi_c}$  shapes for the CEP  $\chi_{c1}$  signal and the inelastic  $\chi_{c1}$  background are assumed to be identical. The same applies to  $\chi_{c2}$ . That is, the tails are fixed to CEP  $\chi_c$  Monte Carlo results and the resonances share the same floated width and mean parameters as their CEP counterparts. However, in the case of the inelastic  $\chi_{c1}$  and  $\chi_{c2}$  background, the two double-sided Crystal Balls are joined together into a single composite shape. This allows us to simplify the model of the inelastic  $p_{\rm T}^2$  contribution to a single shape and a single yield parameter shared between the mass and  $p_{\rm T}^2$  shapes, thereby improving the fit's stability.

These assumptions were studied and validated using our inelastic-control sample,  $\geq$  3Long and  $\geq$  3Long + HRC, as described in Sec. 6.3. The fit results of the control sample are shown in Fig. 6.13 (Fig. 6.14) before (after) the HERSCHEL cut is applied. From these results, we see that the shapes extracted from the CEP  $\chi_c$  Monte Carlo sample describe the distribution successfully. In addition, we extract the yield ratio of the two resonances,  $\chi_{c1}/\chi_{c2}$ , to constrain the  $\chi_c$  yield ratio of our CEP  $\chi_c$  fit. The yield ratios are summarised in Table 6.8.

#### 2720 $\psi(2S)$ feed-down fit model

Two fixed shapes are used to model the CEP and inelastic  $\psi(2S)$  feed-down. Each PDF is 2721 composed of four contributions, one for each of the possible  $J/\psi\gamma$  combinations from  $\psi(2S) \rightarrow \psi(2S)$ 2722  $J/\psi \left[\mu^+\mu^-\right] X$  decays, where X stands for all possible particles in  $\psi(2S)$  decays accompanied by 2723 an intermediate  $J/\psi$  meson. The shapes and their contributions, within our delta-mass window, 2724 are calculated in Sec. 6.2.3 using 2015  $\psi(2S) \to J/\psi \, [\mu^+ \mu^-] X$  Monte Carlo together with results 2725 from the LHCb CEP  $\psi(2S)$  paper [43] and the photon-conversion efficiency, described in Sec. 5.1. 2726 The shapes are extracted with KE fits, shown in Fig. 6.8. The contributions of this background 2727 are summarised in Table 6.3 and are fixed according to these results for the CEP  $\chi_c$  fit. 2728

#### 2729 Continuum-combinatorial fit model

The contribution from non-resonant continuum combinatorial is measured in Sec. 6.1.1 by fitting the invariant-mass distribution of the  $J/\psi$  mesons in our CEP  $\chi_c$  selection within a 100 MeV/ $c^2$ mass window centred about the nominal  $J/\psi$  mass value. The yields within our  $\Delta m_{\chi_c}$  window, 350 to 500 MeV/ $c^2$ , are found to be very small. They are summarised in Table 6.3, and used to fix their contributions in the CEP  $\chi_c$  fit. We assume the  $\Delta m_{\chi_c}$  distribution of this small background to be uniformly distributed and model it with a horizontal line.

#### 2736 $J/\psi$ -combinatorial fit model

The second class of combinatorial background is composed of true  $J/\psi$  mesons wrongly matched with a converted photon. This background is modelled with a data-driven method where  $J/\psi$ mesons from one event are matched with converted photons from another event and fitted with a double-sided Crystal Ball. The shape is then completely fixed according to the fit results of this artificial background, shown in Fig. 6.2. The parameters are summarised in Table 6.2.

The yield within our  $\Delta m_{\chi_c}$  selection window is calculated by fitting the  $\Delta m_{\chi_c}$  range of our CEP  $\chi_c$  candidates above 500 MeV/ $c^2$ , a region dominated by combinatorial background, and extrapolating into our  $\Delta m_{\chi_c}$  selection window. When performing the fit, we account for the small contribution from dimuon continuum. This process is detailed in Sec. 6.1.2. The yields for each data sample are summarised in Table 6.3.

#### 2747 6.5.2 $p_{\mathrm{T}}^2(\chi_c)$ parameterisation

#### 2748 CEP $\chi_c$ fit model

In a similar way to the  $\Delta m_{\chi_c}$  model, the parameters of the CEP  $\chi_c p_T^2$  distribution are all fixed according to the results of a maximum-likelihood fit on fully reconstructed CEP  $\chi_c$  Monte Carlo. The  $p_T^2(\chi_c)$  distribution for each of the  $\chi_c$  mesons is different and deviates from a single exponential due to reconstruction efficiencies, differences in spin-structure of each  $gg \to \chi_{c1,2}$ vertex, as well as effects of spin-survival factor: a measurement of how likely the proton is to survive the interaction. As a result, a flexible parametrization is needed to model the CEP  $p_{\rm T}^2(\chi_c)$  component where all the parameters are floated:

$$\exp(a \cdot p_{\rm T}^2) \left( b + c \cdot p_{\rm T}^2 + d \cdot (p_{\rm T}^2)^2 + e \cdot (p_{\rm T}^2)^3 \right).$$
(6.5)

The results of the fit are shown in Fig. 6.15 and the final value of the fit parameters are summarised in Table 6.10.



Figure 6.15. Fit of the  $p_{\rm T}^2$  distributions of CEP  $\chi_{c1}$  (top) and  $\chi_{c2}$  (bottom) Monte Carlo reconstructed with converted photons for the 2016-only (left), and combined 2015 and 2016 (right) run conditions for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV generated with SuperChic v2. The distributions are shown within the  $\Delta m_{\chi_c}$  selection widow, 350 to 500 MeV/ $c^2$ . The distributions are fitted with Eq. 6.5.

**Table 6.10.** Summary of the final parameter values pertaining to the fit parameters of the  $p_{\rm T}^2$  distributions of CEP  $\chi_{c1}$  and  $\chi_{c2}$  Monte Carlo reconstructed with converted photons for the 2016-only, and combined 2015 and 2016 data from pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV.

		$\chi_{c1}$		$\chi_{c2}$	
Parameter	Units	2016	2015+2016	2016	2015 + 2016
a	$[MeV/c]^{-2}$	$-3.30\pm0.17$	$-3.29\pm0.15$	$-2.70\pm0.19$	$-2.58\pm0.13$
b	$[MeV/c]^{-2}$	$0.04\pm0.03$	$0.07\pm0.06$	$0.39\pm0.19$	$0.27 \pm 1.33$
c	$[MeV/c]^{-4}$	$0.33\pm0.22$	$0.50\pm0.32$	$0.59\pm0.26$	$-0.36\pm1.77$
d	$[MeV/c]^{-6}$	$-0.25\pm0.16$	$-0.35\pm0.21$	$0.77\pm0.34$	$0.45 \pm 2.19$
e	$[MeV/c]^{-8}$	$0.27\pm0.17$	$0.42\pm0.26$	$0.0\pm0.13$	$-0.00\pm0.05$

#### 2758 Inelastic $\chi_c$ fit model

As with the  $\Delta m_{\chi_c}$  fit model, the  $\geq$  3Long and  $\geq$  3Long+HRC samples are used to determine the  $p_T^2$  distribution for the inelastic  $\chi_c$  mesons. The fit of the inelastic-control samples is detailed in Sec. 6.3. The combined  $p_T^2(J/\psi\gamma)$  for  $\chi_{c1}$  and  $\chi_{c2}$  contributions are fitted with a single shape composed of the sum of two exponentials, Eq. 6.4. The fit results are shown in Sec. 6.3.4 and the fit parameters are detailed in Table 6.7. The results are used to fix the shapes of the inelastic  $\chi_c$  component in the CEP  $\chi_c$  simultaneous fit while floating the yield shared with its  $\Delta m_{\chi_c}$ counterpart.

#### 2766 $\psi(2S)$ feed-down-background fit model

The shapes associated with the  $\psi(2S)$  feed-down are taken from observations detailed in a CEP study of  $J/\psi$  and  $\psi(2S)$  mesons in pp collisions at  $\sqrt{s} = 13$  TeV in the LHCb experiment [43] where inelastic and CEP  $p_{T}^{2}(\psi(2S))$  is modelled with an exponential, Eq. 6.2. Their fit results for this study are reproduced in Fig. 6.7. The same shapes are used for all data samples, before and after the HERSCHEL selection is applied. The yields are shared and fixed to the values described for the invariant-mass-difference counterpart.

#### 2773 Combinatorial-background fit model

As with the  $\Delta m_{\chi_c}$  model, the  $p_{\rm T}^2$  combinatorial background has two contributions: continuum combinatorial and  $J/\psi$  combinatorial. As the minor continuum-combinatorial contribution is very small, we model all combinatorial background with the same  $p_{\rm T}^2$  distribution of the  $J/\psi$ combinatorial background described below, but keep their contributions separate.

The  $p_{\rm T}^2$  shape associated with combinatorial background is determined using the same 2778 data-driven study used to ascertain the  $\Delta m_{\chi_c}$  distribution of this background, described in 2779 Sec. 6.1. The selection for the  $p_T^2$  model is restricted to events that fall within the  $\Delta m_{\chi_c}$  selection 2780 window, 350 to 500 MeV/ $c^2$ . In this case, the  $p_T^2$  shape prior to the HERSCHEL cut is well 2781 modelled by a single exponential, Eq. 6.1, with fit results shown in Fig. 6.4. However, once the 2782 HERSCHEL cut is applied, a small contribution from a second exponential is required, Eq. 6.4. 2783 These fit results are shown in Fig. 6.4. Both fits are performed with all parameters floated. 2784 These parameters are then fixed and fitted to the CEP  $\chi_c$  data where the yields are shared and 2785 fixed to the same value as the  $\Delta m_{\chi_c}$  counterpart. The parameter values are summarised in 2786 Table 6.2. 2787

## CHAPTER 7

2788 2789

# Results and assignment of systematic uncertainties

In this chapter we present the simultaneous fit results of the  $\Delta m_{\chi_c}$  and  $p_T^2$  distributions of our 2792 CEP  $\chi_c$  selection, with and without the HERSCHEL cut applied in Sec. 7.1. We then perform 2793 a validation study of the fit model in Sec. 7.2 to assess its stability. In addition, we present a 2794 series of studies that rely on these fit results. These studies include the calculation of a global 2795 photon-conversion efficiency for our selected CEP  $\chi_{c1}$  and  $\chi_{c2}$  candidates, as well as their yields 2796 corrected for this efficiency, described in Sec. 7.3. We also perform a stability study of the 2797 HERSCHEL cut in Sec. 7.4. A description of the luminosity determination for single-interaction 2798 crossings is presented in Sec. 7.5. We finish with the cross-section calculation of CEP  $\chi_{c1}$  and 2799  $\chi_{c2}$  in Sec. 7.6 and the assignment of systematic uncertainties in Sec. 7.7. 2800

#### 2801 7.1 CEP $\chi_c$ fit results

To fit the CEP  $\chi_c$  sample, we combine the signal and background components described in 2802 Chapter 6 into a single composite probability-density function, with which we perform an 2803 unbinned simultaneous maximum-likelihood fit of the  $\Delta m_{\chi_c}$  and  $p_T^2$  distributions of the selected 2804  $\chi_c$  candidates. The fit results are shown in Fig. 7.1 and Fig. 7.2, respectively, before and after 2805 the HERSCHEL cut is applied,  $\ln(\chi^2_{HRC}) < 5$ , for the 2016-only, and combined 2015 and 2016 2806 data. The final values for the floated fit parameters and yields are shown in Table 7.1. We 2807 observe  $0.00 \pm 18.6 \ (0.00 \pm 26.5) \ \text{CEP} \ \chi_{c1}$  events,  $176.69 \pm 17.96 \ (229.71 \pm 19.85) \ \text{CEP} \ \chi_{c2}$ 2808 events, and  $227.03 \pm 19.22$  (249.74  $\pm 20.23$ ) inelastic  $\chi_c$  events in the 2016-only (combined 2015) 2809 and 2016) data prior to the HERSCHEL cut. When the HERSCHEL cut is applied we observe 2810  $13.27 \pm 6.84 \ (9.41 \pm 7.24) \ \text{CEP} \ \chi_{c1} \text{ events}, \ 75.34 \pm 10.84 \ (96.30 \pm 12.32) \ \text{CEP} \ \chi_{c2} \text{ events}, \text{ and}$ 2811  $19.71 \pm 6.68 \ (924.47 \pm 7.50)$  inelastic  $\chi_c$  events. 2812

The measured width of the  $\chi_c$  mesons prior to the HERSCHEL cut is slightly larger than expected compared to the  $\chi_c$  Monte Carlo fit, while the measured value with the HERSCHEL cut applied is in good agreement with the Monte Carlo fit. We repeat the fit while fixing the  $\chi_{c1}$  and  $\chi_{c2}$  width to the value predicted by Monte Carlo,  $1.94 \pm 0.29$  ( $1.98 \pm 0.14$ ) and  $2.40 \pm 0.21$  ( $2.61 \pm$ 0.14) MeV/c respectively for the 2016-only (combined 2015 and 2016) data, and check that this does not lead to significantly different results. We measure  $1.44 \pm 19.03$  ( $2.89 \pm 11.07$ ) CEP  $\chi_{c1}$  events,  $169.34 \pm 18.08$  ( $220.75 \pm 20.04$ ) CEP  $\chi_{c2}$  events, and  $226.20 \pm 19.89$  ( $248.15 \pm 20.85$ ) inelastic  $\chi_c$  events in the 2016-only (combined 2015 and 2016) data. Although fixing the widths of the resonant peaks leads to slightly different yields, they are consistent with the results presented above. This highlights the benefits of performing the study with the HERSCHEL sample which has a much smaller inelastic  $\chi_c$  background.

**Table 7.1.** Summary of fit parameter results for the simultaneous fit of the  $\Delta m_{\chi_c}$  and  $p_{\rm T}^2$  of  $\chi_c$  candidates in the 2016-only, and combined 2015 and 2016 data before and after the HERSCHEL cut,  $\ln(\chi^2_{\rm HRC}) < 5$ , is applied.

Parameter	Units	$\ln(\chi^2_{ m HRC})$	λ	(c1	$\chi_{c2}$	
			2016	2015 + 2016	2016	2015 + 2016
Gaussian mean	$MeV/c^2$	- < 5	-	-	$\begin{array}{c} 458.26 \pm 0.66 \\ 458.19 \pm 0.80 \end{array}$	$\begin{array}{c} 457.59 \pm 0.59 \\ 457.70 \pm 0.95 \end{array}$
Gaussian width	$MeV/c^2$	- < 5	-	-	$4.40 \pm 0.59$ $2.74 \pm 0.31$	$4.76 \pm 0.58$ $3.66 \pm 0.80$
$N_{ m CEP}(\chi_c)$	-	- < 5	$0.00 \pm 18.6$ $13.27 \pm 6.84$	$0.00 \pm 26.5$ $9.41 \pm 7.24$	$176.69 \pm 17.96$ $75.34 \pm 10.84$	$\begin{array}{c} 229.71 \pm 19.85 \\ 96.30 \pm 12.32 \end{array}$
Parameter	Units	$\ln(\chi^2_{ m HRC})$	2016		2015 + 2016	
$N_{{ m In.}}(\chi_c)$	-	- < 5	$227.03 \pm 19.22$ $19.71 \pm 6.68$		$249.74 \pm 20.23$ $19.71 \pm 6.68$	



Figure 7.1. Delta-mass component of two-dimensional fit of the invariant mass of  $\chi_{c1}$  and  $\chi_{c2}$  candidates for the 2016-only (left), and combined 2015 and 2016 (right) data before (first and second row) and after (third and fourth row) the HERSCHEL cut,  $\ln(\chi^2_{HRC}) < 5$ , is applied. The overall fit is shown in solid blue, the CEP  $\chi_{c1}$  component in solid orange, the CEP  $\chi_{c2}$  component in solid red, the inelastic  $\chi_c$  sample in dashed yellow, the continuum-combinatorial background in dashed-dark red, the  $J/\psi$ combinatorial background in green, the CEP  $\psi(2S)$  feed-down in solid purple, and the inelastic  $\psi(2S)$ feed-down in dashed purple.



Figure 7.2. Transverse-momentum-squared component of two-dimensional fit of the invariant mass of  $\chi_{c1}$  and  $\chi_{c2}$  candidates for the 2016-only (left), and combined 2015 and 2016 (right) data before (first and second row) and after (third and fourth row) the HERSCHEL cut,  $\ln(\chi^2_{HRC}) < 5$ , is applied. The overall fit is shown in solid blue, the CEP  $\chi_{c1}$  component in solid orange, the CEP  $\chi_{c2}$  component in solid red, the inelastic  $\chi_c$  sample in dashed yellow, the continuum-combinatorial background in dashed-dark red, the  $J/\psi$  combinatorial background in green, the CEP  $\psi(2S)$  feed-down in solid purple, and the inelastic  $\psi(2S)$  feed-down in dashed purple. Note that the range is different between the linear and logarithmic plots.

#### 2824 7.2 CEP $\chi_c$ fit-validation study

The fit is validated to make sure it is well-behaved and returns unbiased results. This is done by 2825 taking the fit results obtained from the data, and generating pseudo-experiments with the fitted 2826 PDF to match the data-set sizes of the CEP  $\chi_c$  selection. We generate ten thousand toy-data 2827 sets for each of the four samples: the 2016-only, and combined 2015 and 2016 samples with and 2828 without the HERSCHEL cut applied. The toy-data sets are then fitted with the same model 2829 originally used for the CEP  $\chi_c$  sample. However, because the mean value of the  $\chi_{c1}$  yield is 2830 close to zero the  $\chi_{c1}$  yield is allowed to take negative values in order to prevent the fit from 2831 reaching the limit of the floating range. In all cases, the fits complete successfully with a small 2832 percentage of cases (~1%) where the covariant matrix is forced to be positive definite. 2833

The pull distributions for the toy studies are shown in Fig. 7.3 (Fig. 7.4) before (after) 2834 the HERSCHEL cut is applied and the pulls' mean and width values are summarised in 2835 Table 7.2. For the most important parameters, which are the yields of the CEP  $\chi_{c1}$  and  $\chi_{c2}$ 2836 contributions, we see satisfactory results with indications of small biases at the  $\sim 5\%$  level and 2837 error underestimation. We assign this as a systematic uncertainty to the CEP  $\chi_{c1}$  and  $\chi_{c2}$  yield. 2838 We also see that the pulls for the  $\chi_{c2}$  mean and width parameters have widths ~ 20% bigger than 2839 one both with and without the HERSCHEL cut. After the HERSCHEL is applied the width 2840 and inelastic  $\chi_c$  yield develops a bias of  $0.24 \pm 0.01$  ( $0.19 \pm 0.01$ ) and  $0.18 \pm 0.01$  ( $0.18 \pm 0.01$ ) 2841 respectively. Other parameters have a bias of up to nine percent. 2842

Parameter	Units	$\ln(\chi^2_{ m HRC})$	Μ	ean	$\mathbf{Width}$	
			2016	2015 + 2016	2016	2015 + 2016
$\mu$ ( $\chi_{c2}$ )	$MeV/c^2$	- < 5	$\begin{array}{c} 0.03 \pm 0.01 \\ -0.05 \pm 0.01 \end{array}$	$-0.01 \pm 0.01$ $-0.05 \pm 0.01$	$1.21 \pm 0.01$ $1.21 \pm 0.01$	$1.16 \pm 0.01$ $1.22 \pm 0.01$
$\sigma~(\chi_{c2})$	$MeV/c^2$	- < 5	$0.05 \pm 0.01$ $0.24 \pm 0.01$	$0.07 \pm 0.01$ $0.19 \pm 0.01$	$1.16 \pm 0.01$ $1.24 \pm 0.01$	$1.17 \pm 0.01$ $1.25 \pm 0.01$
$N_{ m CEP}(\chi_{c1})$	-	- < 5	$0.07 \pm 0.01$ $0.07 \pm 0.01$	$0.06 \pm 0.01$ $0.08 \pm 0.01$	$1.07 \pm 0.01$ $1.05 \pm 0.01$	$1.04 \pm 0.01$ $1.05 \pm 0.01$
$N_{ ext{CEP}}(\chi_{c2})$	-	- < 5	$-0.03 \pm 0.01$ $0.06 \pm 0.01$	$-0.06 \pm 0.01$ $0.12 \pm 0.01$	$1.05 \pm 0.01$ $1.05 \pm 0.01$	$1.05 \pm 0.01$ $1.06 \pm 0.01$
$N_{ m In.}(\chi_c)$	-	- < 5	$-0.02 \pm 0.01$ $0.18 \pm 0.01$	$-0.08 \pm 0.01$ $0.18 \pm 0.01$	$1.05 \pm 0.01$ $1.14 \pm 0.01$	$1.05 \pm 0.01$ $1.12 \pm 0.01$

**Table 7.2.** Summary of pull results from toy studies used to validate the fit to the CEP  $\chi_c$  sample.



Figure 7.3. Pulls from toy studies for the 2016-only (left), and combined 2015 and 2016 (right) data before the HERSCHEL cut is applied.



Figure 7.4. Pulls from toy studies for the 2016-only(left), and combined 2015 and 2016 (right) data after the HERSCHEL cut is applied.

#### 2843 7.3 Applying the photon-conversion-efficiency correction to the fit results

To apply the photon-conversion-efficiency correction to the  $\chi_c$  data-fit results we calculate a 2844 global photon-conversion efficiency for  $\chi_{c1}$ ,  $\varepsilon_{\gamma \to e^+ e^-}^{\text{Global}(\chi_{c1})}$ , and  $\chi_{c2}$ ,  $\varepsilon_{\gamma \to e^+ e^-}^{\text{Global}(\chi_{c2})}$ , that also accounts 2845 for the multiplicity correction factor described in Sec. 5.1.6. The correction that is applied 2846 must be appropriate for the photon transverse-momentum distribution of the signal in our 2847 sample. We do this by studying the impact of the efficiency function determined from data 2848 on the CEP  $\chi_c$  Monte Carlo described in Sec. 4.1. We apply the selection criteria previously 2849 referred to as the reconstructed  $J/\psi$  selection, where we reconstruct all the  $J/\psi$  mesons while 2850 saving the generator-level information of the accompanying photon from the  $\chi_c \to J/\psi \gamma$  decay. 2851 The sample is truth matched and the selection criteria related to the  $J/\psi$  meson used in the 2852 CEP  $\chi_c$  analysis are applied, while omitting the selection criteria related to the photon. The 2853 reader is reminded that the  $p_T^2$  function used to fit the data derives from a fit to this same  $\chi_c$ 2854 Monte Carlo sample (see Table 6.5.2). 2855

We apply resolution effects to the generator-level kinematics of the photon using the method described in Sec. 6.2.1. With the resolution effects applied, we are able to calculate the  $\Delta m_{\chi_c}$  distribution of the  $J/\psi\gamma$  system and apply our selection window. The events that pass this selection are the denominator for our global-efficiency calculation. A total of  $702451 \pm 838 (1390068 \pm 1179) \chi_{c1}$  and  $678856 \pm 823 (1390717 \pm 1179) \chi_{c2}$  events pass our selection criteria for the 2016-only (combined 2015 and 2016) Monte Carlo.

To calculate the numerator we apply the weights for the photon-conversion efficiency as 2862 given by the data-driven study, shown in Fig. 5.13, according to the generator-level transverse 2863 momentum of the photon. We finally apply an additional factor of two to account for the 2864 low-multiplicity improvement in the reconstruction efficiency, as shown in the Sec. 5.1.6 study. 2865 After the weights are applied we find 1581 (3129)  $\chi_{c1}$  and 2100 (4305)  $\chi_{c2}$  events for 2016-2866 only (combined 2015 and 2016) Monte Carlo. This corresponds to a global-photon-conversion 2867 efficiency of 0.2250% (0.2251%) for  $\chi_{c1}$  mesons, and 0.3094% (0.3096%) for  $\chi_{c2}$  mesons for 2868 2016-only (combined 2015 and 2016) data. The statistical uncertainties on these values are 2869 negligible. Due to its larger mass, the photons from  $\chi_{c2}$  decays have a larger phase space. 2870 On average, this results in photons with a larger transverse momentum and therefore a larger 2871 global-photon-conversion efficiency. 2872

#### 2873 7.4 HERSCHEL stability check

We measure  $13.27 \pm 6.84$  (9.41 ± 7.24)  $\chi_{c1}$  mesons and  $75.34 \pm 10.84$  (96.3 ± 12.32)  $\chi_{c2}$  mesons 2874 using our simultaneous-fit method with a HERSCHEL cut of  $\ln(\chi^2_{HRC}) < 5$  applied to 2016-2875 only (combined 2015 and 2016) data. When corrected for the HERSCHEL efficiency, using 2876 the results from the study presented in Sec. 5.6, this corresponds to  $15.59 \pm 8.04$  (11.34  $\pm$ 2877 8.72)  $\chi_{c1}$  mesons and 88.53  $\pm$  12.77 (116.04  $\pm$  14.88)  $\chi_{c2}$  mesons, or a combined signal of 2878  $104.12 \pm 15.10 \ (127.38 \pm 17.25) \ \text{CEP} \ \chi_c \text{ mesons.}$  These numbers may be compared to the 2879 results obtained with no HERSCHEL cut, which are  $0 \pm 18.57$  ( $0 \pm 26.49$ )  $\chi_{c1}$  mesons and 2880  $176.69 \pm 17.96 \ (229.71 \pm 19.85) \ \chi_{c2}$  mesons, or  $176.7 \pm 25.8 \ (229.7 \pm 33.1)$  CEP  $\chi_c$  mesons in 2881

The instability in results suggests some systematic bias in one or both of the measurements. 2885 A priori we expect the results obtained with the HERSCHEL cut to be more reliable because of 2886 the lower level of inelastic background. A plausible hypothesis is that the understanding of the 2887 ineleastic background behaviour is imperfect, and this leads to biases in the separation between 2888 background and signal estimations in the simultaneous fit. This effect would be most marked 2889 in the measurement without the HERSCHEL cut, where the estimated proportion of inelastic 2890 background is  $(51 \pm 6)\%$  ( $(47 \pm 5)\%$ ), in contrast with  $(16 \pm 5)\%$  ( $(16 \pm 5)\%$ ) in the measurement 2891 that benefits from the HERSCHEL cut. The observed difference between the two results can 2892 be explained if  $(32 \pm 13)\%$  ( $(41 \pm 15)\%$ ) of inelastic background in the no-HERSCHEL case 2893 is wrongly attributed as signal by the fit. Such a misassignment would have a much smaller 2894 effect in the sample after the HERSCHEL cut, resulting in a migration of  $6.3 \pm 4.5$  (10.0  $\pm 4.8$ ) 2895 background candidates from the background to the signal sample for the 2016-only (combined 2896 2015 and 2016) data. 2897

To test this hypothesis, we repeat the measurement with a HERSCHEL cut applied at different 2898 working points and monitor the consistency of the results. We place tighter requirements on 2899 HERSCHEL to increase signal purity of  $\ln(\chi^2_{HRC}) < 4$  and  $\ln(\chi^2_{HRC}) < 3$ . With the background 2900 recalculated, we repeat the simultaneous fits of the  $\Delta m_{\chi_c}$  and  $p_{\rm T}^2$ , the results of which are shown 2901 in Fig. 7.5 and Fig. 7.6, for  $\ln(\chi^2_{\text{HRC}}) < 4$  and  $\ln(\chi^2_{\text{HRC}}) < 3$ , respectively. The combined yield 2902 of CEP  $\chi_{c1}$  and  $\chi_{c2}$  mesons is summarised in Table 7.3 for  $\ln(\chi^2_{HBC}) < 5, 4$ , and 3, before and 2903 after being corrected for the HERSCHEL efficiency, as well as fit results with no HERSCHEL cut. 2904 The corrected yields are consistent among the different HERSCHEL working points, suggesting 2905 that the understanding of the signal efficiency is reliable, and that any imperfections in the 2906 understanding of the residual inelastic background has a small impact once HERSCHEL is 2907 applied. Noting this, and the initial change in results when moving from the no-HERSCHEL 2908 case, we assign a systematic uncertainty of  $\pm 40\%$  to the measurement of the inelastic  $\chi_c$  mesons 2909 for both the 2016-only data, and the combined 2015 and 2016 data. In the case of the  $\chi_c$ 2910 sample with the  $\ln(\chi^2_{HBC}) < 5$  this corresponds to a systematic uncertainty of 40% (74%) for  $\chi_{c1}$ 2911 yields in the 2016-only (combined 2015 and 2016) data. The effect of this systematic is reduced 2912 significantly for the calculation of the  $\chi_{c2}$  yields due to the greater CEP purity in this region, 2913 as explained above, which has a systematic uncertainty of 3.4% (2.9%) for 2016-only (combined 2914 2015 and 2016) data. In addition, we take the largest differences between the corrected  $\chi_c$  yields 2915 as the systematic uncertainty associated with our HERSCHEL cut, which corresponds to a 2916 5% (6%) uncertainty for 2016-only (combined 2015 and 2016) data. 2917

**Table 7.3.** Combined CEP  $\chi_{c1}$  and  $\chi_{c2}$  yields before and after HERSCHEL cut correction.

Year	$\ln(\chi^2_{ m HRC})$	Eff. [%]	$N_{ m CEP}(\chi_c)$	Corrected $N_{ ext{CEP}}(\chi_c)$
	No Cut	100	$176.69\pm25.83$	$176.69 \pm 25.83$
2016	< 5	$85.1\pm0.8$	$88.6 \pm 13$	$104.1\pm15.1$
2010	< 4	$70.3\pm0.7$	$69.5\pm13$	$98.9 \pm 15.2$
	< 3	$40.8\pm0.5$	$40.9\pm13$	$100.2\pm21.1$
2015 + 2016	No Cut	100	$229.7 \pm 33.1$	$229.7\pm33.1$
	< 5	$83.0\pm0.8$	$105.7\pm13$	$127.4\pm17.3$
	< 4	$67.9\pm0.7$	$87.7 \pm 13$	$129.2\pm17.7$
	< 3	$39.6\pm0.5$	$53.3 \pm 13$	$134.5\pm23.9$



Figure 7.5. Two-dimensional fit of the  $\Delta m_{\chi_c}$  (first and second row) and  $p_{\rm T}^2$  (squared) distribution of CEP  $\chi_{c1}$  and  $\chi_{c2}$  candidates for the 2016-only (left), and combined 2015 and 2016 (right) data, after the HERSCHEL cut,  $\ln(\chi_{\rm HRC}^2) < 4$ , is applied. The overall fit is shown in solid blue, the CEP  $\chi_{c1}$  component in solid orange, the CEP  $\chi_{c2}$  component in solid red, the inelastic  $\chi_c$  sample in yellow, the continuum-combinatorial background in broken-dark red, the  $J/\psi$  combinatorial background in green, the CEP  $\psi(2S)$  in solid purple, and the inelastic  $\psi(2S)$  in broken purple.



Figure 7.6. Two-dimensional fit of the  $\Delta m_{\chi_c}$  (first and second row) and  $p_{\rm T}^2$  (squared) distribution of CEP  $\chi_{c1}$  and  $\chi_{c2}$  candidates for the 2016-only (left), and combined 2015 and 2016 (right) data, after the HERSCHEL cut,  $\ln(\chi_{\rm HRC}^2) < 3$ , is applied. The overall fit is shown in solid blue, the CEP  $\chi_{c1}$  component in solid orange, the CEP  $\chi_{c2}$  component in solid red, the inelastic  $\chi_c$  sample in yellow, the continuum-combinatorial background in broken-dark red, the  $J/\psi$  combinatorial background in green, the CEP  $\psi(2S)$  in solid purple, and the inelastic  $\psi(2S)$  in broken purple.

#### <sup>2918</sup> 7.5 Luminosity determination

To calculate the total integrated luminosity,  $\mathcal{L}_{\text{Total}}$ , for each sample we sum over the integrated luminosity of all processed runs such that,

$$\mathcal{L}_{\text{Total}} = \sum_{\text{run}} \mathcal{L}^{\text{run}}.$$
(7.1)

The integrated luminosity is taken from the latest calibration which has an uncertainty of 2% [136]. For a small number of runs, less than one percent of the total, the new calibration is not available and we use an older calculation of the integrated luminosity. We validate the assigned integrated luminosity for these additional runs by checking that the number of CEP-like  $J/\psi$  events is as expected when compared to the well calibrated bulk of the data. The total-integrated luminosity for 2015 is  $284 \pm 6 \text{ pb}^{-1}$  and  $1637 \pm 33 \text{ pb}^{-1}$  for 2016. This results in a total-integrated luminosity of  $1921 \pm 38 \text{ pb}^{-1}$  for the combined 2015 and 2016 data.

However, since we are interested in CEP events that appear in isolation, we have to calculate the fraction of events that have a single interaction per beam-crossing,  $\epsilon_{\text{Single}}$ . The average number of interactions per beam-crossing,  $\mu$ , is calculated on a run-by-run basis and stored in the run database. Assuming proton-proton interactions during beam crossings follow Poisson statistics, we can calculate  $\epsilon_{\text{Single}}$  per run such that,

$$\epsilon_{\text{Single}} = \mu e^{-\mu}.\tag{7.2}$$

<sup>2933</sup> As a result, the single interaction total integrated luminosity is given by,

$$\mathcal{L}_{\text{Single}}^{\text{Total}} = \sum_{\text{run}} \epsilon_{\text{Single}}^{\text{run}} \mathcal{L}^{\text{run}}.$$
(7.3)

The total-integrated luminosity for single-interaction events is  $105 \pm 2 \text{ pb}^{-1}$  and  $606 \pm 12 \text{ pb}^{-1}$  for the 2015 and 2016 runs, respectively. Summing up to  $711 \pm 14 \text{ pb}^{-1}$  for the combined 2015 and 2016 data. This implies an average value of  $\langle \mu \rangle = 1.099$  (1.1007) and  $\langle \epsilon_{\text{Single}} \rangle = 0.3662$  (0.3661) for 2015 (2016).

#### 2938 7.6 Cross-section calculation

<sup>2939</sup> The product of the integrated cross-section and the branching fraction for CEP  $\chi_{cn} \rightarrow J/\psi \,[\mu^+\mu^-]\gamma$  production with muons in the pseudorapidity region  $2 < \eta_{\mu^+\mu^-} < 4.5$  is given by

$$\sigma_{\chi_{cn}\to J/\psi\,[\mu^+\mu^-]\gamma}^{(2<\eta_{\mu^+\mu^-}<4.5)} = \frac{N_{\rm CEP}(\chi_{cn})}{\mathcal{L}_{\rm Single}^{\rm Total} \cdot \varepsilon_{\rm HRC} \cdot \varepsilon_{\gamma\to e^+e^-}^{\rm Global}(\chi_{cn})} \cdot \varepsilon_{\rm Global}^{\rm Global} \cdot \varepsilon_{m(J/\psi)} \cdot \varepsilon_{\Delta m(\chi_{cn})} \cdot \varepsilon_{\rm SPD}},$$
(7.4)

where n = 1, 2 corresponding to  $\chi_{c1}$  and  $\chi_{c2}$  mesons respectively. The CEP  $\chi_c$  yields,  $N_{\text{CEP}}(\chi_{cn})$ , are calculated in Sec. 7.1 and the determination of the integrated luminosity for single-interaction crossings,  $\mathcal{L}_{\text{Single}}^{\text{Total}}$ , is detailed above in Sec. 7.5. The efficiency associated with the HERSCHEL figure-of-merit cut,  $\varepsilon_{\text{HRC}}$ , is described in Sec. 5.6.3 and Sec. 7.4; the photon-conversion efficiencies, <sup>2945</sup>  $\varepsilon_{\gamma \to e^+e^-}^{\text{Global}(\chi_{c1})}$  and  $\varepsilon_{\gamma \to e^+e^-}^{\text{Global}(\chi_{c2})}$ , are presented in Sec. 5.1 and Sec. 7.3. The efficiency associated <sup>2946</sup> with the  $J/\psi$  mass window,  $\varepsilon_{m(J/\psi)}$ , is discussed in Sec. 5.3; the efficiency associated with the <sup>2947</sup>  $\chi_c$  delta-mass cut,  $\varepsilon_{\Delta m(\chi_{cn})}$ , is described in Sec. 5.4, and the efficiency associated with the <sup>2948</sup> SPD requirements at hardware-trigger level,  $\varepsilon_{\text{SPD}}$ , is discussed in Sec. 5.5. The total efficiency, <sup>2949</sup>  $\varepsilon_{\text{Total}}(\chi_{cn})$ , is given by the product of all efficiencies such that,

$$\varepsilon_{\text{Total}}(\chi_{cn}) = \varepsilon_{\text{HRC}} \cdot \varepsilon_{\gamma \to e^+ e^-}^{\text{Global}(\chi_{cn})} \cdot \varepsilon_{\mu\mu}^{\text{Global}} \cdot \varepsilon_{m(J/\psi)} \cdot \varepsilon_{\Delta m(\chi_{cn})} \cdot \varepsilon_{\text{SPD}}.$$
(7.5)

The values of these parameters are summarised in Table 7.4 for both 2016 data, and the combined 2015 and 2016 data. This leads to the product of the integrated cross-section and the branching fraction results of

$$\sigma_{\chi_{c1} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 21.5 \pm 11.1 \pm 10.2 \, \text{pb}$$
(7.6)

2953

$$\sigma_{\chi_{c2} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 83.1 \pm 12.0 \pm 20.8 \, \text{pb}$$
(7.7)

<sup>2954</sup> for 2016-only data, and

$$\sigma_{\chi_{c1} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 13.9 \pm 10.7 \pm 10.9 \, \text{pb}$$
(7.8)

2955

$$\sigma_{\chi_{c2} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 99.6 \pm 12.7 \pm 24.5 \, \text{pb}$$
(7.9)

for the combined 2015 and 2016 data where the first uncertainty is statistical and the second systematic, with sources discussed in Sec. 7.7. The results from the 2016-only and combined 2015 and 2016 data are compatible with one another. We will use the results from the full data set to determine our nominal values.

As we do not observe a significant number of  $\chi_{c1}$  mesons, we calculate an upper limit of 2960 the product of the cross-section and the branching fraction at a ninety-percent confidence 2961 level. To do this, we perform a similar procedure as the one used to check the stability of the 2962 simultaneous  $\Delta m_{\chi_c}$  and  $p_T^2$  fit model, presented in Sec. 7.2. As before, we generate a series 2963 of ten-thousand toy studies using the fit results to the data. However, this time we manually 2964 set the yield of  $\chi_{c1}$  mesons to zero. We fit the generated distributions with the same model 2965 used to fit the CEP  $\chi_c$  sample and use the result to determine the  $\chi_{c1}$  yield value for which 2966 ninety-percent of the toys return. In doing so, we account for the the systematic uncertainties 2967 presented throughout the thesis and summarised in Sec. 7.7. We determine this value to be 2968  $13.2 \pm 10.2$  events, which compares to a nominal value of  $9.41 \pm 7.24$  events as calculated with the 2969 HERSCHEL cut applied. Therefore, the product of the cross-section and the branching fraction 2970 of CEP  $\chi_c \to J/\psi \left[ \mu^+ \mu^- \right] \gamma$  within a ninety-percent confidence interval is  $19.5 \pm 15.0 \pm 15.2 \,\mathrm{pb}$ , 2971 which compares to  $13.9 \pm 10.7 \pm 10.9$  pb, respectively. 2972

Table 7.4. Summary of the fitted-signal yields, integrated luminosity for single-crossing interactions, and efficiencies necessary for the calculation of the product of the cross-section and the branching fraction of CEP  $\chi_c \rightarrow J/\psi \, [\mu^+ \mu^-] \gamma$  production in proton-proton collisions at centre-of-mass energies of  $\sqrt{s} = 13$  TeV at the LHCb experiment with muons in the  $2 < \eta_{\mu^+\mu^-} < 4.5$  pseudorapidity region.

Variable	2016	2015 + 2016
$\overline{\text{Yield}(\chi_{c1})_{\text{CEP}}}$	13.3	9.4
$\operatorname{Yield}(\chi_{c2})_{\operatorname{CEP}}$	75.3	96.3
$\mathcal{L}_{ ext{Single}}^{ ext{Total}}$	$606 \ \mathrm{pb}^{-1}$	$711 \text{ pb}^{-1}$
$\overline{arepsilon_{\mu\mu}^{ m Global}}$	0.635	0.631
$\varepsilon_{m(J/\psi)}$	0.954	0.955
$\varepsilon_{\Delta m(\chi_{c1})}$	0.885	0.892
$\varepsilon_{\Delta m(\chi_{c2})}$	0.944	0.926
$\varepsilon_{\chi \to e^+ e^-}^{\text{Global}(\chi_{c1})} (\times 10^{-2})$	0.225	0.225
$\varepsilon_{\gamma \to e^+ e^-}^{\text{Global}(\chi_{c2})} (\times 10^{-2})$	0.309	0.310
$\varepsilon_{ m SPD}$	0.994	0.950
$\varepsilon_{ m HRC}$	0.851	0.830
$\overline{\varepsilon_{\mathrm{Total}}(\chi_{c1})} (\times 10^{-2})$	0.0988	0.0928
$\varepsilon_{\mathrm{Total}}(\chi_{c2}) \; (\times 10^{-2})$	0.148	0.136

We compare our results with theoretical predictions of the Durham model as implemented 2973 in SuperChic v4 [80]. SuperChic calculates the  $gg \to \chi_c$  vertex to leading order. Therefore, we 2974 select three leading-order parton distribution functions for the calculation: CT14 ( $\alpha_S(M_Z^2)$  = 2975 0.118) [137], MSHT20 ( $\alpha_S(M_Z^2) = 0.13$ ) [138], and NNPDF 3.1 ( $\alpha_S(M_Z^2) = 0.13$ ) [139]. The 2976 theoretical predictions are shown in Table 7.5, where the uncertainties are statistical from the 2977 simulation calculation. CT14 and MSHT20 PDFs are calculated from global fits to data from 2978 multiple experiments, including fixed target experiments, HERA, Tevatron, and Run 1 LHC 2979 data collected at 7 TeV and 8 TeV. NNPDF 3.1, on the other hand, uses a neural network trained 2980 with genetic algorithms to calculate PDFs. The measured results are compatible with the 2981 theoretical predictions given the large uncertainties in the PDF distribution. This measurement 2982 is particularly susceptible to the gluon PDF at low Bjorken-x and  $Q^2$  where the PDF is not 2983 well determined. For example, the uncertainty of the gluon PDF of NNPDF at low Bjorken-x is 2984 approximately fifty percent. As is evident, the nominal value of the theoretical predictions can 2985 vary significantly depending on the choice of PDF. At such low scales, the uncertainty of the 2986 perturbative predictions can be off by a factor of four or five. We expect improvement in the 2987 PDFs as new studies are incorporated into the global studies, such as those conducted with 2988 data from the LHC at a centre-of-mass energy  $\sqrt{s} = 13$  TeV. 2989

However, several of the uncertainties associated with the calculation of the PDFs as well as some of the systematic uncertainties in our measurement will cancel when we take the ratio of the cross-sections. The ratio of the theoretical calculations of the product of the cross-section and the branching fraction of  $\chi_{c2}$  and  $\chi_{c1}$  also exhibit small PDF dependence, as shown in

Table 7.5. Measurements of the product of the cross-section times the branching fraction for the full data set (combined 2015 and 2016 data) together with predictions in pb for CEP  $\chi_c \rightarrow J/\psi \, [\mu^+ \mu^-] \gamma$  production in the rapidity region  $2 < \eta_{\mu^+\mu^-} < 4.5$  for pp collisions at a centre-of-mass energy  $\sqrt{s} = 13$  TeV, using SuperChic v4 [80] for three L0 PDFs: CT14 ( $\alpha_S(M_Z^2) = 0.118$ ) [137], MSHT20 ( $\alpha_S(M_Z^2) = 0.13$ ) [138], and NNPDF 3.1 ( $\alpha_S(M_Z^2) = 0.13$ ) [139].

Source	Order	$lpha_S(M_Z^2)$	$\sigma_{\chi_{c1} \to J/\psi  [\mu^+ \mu^-] \gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)}$	$\sigma_{\chi_{c2} \to J/\psi  [\mu^+ \mu^-] \gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)}$	$\sigma_{\chi_{c2}}/\sigma_{\chi_{c1}}$
CT14	L0	0.118	$26.03 \pm 0.12$ [pb]	$71.98 \pm 0.34 \text{ [pb]}$	$2.77\pm0.02$
MSHT20	L0	0.130	$48.48 \pm 0.20 \text{ [pb]}$	$138.24 \pm 0.51 \text{ [pb]}$	$2.85\pm0.02$
NNPDF 3.1	L0	0.130	$6.42 \pm 0.27 \text{ [pb]}$	$16.16 \pm 0.08 \text{ [pb]}$	$2.52\pm0.11$
Measurement	-	-	$13.9 \pm 10.7 \pm 10.9 [\mathrm{pb}]$	$99.6 \pm 12.7 \pm 24.5 \text{ [pb]}$	$5.11 \pm 3.98 \pm ^{+14.19}_{-2.09}$
90% C.L.	-	-	$19.5 \pm 15.0 \pm 15.2 \; [ \rm pb]$	-	-

Table 7.5. We measure a ratio of  $\sigma_{\chi_{c2}}/\sigma_{\chi_{c1}} = 5.11 \pm 3.98 \pm ^{+14.19}_{-2.09}$ , which hints at a higher result than theoretical predictions by approximately a factor of 2.6. However, our measured value and theoretical predictions are in agreement within one standard deviation. As a reminder, the large statistical uncertainty in this observable is due to the low number of observed  $\chi_{c1}$  mesons while the large systematic uncertainty is dominated by the misassignment of inelastic  $\chi_{c1}$  background.

#### 2999 7.7 Assignment of systematic uncertainties

The systematic uncertainties associated with the cross-section calculation are summarised in Table 7.6 and briefly discussed below in order of significance. The origin of most of these sources of potential bias, and the assigned uncertainty has already been discussed in earlier chapters.

**Table 7.6.** Summary of systematic uncertainties for the cross-section calculation of CEP  $\chi_c \rightarrow J/\psi \, [\mu^+\mu^-]\gamma[e^+e^-]$  production.

Source	20	16	2015 + 2016	
Source	$\chi_{c1}$	$\chi_{c2}$	$\chi_{c1}$	$\chi_{c2}$
$\overline{\varepsilon_{\gamma \to e^+ e^-}^{\text{Global}(\chi_{cn})}}$	22%	22%	22%	22%
Inelastic background	40%	3%	74%	3%
$\varepsilon^{ m Global}_{\mu\mu}$	7%	7%	5%	5%
$\varepsilon_{ m HRC}$	6%	6%	5%	5%
$\varepsilon_{ m SPD}$	4%	4%	5%	5%
Fit bias	5%	5%	5%	5%
$\varepsilon_{\Delta m(\chi_{cn})}$	4%	2%	4%	3%
$\mathcal{L}_{ ext{SingleTotal}}$	2%	2%	2%	2%
$\varepsilon_{m(J/\psi)}$	< 1%	< 1%	< 1%	< 1%
$arepsilon_{\mathrm{Total}}$	47%	25%	78%	25%

The most significant systematic uncertainty for the cross-section calculation of CEP  $\chi_c$ 3003 production is related to the photon-conversion efficiency, described in Sec. 5.1, where we calculate 3004 the efficiency using a high-multiplicity sample and extrapolate in to a CEP-like, low-multiplicity, 3005 environment. As a systematic check, we vary the binning and fit model used in the extrapolation 3006 and assign a 20% systematic uncertainty for both the 2016-only, and combined 2015 and 2016 3007 data. The dominant systematic uncertainty in the normalisation of the efficiency is a relative 3008  $\pm 8\%$  associated with the knowledge of the number of  $D^{*0}$  mesons produced in the sample. 3009 Summing the systematic uncertainty components in quadrature, we obtain a total systematic 3010 uncertainty associated with the photon-conversion and reconstruction efficiency of 21.5%. 3011

The next most prominent systematic uncertainty is associated with the fit model and 3012 the difficulties inherent with determining the contribution of inelastic  $\chi_c$  background. When 3013 comparing the yields with and without the HERSCHEL cut applied we observe a shift in results 3014 that we attribute to a 40% misassignment of inelastic  $\chi_c$  events as CEP  $\chi_c$  events, a procedure 3015 described in Sec. 7.4. This translates into a systematic uncertainty of 40.0% (74.3%) for  $\chi_{c1}$ 3016 yields and 3.4% (2.9%) for  $\chi_{c2}$  yields in 2016-only (combined 2015 and 2016) data. The effect 3017 of this systematic is reduced significantly for the calculation of the  $\chi_{c2}$  yields due to the greater 3018 CEP purity in this region. 3019

The largest source of systematic uncertainty in the muon reconstruction efficiency, described in Sec. 5.2, comes from the extrapolation of the muon-reconstruction efficiency from 2015 to 2016 data. Although reasonable, the assumption that there is no significant difference in the detector's muon-reconstruction performance is not perfect. As a result, we allocate the full correction required to match 2016 results as a systematic uncertainty to the reconstruction efficiency. This corresponds to 7% (5%) for the 2016-only (combined 2015 and 2016) data.

The largest systematic uncertainty with the HERSCHEL efficiency calculation, presented 3026 in Sec. 5.6.3, is associated with the dimuon fit used to separate the CEP from the non-CEP 3027 contributions. To test this, we vary the signal model and background independently then 3028 recalculate the efficiency for our working point,  $\ln(\chi^2_{HBC}) < 5$ . We find the biggest contribution 3029 to the systematic originating from the signal model, and assign this difference as the full 3030 systematic for an uncertainty of 6% (5%) for 2016-only (combined 2015 and 2016) data. In 3031 addition, stability checks are reported in Sec. 7.4, which showed no significant changes when 3032 varying the cut on  $\ln(\chi^2_{HBC})$ . 3033

To assign a systematic to the efficiency associated with the SPD hardware trigger requirement, detailed in Sec. 5.5, we vary the mean number of hits expected in the SPD from each muon and electron in our model and recalculate the efficiency. We take the largest difference from our nominal efficiency as the systematic uncertainty, and assign a 4% (5%) uncertainty to the SPD efficiency of 2016-only (combined 2015 and 2016) data.

We validate the  $\Delta m_{\chi_c}$  and  $p_T^2$  simultaneous fit of our CEP  $\chi_c$  candidates by performing a series of toy studies, as presented in Sec. 7.2. From the pull distributions we see that there is a small bias in the order of ~ 5% in the CEP  $\chi_{c1}$  and  $\chi_{c2}$  yields. We assign this fit bias as a systematic uncertainty.

We calculate the efficiency associated with the  $\Delta m_{\chi_c}$  cut, described in Sec. 5.4. In this case, the biggest limitation does not come from the fit model, but instead from the photon-conversionefficiency corrections. As a result, we calculate the cut's efficiency using both Monte Carlo and data driven photon-conversion efficiencies and compare the difference in results. We assign an uncertainty of 3.8% (4.0%) for  $\chi_{c1}$  and 1.7% (2.7%) for  $\chi_{c2}$  for the 2016-only (combined 2015 and 2016) data.

For the determination of the single-interaction integrated luminosity we use the latest calibration sample which has an uncertainty of 2%. We validate the small number of runs, where the calibration is not available, less than one percent of said runs, by making sure the number of CEP-like  $J/\psi$  mesons matches the assigned integrated luminosity.

To calculate a systematic uncertainty for the efficiency of the  $J/\psi$  mass-window cut, described in Sec. 5.3, we vary the signal model and repeat the fit used to calculate the efficiency. We take the difference as the systematic uncertainty and assign a 0.4% (0.3%) uncertainty to the 2016-only (combined 2015 and 2016) data.

The total systematic uncertainty is the quadrature sum of all the sources of uncertainty detailed above and leads to a relative systematic uncertainty of 47% (78%) for the  $\chi_{c1}$ and 25% (25%) for the  $\chi_{c2}$  cross-section-times-branching-fraction calculation for the 2016only (combined 2015 and 2016) data. This corresponds to an absolute systematic uncertainty of 10.1 pb (10.8 pb) for the  $\chi_{c1}$  and 20.8 pb (24.9 pb) for the  $\chi_{c2}$  cross-section-times-branchingfraction calculation.

## CHAPTER 8

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### Summary and outlook

We presented a study of the CEP of  $\chi_{c1}$  and  $\chi_{c2}$  mesons using proton-proton collisions at a centre-of-mass energy of  $\sqrt{s} = 13$  TeV, using data collected with the LHCb experiment during the 2015 and 2016 runs corresponding to single-interaction integrated luminosities of 711 pb<sup>-1</sup>. The study was performed through the  $\chi_c$  meson's radiative decay into  $J/\psi [\mu^+\mu^-]\gamma [e^+e^-]$  where the muons from the  $J/\psi$  decay are measured within the pseudorapidity region  $2 < \eta_{\mu^+\mu^-} < 4.5$ .

To obtain the best possible  $\chi_c$  mass resolution we used converted photons, taking advantage 3071 of the improved momentum resolution from the tracking information of the electrons. As a 3072 result, we have been able to clearly separate contributions from  $\chi_{c1}$  and  $\chi_{c2}$  mesons. However, 3073 this came at the cost of a smaller event yield when compared to a sample reconstructed with 3074 photons detected by the electromagnetic calorimeter. Using converted photons also meant 3075 that having a clear understanding of the photon-conversion and reconstruction efficiency was 3076 crucial to the success of this analysis. Consequently, we developed a unique data-driven 3077 method using  $D^{*0} \to D^0[K^{\pm}\pi^{\mp}]\gamma$  decays through which we measured the photon-conversion 3078 and reconstruction efficiency of photons with transverse-momentum as low as 200 MeV/c. 3079 These events are normally accompanied by a large number of particles, resulting in a high 3080 detector occupancy. Therefore, the procedure required the extrapolation of the results into a 3081 low multiplicity environment typical of CEP, giving rise to our primary systematic uncertainty. 3082

We enforced the characteristic double rapidity-gap criteria of CEP by selecting low-3083 multiplicity events at the trigger level and requiring that there are no additional tracks in the 3084 forward and backward direction within the main spectrometer's acceptance. In addition, we 3085 used HERSCHEL to reject events accompanied by proton dissociation, gluon radiation, multiple 3086 scattering, or pile-up. HERSCHEL has proven to be a powerful tool for the study of CEP that 3087 allows us to significantly reduce inelastic background while retaining CEP signal. To determine 3088 the performance of the HERSCHEL figure of merit, we used a sample of CEP dimuons; a well 3089 3090 understood CEP process. The observed signal retention and background rejection in the CEP  $\chi_c$  sample were in good agreement with the calibration sample, even though our signal had two 3091 additional final-state particles. In addition, we observed stable and consistent results across 3092 different HERSCHEL figure of merit working points. 3093

To separate the CEP  $\chi_c$  signal from inelastic  $\chi_c$  background contributions we failed to 3094 veto through our low-multiplicity selection, exclusivity-track requirements, or HERSCHEL 3095 cut, we performed a simultaneous fit of the  $\chi_c$  meson's delta-mass and transverse-momentum-3096 squared distribution to take advantage of the characteristically low  $p_T^2$  of CEP relative to its 3097 inelastic counterpart. The signal component shapes are taken from SuperChic simulation while 3098 background shapes are taken from simulation, data-driven studies, and previous experimental 3099 results, which makes this a model-dependent study. The fit benefits from lower inelastic  $\chi_c$ 3100 background achieved through the implementation of the HERSCHEL cut. However, given the 3101 low reconstruction efficiency of events with soft photons we only observe a few  $\chi_{c1}$  candidates 3102 and, as a result, are able to present a ninety percent confidence limit for the product of the 3103 cross-section and the branching fraction of  $\chi_{c1}$  mesons. The product of the cross-section and 3104 branching fraction are measured to be 3105

$$\sigma_{\chi_{c1} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 19.5 \pm 15.0 \pm 15.2 \, \text{pb}$$
(8.1)

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$$\sigma_{\chi_{c2} \to J/\psi \, [\mu^+ \mu^-]\gamma}^{(2 < \eta_{\mu^+ \mu^-} < 4.5)} = 99.6 \pm 12.7 \pm 24.5 \, \text{pb}, \tag{8.2}$$

where the first uncertainty is statistical and the second is systematic. These results are compatiblewith theoretical predictions given the large uncertainties.

There are two additional low-multiplicity dimuon samples of comparable size to the 2016 3109 sample collected during the 2017 and 2018 runs that included the HERSCHEL detector. However, 3110 crucial information is missing from the  $D^{*0}$  sample collected during these run periods, which 3111 is necessary for the photon-conversion calibration. Consequently, a new method needs to be 3112 developed before we can incorporate the full Run 2 data set into the analysis. The study would 3113 greatly benefit from a larger data set. This would allow us to significantly improve the stability 3114 of the fit model and increase our sensitivity to the cross-section measurement of  $\chi_c$ , especially 3115 that of  $\chi_{c1}$ . The larger sample and improved fit stability might in turn allow us to reincorporate 3116 the  $\chi_{c0}$  mass region into our analysis and give us sensitivity to its CEP contribution. In addition, 3117 a larger sample would make the cross-sectional measurement as a function of rapidity possible 3118 and allow us to shed light on low Bjorken-x physics. 3119

LHCb is undergoing an upgrade period known as the second long-shutdown, which started 3120 in 2019 and is expected to end in 2021. This is in preparation for the high-luminosity stage 3121 of the LHC (HL-LHC). The LHC was calibrated to deliver approximately 1.5 and 1.1 visible 3122 interactions per bunch crossing during Run 1 and Run 2, respectively. This allowed for the 3123 collection of approximately 10  $\text{fb}^{-1}$  of data. During Run 3 and Run 4 the LHC will deliver 3124 approximately five interactions per bunch crossing at the LHCb interaction point, which is 3125 expected to deliver an integrated luminosity of 50  $\text{fb}^{-1}$  by the end of Run 3 and Run 4. However, 3126 the higher interaction rate means that only three percent of the bunch crossing will correspond 3127 to a single interaction compared to the thirty-seven percent for Run 2. As a result, LHCb will 3128

only collect a fraction of the single-interaction events during Run 3 and Run 4 compared to the currently available data set. Therefore, the useful sample size is not competitive and the harsher environment would quickly degrade the HERSCHEL modules. This in turn means that HERSCHEL will not be available for proton-proton runs during this period.

In order to cope with the higher occupancy while maintaining detector performance, key 3133 LHCb sub-detectors are being replaced, including the tracking detectors, the RICH detectors, 3134 and the VELO. In addition, all trigger lines will be entirely software based and all detectors 3135 will be read at 40 MHz. This will allow us to apply more sophisticated selection criteria at 3136 trigger level. With the full software trigger it is possible to identify isolated low-multiplicity 3137 vertices from CEP even in a bunch crossing with multiple interactions and many other vertices. 3138 However, Herschel information cannot be used in this case because we cannot associate the 3139 signals in Herschel with the individual vertices. 3140

However, through an alternative method known as proton tagging, we could guarantee 3141 the exclusivity requirement of the event in spite of the presence of pile-up. In this process 3142 the two interacting protons scatter at small angles, are detected by instrumentation near the 3143 beam line and their kinematics are reconstructed. We could then use the information of the 3144 proton's momentum loss to calculate the mass of the central system independent of its decay 3145 mode. For CEP events, we would expect agreement between this measurement and the mass 3146 calculated using information from decay products detected in the main spectrometer, and 3147 expect disagreement between the two measurements for background events. In addition, by 3148 reconstructing the full kinematics of the event we would be able to constrain the mass calculation 3149 of the central system and improve its resolution as well as detect events with missing energy. 3150 Currently, however, there are no plans to install proton taggers at LHCb. 3151

The  $\chi_c$  measurements could be significantly improved by incorporating hadronic decays 3152 where candidates can be reconstructed in the  $K^+K^-$ ,  $\pi^+\pi^-$ , and  $p^+p^-$  final state, as well 3153 as decays with a larger number of hadrons in the final state such as  $2(\pi^+\pi^-)$ ,  $3(\pi^+\pi^-)$ , and 3154  $\pi^+\pi^-K^+K^-$ . Data were collected in Run 2 with trigger lines sensitive to these decays. This 3155 would significantly increase our sensitivity to  $\chi_{c0}$  production. LHCb is well suited for this study 3156 thanks to its strong particle-identification capabilities. The study presented in this thesis has 3157 also shown that the multiplicity trigger requirements are sufficiently loose to cope with a four 3158 and six particle final state necessary for some of the hadronic studies. 3159

The next test of our theoretical understanding of the DPE mechanism of CEP is the study of the bottomonium counterpart of the  $\chi_c$  mesons, the  $\chi_b$  states. Similar to  $\chi_c$ , there are three states of  $\chi_b$  with  $J^{CP} = 0^{++}$ ,  $1^{++}$ , and  $2^{++}$  that follow a similar hierarchy. Although it has a smaller cross-section, the  $\chi_b$  state's higher mass scale places it safely within the perturbative regime. We can apply the knowledge learned in this study to investigate the CEP of  $\chi_b$  mesons through a similar radiative decay,  $\chi_b \to \Upsilon[\mu^+\mu^-]\gamma$ . The invariant mass of  $\chi_{b0}$  and  $\chi_{b1}$  mesons are within 33 MeV/ $c^2$  of one another, and that of  $\chi_{b1}$  and  $\chi_{b2}$  is within 20 MeV/ $c^2$  of one another. As a result, this analysis would also greatly benefit from the use of converted photons to increase the invariant-mass resolution of the  $\chi_b$  states.

We can also apply the lessons learned to the study of exotic particles, such as the X(3872)state [140–144], which can be studied through the radiative decay into  $J/\psi [\mu^+\mu^-]\gamma$  or with a more favourable branching fraction into  $\psi(2S)[\mu^+\mu^-]\gamma$ . This state has an unusually narrow width, with an upper limit of  $1.2 \text{ MeV}/c^2$  set at a ninety percent confidence level. Although the quantum numbers have been determined to be  $J^{CP} = 1^{++}$  the nature of this particle has yet to be understood.

In summary, we have demonstrated that the LHCb is well equipped for the study of CEP and shown that HERSCHEL plays an important role in background reduction by expanding the sensitivity of LHCb to higher rapidity regions. We have demonstrated the benefits of using converted photons as a mechanism to improve mass resolution at the LHCb. We also conducted an important measurement necessary to test our theoretical understanding of CEP. More importantly, by studying the CEP of  $\chi_c$  mesons (considered the standard candle of CEP via DPE) we have opened a new frontier for LHCb through which to probe the Standard Model.
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