

QCD – Lecture 1

Introduction, DIS and the Quark Parton Model

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outline

- Lecture 1: Introduction, DIS and the Quark Parton Model
- Lecture 2: Quantum Chromodynamics: theory and experimental evidence
- Lecture 3: QCD-improved Parton Model, and the DGLAP equations
- Lecture 4: PDFs and their uncertainties
- Lecture 5: PDFs, the Gluon and α s
- Lecture 6: QCD at Low X and Low Q²
- Lecture 7: Implications for and constraints from the LHC, and the Future

Guest Lecture by Gavin Salam:

• Lecture 8: QCD, jets and jet substructure

aims of the course and additional resources

This course aims to cover the **basics of QCD**, from the development of the **Quark Parton Model**, though to the establishment of **QCD** as a gauge theory of the strong interaction. It will provide a survey of experimental measurements of **QCD** from low to high energies that have served to establish today's understanding. Methods used to extract the parton distribution functions (PDFs) of the proton will be covered, and the implications for the LHC will be discussed. The course is NOT designed to go through in-depth QCD calculations in detail, but will provide steps needed to derive some relevant results.

Many good reference works available, the following in particular may be useful:

Deep Inelastic Scattering – Devenish & Cooper-Sarkar

QCD and Collider Physics – Ellis, Stirling & Weber

Quarks and Leptons - Halzen & Martin

Gauge Theories in Particle Physics - Aitchison & Hey

Handbook of Perturbative QCD - Sterman et al. (CTEQ Coll.), https://www.physics.smu.edu/scalise/cteq/

Lecture Notes and Problem Sheet: http://www-pnp.physics.ox.ac.uk/~gwenlan/teaching/qcd.html

QCD: a quick refresher

- 4 interactions: weak, EM, strong, gravity
- 1964: Gell-Mann and Zweig suggested that hadrons were composite, built from 3 basic blocks we now call up, down, strange quarks
- properties: spin–1/2; baryon number–1/3, non-integral charge: Qu=+2/3 and Qd,s = –1/3; u and d form isospin doublet with 0 strangeness; s an isospin singlet with unit strangeness



- Quarks carry colour, motivated by existence of
 EG. |Δ⁺⁺⟩ = |u[↑]u[↑]u[↑]⟩
- Must be new quantum number so Ψ antisymmetric (PAULI)

$$\Psi(\Delta^{++}) = \underbrace{\Psi(r) \cdot \Psi_{\text{spin}}(J) \cdot \Psi_{\text{flavour}} \cdot \Psi_{\text{colour}}}_{symmetric}$$

- **QCD:** gluons are the force carriers;
- massless, spin-1 bosons; also carry colour;
 SU(3) symmetry gives eight gluons





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elastic ep scattering



Friedman, Kendall and Taylor, Nobel Lectures, 8 December, 1990



 falling distribution seen in first proton form factor data (McAllister and Hofstader, 1955), and for the next ten years ...



quote from R.E. Taylor:

... The data continued to follow the so-called dipole model to a good approximation. By the Hamburg conference in 1965 there were no dissenters from the view that

$$G_{\rm Ep}(Q^2) \cong \left(\frac{1}{1 + \frac{Q^2}{0.71 \text{ GeV}^2}}\right)^2 \text{ up to } Q^2 \sim 10 \text{ GeV}^2$$

inelastic ep scattering

Friedman, Kendall and Taylor, Nobel Lectures, 8 December, 1990

The Structure of Hadrons



- peaks are from proton (elastic) and baryonic resonances
- no structure above about W = 1.8 GeV, but there remains a large measured cross section – this is the region of DIS
- early example of approximate scaling in inelastic ep scattering
- inelastic ep scattering cross section was behaving as though proton contained hard, point-like scattering centres





Neutral Current (NC): exchange of γ, Z Charged Current (CC): exchange of W[±]

DIS



- proton structure first investigated in
 Deep Inelastic Scattering (DIS)
- **DEEP** \equiv high resolving power (high Q²)
- **INELASTIC** \equiv proton breaks up (high W \equiv Mx)



 these are all 4-vector invariants AND are experimentally measurable

NC DIS event at HERA





The kinematic variables are <u>measureable</u> both from electron E', θ_e (NC) AND from hadron variables (CC)

$$s = 4 E_e E_p$$
$$Q^2 = 4 E_e E' \sin^2 \frac{\theta_e}{2}$$
$$y = \left(1 - \frac{E'}{E_e} \sin^2 \frac{\theta_e}{2}\right)$$
$$x = Q^2 / s y$$

Lorentz Invariant form of the cross section



⇒ Charged lepton-neutral current γ , Z

$$\frac{d^2\sigma(l^{\pm})}{dxdQ^2} = \frac{2\pi\alpha^2}{Q^4x} \left[Y_+ F_2^{\rm NC}(x,Q^2) - y^2 F_{\rm L}^{\rm NC}(x,Q^2) \mp Y_- x F_3^{\rm NC}(x,Q^2) \right]$$
$$Y_{\pm} = 1 \pm (1-y)^2 \qquad (\text{NB, cross sections sometimes written instead})$$

Charged lepton- charged current W[±]

in terms of F1, F2, xF3 where: $F_{\rm L} \equiv F_2 - 2xF_1$)

$$\frac{d^2\sigma(l^{\pm})}{dxdQ^2} = \frac{G_F^2}{4\pi x} \frac{M_W^4}{(Q^2 + M_W^2)^2} \left[Y_+ F_2^{\rm CC}(x,Q^2) - y^2 F_{\rm L}^{\rm CC}(x,Q^2) \mp Y_- x F_3^{\rm CC}(x,Q^2) \right]$$

 $\rm F_2$, $\rm F_L$, $\rm xF_3$ are STRUCTURE FUNCTIONS

which parameterise our ignorance of the hadronic sector

$$\Rightarrow$$
 MEASUREABLE as functions of x, Q²

Quark Parton Model (QPM)

F2, FL and xF3 are structure functions, which express the dependence of the cross section on the structure of the nucleon (hadron)

Quark Parton Model (QPM)

proposed to explain scaling (Feynman, 1969)

interprets the structure functions as related to the momentum distributions of point-like quarks, or **partons**, within the nucleon

the measurable kinematic variable **x** = Q²/(2p.q) is interpreted as the FRACTIONAL momentum of the incoming nucleon taken by struck quark

we can extract all 3 structure functions **experimentally** by looking at the x, y, Q² dependence of the double differential cross section – thus, we can **check out the parton model predictions**



$$(\xi p + q)^2 = \xi^2 p^2 + q^2 + 2 \xi p \cdot q = 0$$

At large Q² ignore terms of order M² and put quarks on mass shell $Q^2 = Q^2 = r$

$$\Rightarrow \xi = \frac{Q^2}{2 p \cdot q} = x$$

in proton infinite momentum frame:

FRACTIONAL momentum of the incoming nucleon taken by the struck quark is the MEASUREABLE quantity **x**

outline of cross section calculation



ODIS and **Parton Distribution Functions (PDFs)**

Scattering from ANY fermion (e.g. quarks) similar ightarrow depends on fermion charge e'

Consider a collection of quarks and antiquarks (in a hadron)

→ any one can be struck

 \rightarrow let this one have x of the protons momentum, so $s \rightarrow xs$

$$\frac{d^2\sigma}{dx\,dy} = \frac{2\,\pi\alpha^2}{Q^4} \left(e^i\right)^2 \left[1 + (1-y)^2\right] x\,s$$
for a

for a quark of charge (eⁱe)

so for the HADRON

why antiquarks?

$$\frac{d^2 \sigma}{dx \, dy} = \frac{2 \pi \alpha^2}{Q^4} \Big[1 + (1 - y)^2 \Big] s \sum_i (e_i)^2 [x \, q_i(x) + x \, \overline{q}_i(x)] \Big]$$

where q(x) is the PROBABILITY of the quark having the momentum fraction x of the momentum distribution and xq(x) is called a PARTON DISTRIBUTION FUNCTION (PDF)

Now compare the above quark parton model $\frac{d^2\sigma}{dx dy}$ to the general predictions

Bjorken scaling and the Callan-Gross relation

Rewrite the double differential cross section for the QPM using $Q^2=s.x.y$ QPM $\frac{d^2 \sigma}{dx \, d \, Q^2} = \frac{2 \pi \alpha^2}{Q^4 \, x} \Big[1 + (1 - y)^2 \Big] \sum_i (e_i)^2 [x \, q_i(x) + x \, \overline{q}_i(x)]$ $\frac{d^2 \sigma^{(l^{\pm})}}{dx \, dQ^2} = \frac{2 \pi \alpha^2}{Q^4 x} \Big[Y_+ F_2 \big(x, \, Q^2 \big) - y^2 \, F_L \big(x, \, Q^2 \big) \mp Y_- \, x \, F_3 \big(x, \, Q^2 \big) \Big]$ remember $Y_+ = \Big[1 + (1 - y)^2 \Big]$ General \Rightarrow QPM predictions $F_2(x, Q^2) = \sum e_i^2 x \left[q_i(x) + \overline{q}_i(x)\right]$ i.e. F_2 is only a function of $\mathbf{X} \rightarrow \mathsf{BJORKEN}$ SCALING the **QPM** predicts both $\int \int contrast elastic scattering <math>F(q^2) \sim \frac{1}{(1+q^2/m_0^2)^2}$ **CALLAN-GROSS** relation $\longrightarrow F_L(x, Q^2) = 0$ because quarks are spin ½ fermions $x F_3(x, Q^2) = 0$ because only γ exchange considered

The results are for charged-lepton/hadron scattering via γ exchange and are independent of lepton charge

Early observations that F_2 is independent of $Q^2 \rightarrow \text{point-like scattering centres "partons" in the nucleon (otherwise <math>F_2 \sim 1/Q^2$) + 6° $\square 18°$



neutrino-quark scattering



Х

neutrino-quark scattering



For $\nu \overline{q}$, $\overline{\nu} \overline{q}$ these results are oppositely handed.

So for a HADRON,

$$\frac{d^2\sigma(\nu h)}{dx\,dy} = \frac{G_F^2 s}{\pi} \Sigma_i \left[xq_i(x) + (1-y)^2 x\bar{q}_i(x) \right]$$
$$\frac{d^2\sigma(\bar{\nu}h)}{dx\,dy} = \frac{G_F^2 s}{\pi} \Sigma_i \left[(1-y)^2 xq_i(x) + x\bar{q}_i(x) \right]$$

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y distribution measurement



There is clearly a need for the qbar term
This leads to the idea of 3-valence quarks PLUS a
$$q \overline{q}$$
 Sea $\begin{cases} xq(x) = xq_v(x) + xq_{sea}(x) \\ x\overline{q}(x) = x\overline{q}_{sea}(x) \end{cases}$
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neutrino DIS structure functions

For $v \overline{q}$, $\overline{v} \overline{q}$ these results are oppositely handed. (using also Q²=s.x.y) So for a HADRON, $\frac{d^2 \sigma^{(v)}}{d x d Q^2} = \frac{G_F^2}{\pi} \left[\sum_i q_i(x) + (1 - y)^2 \sum_i \overline{q}_i(x) \right]$ $\frac{d^2 \sigma^{(v)}}{d x d Q^2} = \frac{G_F^2}{\pi} \left[\sum_i \overline{q}_i(x) + (1 - y)^2 \sum_i q_i(x) \right]$

Now compare these to the general formula

$$\frac{d^2 \sigma^{(\nu,\bar{\nu})}}{dx \, dQ^2} = \frac{G_F^2}{4 \pi x} \Big[Y_+ F_2 \big(x, \, Q^2 \big) - y^2 F_L \big(x, \, Q^2 \big) \pm Y_- \, x \, F_3 \big(x, \, Q^2 \big) \Big] Y_+ = \Big[1 + (1 - y)^2 \Big] \qquad Y_- = \Big[1 - (1 - y)^2 \Big]$$

- $F_L(x, Q^2) = 0$ $F_2(x, Q^2) = 2 \sum_i x [q_i(x) + \overline{q}_i(x)]$ $F_3(x, Q^2) = 2 \sum_i x [q_i(x) - \overline{q}_i(x)]$ $F_3(x, Q^2) = 2 \sum_i x [q_i(x) - \overline{q}_i(x)]$
- Clearly a relationship between F_2 's for ν , $\overline{\nu}$ and charged lepton scattering
- More information from xF₃

neutrino DIS and flavour

• ν , $\overline{\nu}$ scattering is FLAVOUR SENSITIVE

$$\frac{\nu}{\left(s, \overline{u}, \overline{c}\right)} \qquad \begin{array}{l} \mu^{-} & \mu^{+} \text{ MUST hit:} \\ q \text{ charge } -1/_{3} \text{e or} \\ \overline{q} \text{ charge } -2/_{3} \text{e} \end{array}$$

$$\frac{d^{2} \sigma^{(\nu)}}{dx dy} = \frac{G_{F}^{2} sx}{\pi} \left[(d(x) + s(x)) + (1 - y)^{2} (\overline{u}(x) + \overline{c}(x)) \right]$$

$$\overline{\nu} \qquad \mu^{+} \qquad \qquad \begin{array}{l} \mu^{+} & \mu^{-} \text{ MUST hit:} \\ q \text{ charge } 2/_{3} \text{e or} \\ \overline{q} \text{ charge } 1/_{3} \text{e} \end{array}$$

$$\frac{d^{2} \sigma^{(\overline{\nu})}}{dx dy} = \frac{G_{F}^{2} sx}{\pi} \left[(u(x) + c(x)) + (1 - y)^{2} (\overline{d}(x) + \overline{s}(x)) \right]$$

NB, FLAVOUR separation can also come from charged-lepton CC DIS (HERA; see later)

neutrino DIS structure functions

The flavours were written down assuming a proton target,

$$F_2^{(\nu p)} = 2 x(d + s + \overline{u} + \overline{c})$$
$$x F_3^{(\nu p)} = 2 x(d + s - \overline{u} - \overline{c})$$

For a neutron target, SWAP d \rightarrow u and $\overline{u} \rightarrow \overline{d}$ STRONG ISOSPIN $F_2^{\text{vn}} = 2x(u + s + \overline{d} + \overline{c})$ $xF_3^{\text{vn}} = 2x(u + s - \overline{d} - \overline{c})$ Finally MOST v, \overline{v} data are taken on ISOSCALAR targets $\frac{n+p}{2}$ $F_2^{(vN)} = x(u + d + \overline{u} + \overline{d} + 2s + 2\overline{c})$ $xF_3^{(vN)} = x(u + d - \overline{u} - \overline{d} + 2s - 2\overline{c})$

Similarly for $\overline{\nu}$

$$F_2^{(\overline{\nu} N)} = x \left(u + d + \overline{u} + \overline{d} + 2s + 2\overline{c} \right)$$
$$x F_3^{(\overline{\nu} N)} = x \left(u + d - \overline{u} - \overline{d} - 2\overline{s} + 2\overline{c} \right)$$

Since the contribution of *s*, *c* is small and $s = \overline{s}$, $c = \overline{c}$ $F_2^{(\nu N)} = F_2^{(\overline{\nu} N)}$ $x F_3^{(\nu N)} \approx x F_3^{(\overline{\nu} N)}$ ← since neutrino cross sections are so small, need massive detectors, usually made of IRON; so experimentally, measure combination of proton/neutron scattering cross sections

QPM tests

Now go further, $u = u_{\text{valence}} + u_{\text{sea}} = u_v + u_{\text{sea}}$ $\overline{u} = \overline{u}_{\text{sea}} \quad \text{and} \quad \overline{q}_{\text{sea}} = q_{\text{sea}}$ Similarly $d = d_v + d_{\text{sea}}$ So, $x F_3^{(v,\overline{v},N)} = x(u - \overline{u} + d - \overline{d}) = x(u_v + d_v) = x(\text{valence})$ $F_2^{(v,\overline{v},N)} = x(u_v + d_v + u_{\text{sea}} + d_{\text{sea}} + \overline{u} + \overline{d} + s + \overline{s} + c + \overline{c})$ = x(valence + sea)

Measuring F_2 and xF_3 in ν , $\overline{\nu}$ scattering separates valence and sea.

Compare $F_2^{(v,\overline{v})}$ to $F_2^{(e^{\pm})}$ $F_2^{(ep)} = x \Big[\frac{4}{9} (u + \overline{u}) + \frac{1}{9} (d + \overline{d}) + \frac{1}{9} (s + \overline{s}) + \frac{4}{9} (c + \overline{c}) \Big]$ for isoscalar targets, $F_2^{(eN)} = \frac{5}{18} x \Big[(u + \overline{u}) + (d + \overline{d}) + \frac{2}{5} (s + \overline{s}) + \frac{8}{5} (c + \overline{c}) \Big]$ $F_2^{(eN)} = \frac{5}{18} F_2^{(v,\overline{v} N)}$ again assuming *s*, *c* small \longrightarrow OBSERVATIONS

F₂(x) and xF₃(x) measurements

$$F_2^{(\text{eN})} = \frac{5}{18} F_2^{(\nu, \nu N)}$$

ALSO:

$$F_2^{\nu N} = x[u(x) + d(x) + \overline{u}(x) + \overline{d}(x)]$$
$$xF_3^{\nu N} = x[u(x) + d(x) - \overline{u}(x) - \overline{d}(x)]$$

$$\Rightarrow F_2^{\nu N} - xF_3^{\nu N} = 2x[\overline{u} + \overline{d}]$$



QPM tests: valence contribution

$$F_{3}^{\nu N} = [u(x) + d(x) - \overline{u}(x) - \overline{d}(x)] = u_{V}(x) + d_{V}(x)$$
$$\int_{0}^{1} F_{3}^{\nu N}(x) dx = \int_{0}^{1} (u_{V}(x) + d_{V}(x)) dx = N_{u}^{V} + N_{d}^{V}$$

expect
$$\int_0^1 F_3^{\nu N}(x) dx = 3$$

Gross-Llewellyn-Smith Sum Rule



extras

elastic scattering



F₂(x) measurements



early evidence for FL=0



e.g. CDHS Experiment (CERN 1976-1984)

- 1250 tonnes
- magnetised iron modules
- separated by drift chambers



study Neutrino DIS



Experimental Signature:

X v_{μ} μ^{-}

M Thomson



Etymology [edit]

For some time, Gell-Mann was undecided on an actual spelling for the term he intended to coin, until he found the word *quark* in James Joyce's book *Finnegans Wake*:^[49]

Three quarks for Muster Mark!
Sure he hasn't got much of a bark
And sure any he has it's all beside the mark.

The word *quark* itself is a Slavic borrowing in German and denotes a dairy product,^[50] but is also a colloquial term for "rubbish".^{[51][52]} Gell-Mann went into further detail regarding the name of the quark in his book *The Quark and the Jaguar*.^[53]

In 1963, when I assigned the name "quark" to the fundamental constituents of the nucleon, I had the sound first, without the spelling, which could have been "kwork". Then, in one of my occasional perusals of *Finnegans Wake*, by James Joyce, I came across the word "quark" in the phrase "Three quarks for Muster Mark". Since "quark" (meaning, for one thing, the cry of the gull) was clearly intended to rhyme with "Mark", as well as "bark" and other such words, I had to find an excuse to pronounce it as "kwork". But the book represents the dream of a publican named Humphrey Chimpden Earwicker. Words in the text are typically drawn from several sources at once, like the "portmanteau" words in *Through the Looking-Glass*. From time to time, phrases occur in the book that are partially determined by calls for drinks at the bar. I argued, therefore, that perhaps one of the multiple sources of the cry "Three quarks for Muster Mark" might be "Three quarts for Mister Mark", in which case the pronunciation "kwork" would not be totally unjustified. In any case, the number three fitted perfectly the way quarks occur in nature.

COLOR FORCE AND QUARK POTENTIAL

2 quarks at distance $r \sim O(1)$ fm) define a *string* of *tension* k, potential V(r) = kr. Stored energy/unit length is constant: separation of quarks requires infinite energy.

QCD Potential: QED-like at $r \leq 0.1$ fm but increases linearly at $r \geq 1$ fm.



Force: $\left|\frac{dV}{dr}\right| = k = 1.6 \times 10^{-10} \text{J}/10^{-15} \text{m} = 16000 \text{N}$ weight of a car!

This stored energy gives the proton most of its mass (and not the Higgs as you sometimes hear)! Recall $m_u + m_d \sim 9$ MeV but $m_{proton} = 938$ MeV

Calculating σ_{DIS} I – Further Details

$$\frac{1}{4}\sum_{\mathrm{spins}} |\mathcal{M}|^2 = \frac{e^2 e'^2}{q^4} L_e^{\lambda \nu} L_{\lambda \nu}^{\mu}$$

taken from J Ferrando, SUPA lectures

Where: $L_e^{\lambda\nu} = 2(k'^{\lambda}k^{\nu} + k'^{\nu}k^{\lambda} - (k'.k)g^{\lambda\nu})$

Contract the leptonic tensors

$$L_{e}^{\lambda\nu} = 2(k'^{\lambda}k^{\nu} + k'^{\nu}k^{\lambda} - (k'.k)g^{\lambda\nu})$$

$$L_{\lambda\nu}^{\mu} = 2(p'_{\lambda}p_{\nu} + p'^{\nu}p_{\lambda} - (p'.p)g_{\lambda\nu})$$

$$L_{e}L^{\mu} = 8[(k'.p')(k.p) + (k'.p)(k'.k)]$$

Rewrite in terms of the Mandelstam variables

$$s = (k+p)^2 = (k'+p')^2, t = (k-k')^2 = (p'-p)^2, u = (k-p')^2 = (k'-p)^2$$

 $L_e.L^{\mu} = 2(s^2 + u^2).$

substitute $y = \frac{(p.q)}{(p.k)} = \frac{u}{s} + 1$

$$\frac{1}{4} \sum_{\text{spins}} |\mathcal{M}|^2 = \frac{e^2 e'^2}{Q^4} 2s^2 [1 + (1 - y)^2]$$

Insert phase space and flux factor

$$\frac{d\sigma}{dy} = \frac{e^2 e'^2}{8\pi Q^4} [1 + (1 - y)^2] s \to \frac{d\sigma}{dy} = \frac{2\pi \alpha^2}{Q^4} [1 + (1 - y)^2] s$$

One isotropic contribution from same handed spin directions