

# Research Progress at Strathclyde relevant to Accelerators

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S.L. McConville, K.M. Gillespie, L. Fisher, F. Li, M. McStravick, L. Zhang,  
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Research Seminar

Adams Institute

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Glasgow, G4 0NG, UK

Oxford University



ABP

# Introduction

- Strathclyde research group overview
- SUPA
- Strathclyde research
  - High power microwave sources
  - Examples of modelling and experiments
- Conclusions

# Strathclyde research group overview



500km



# Strathclyde research group overview



Physics  
Department



Strathclyde  
University  
Campus

# Strathclyde research group overview

- University of Strathclyde ~ 17,000 students
- Physics Department one of 8 within SUPA
- SUPA graduate school ~ 400 PhD students
- Microwave & MM-wave research (~ 30 people)

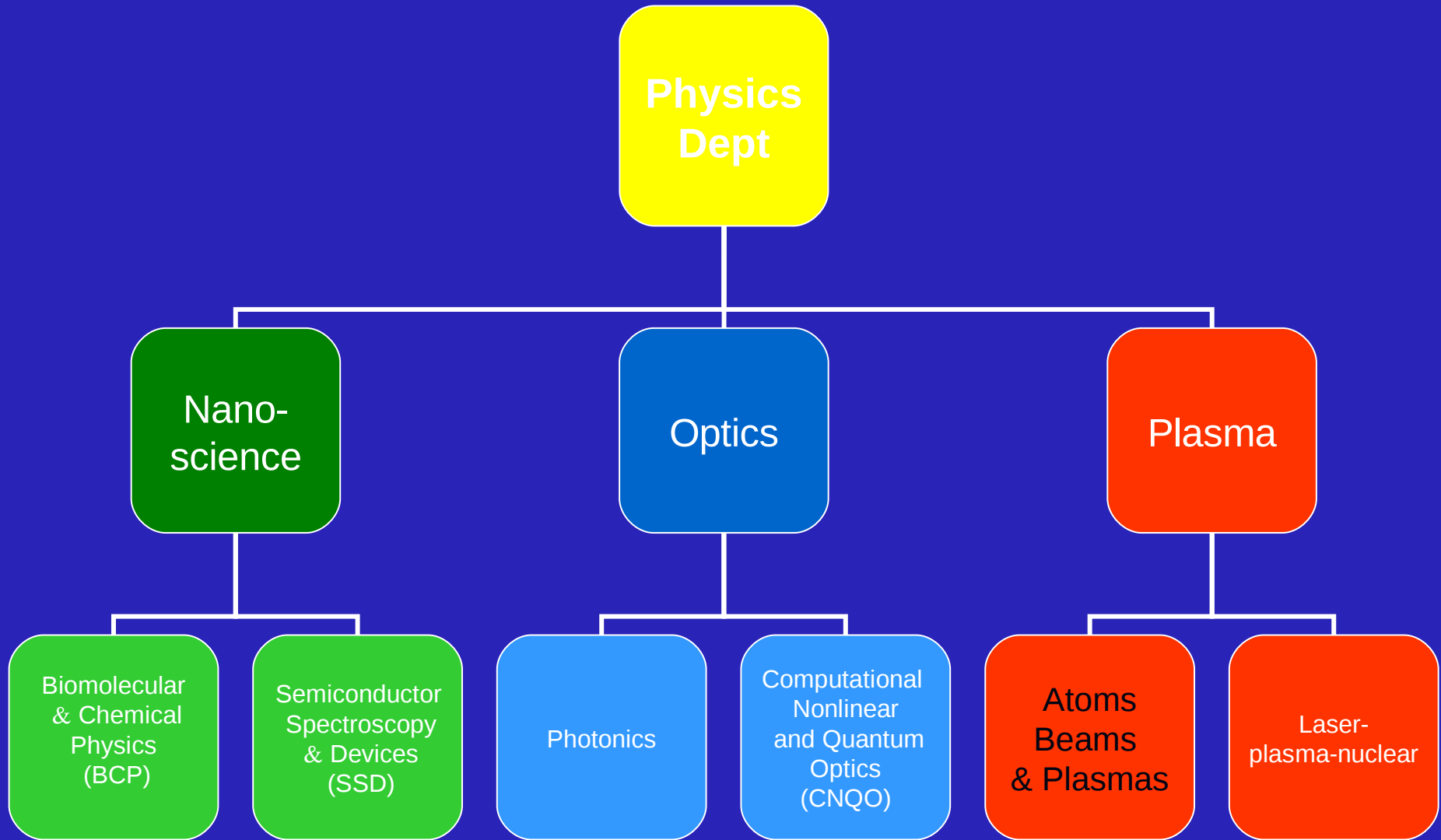


# Scottish Universities Physics Alliance (SUPA) Members



## Research Themes in SUPA

- Nuclear and plasma physics
- Particle physics
- Condensed matter & materials
- Photonics
- Astronomy and astrophysics
- Physics applied to the life sciences
- Energy





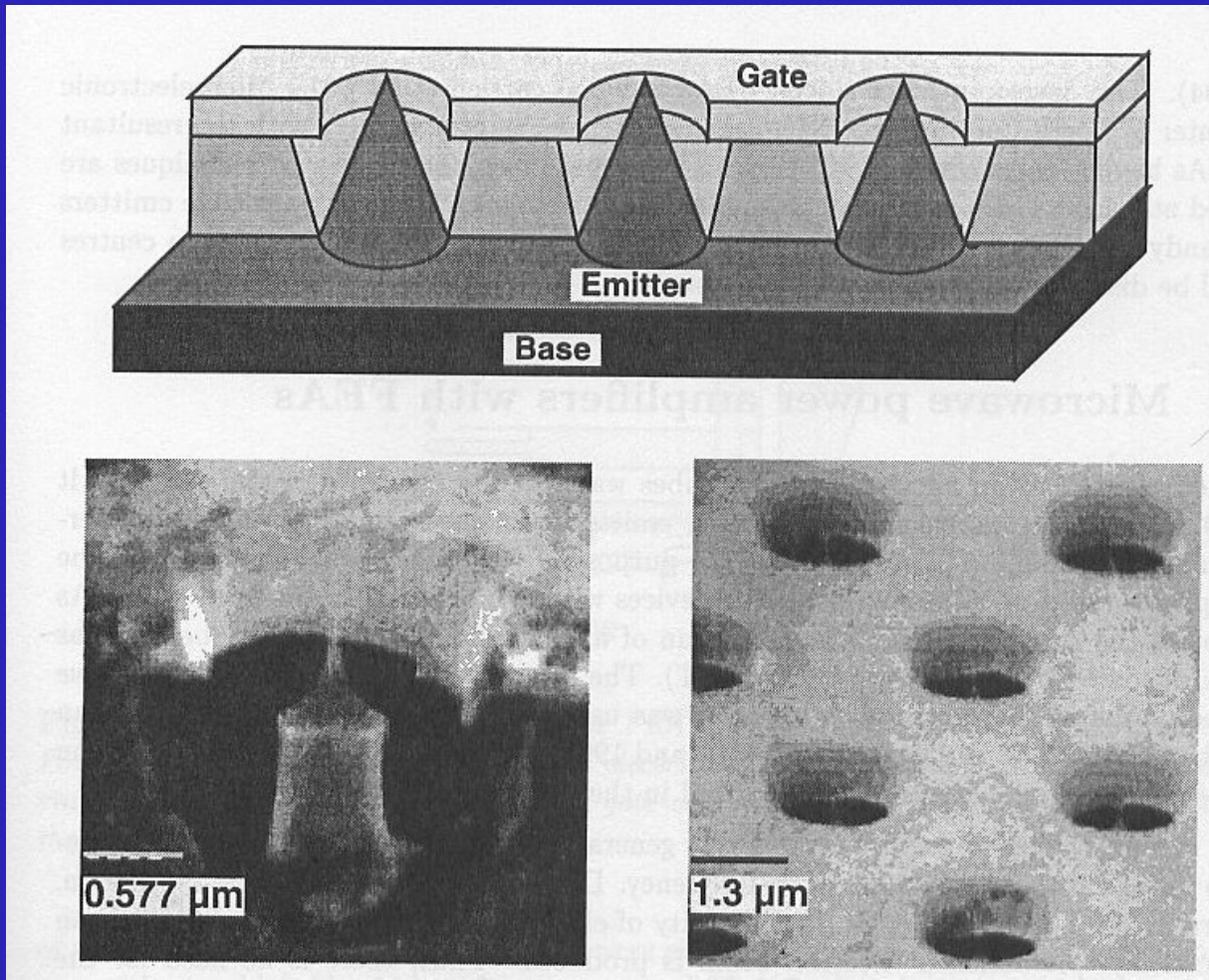
# Strathclyde research group overview

- Cathodes
  - Field emission: FEA
  - Explosive/plasma flare: Metal & Velvet
  - Thermionic
  - Pseudospark
- Gun structures
  - Pierce, MIG, CUSP
- Coherent high power mm-wave generation
  - Slow wave: Dielectric Cherenkov, Cherenkov BWO
  - Fast wave: FEL, Gyrotron, CARM, Gyro-TWAs Gyro-BWOs, Superradiance (CRM & Cherenkov)

# Examples of Strathclyde work on high power vacuum electronic mm-wave devices

- Modelling – using MAGIC, KARAT, SURETRAJ, OPERA, MICROWAVE STUDIO, COMSOL, VORPAL
- Electron beam research using thermionic, plasma flare, field emission array and pseudospark cathodes
- Design, construction and measuring output of high power mm-wave vacuum electronic devices. Includes research, design and construction of couplers, cavities, converters, collectors and windows
- (i) high power mm-wave diagnostics  
(ii) power supplies to drive the devices

# Several different types of electron sources



MM-wave gyrotron driven by a field emission array (FEA) electron gun

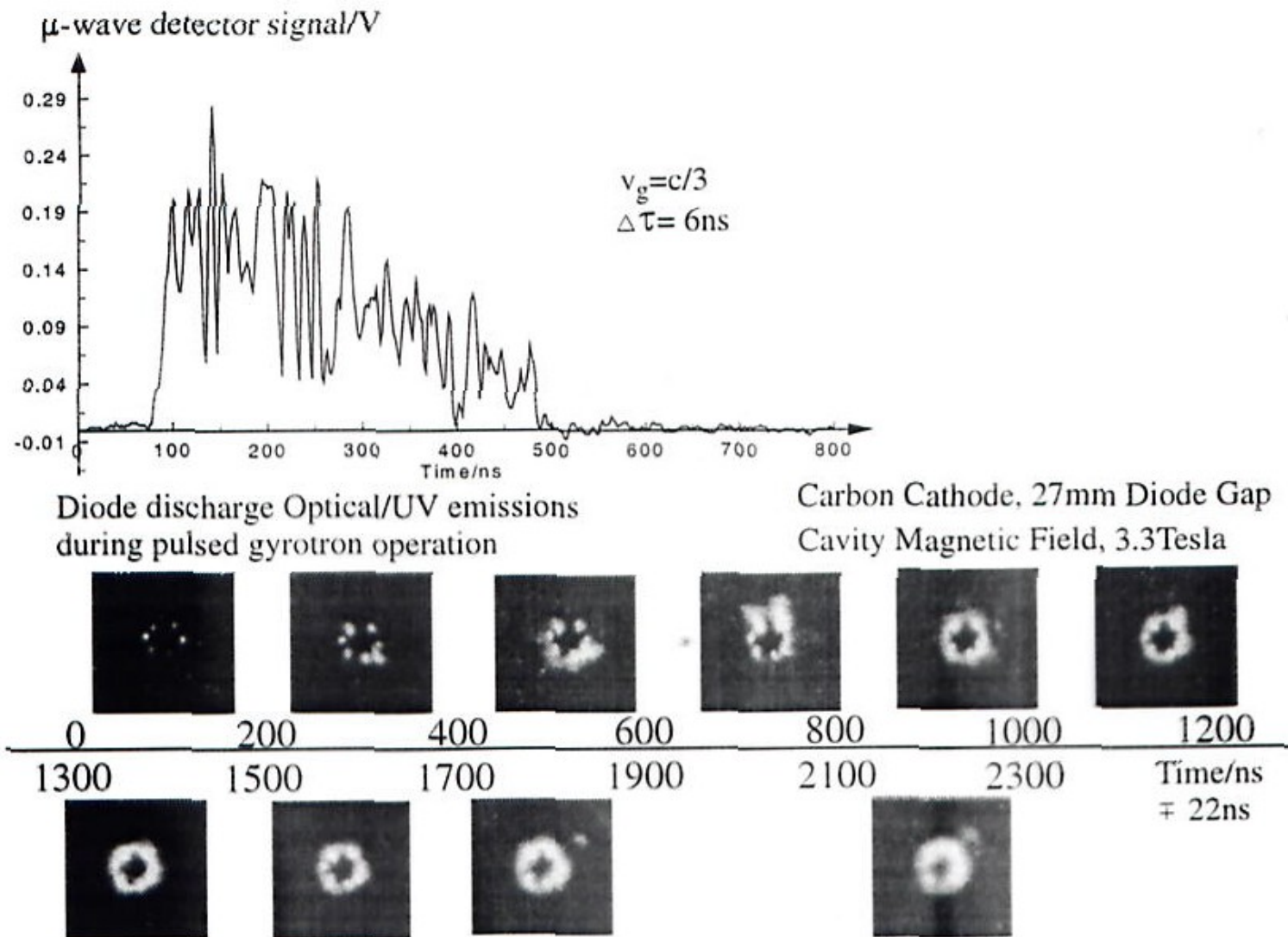
Physical Review Letters 77, 2320-2323, 1996

# MM-wave gyrotron driven by a field emission array electron gun

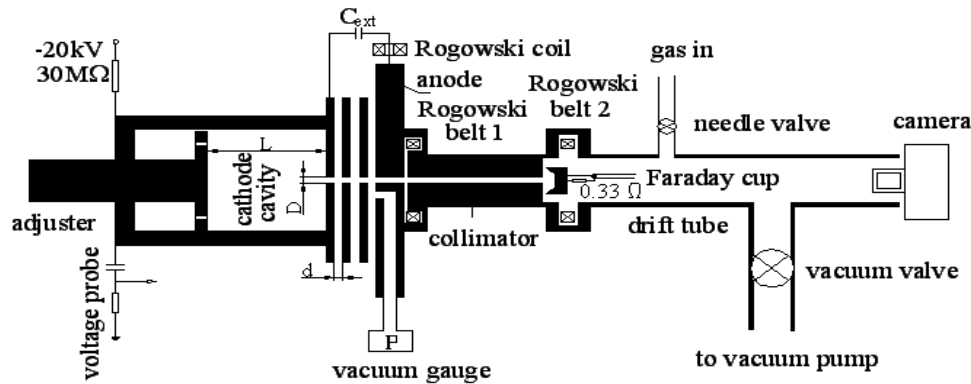
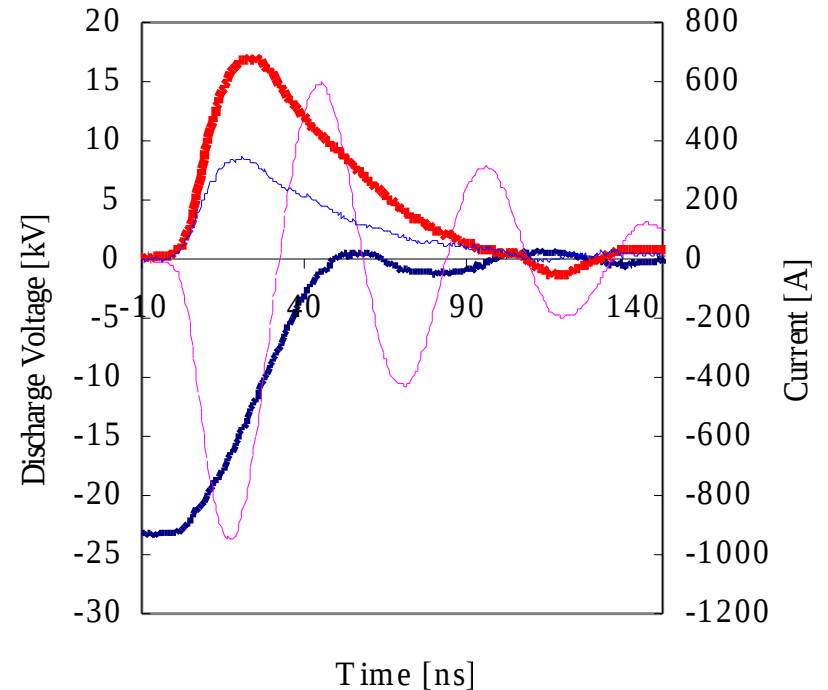
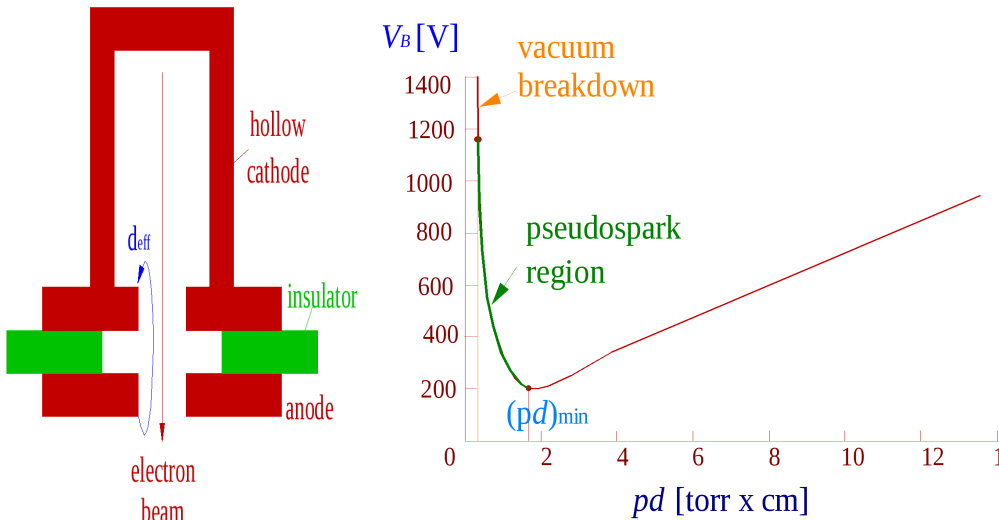




# Plasma flare cathodes

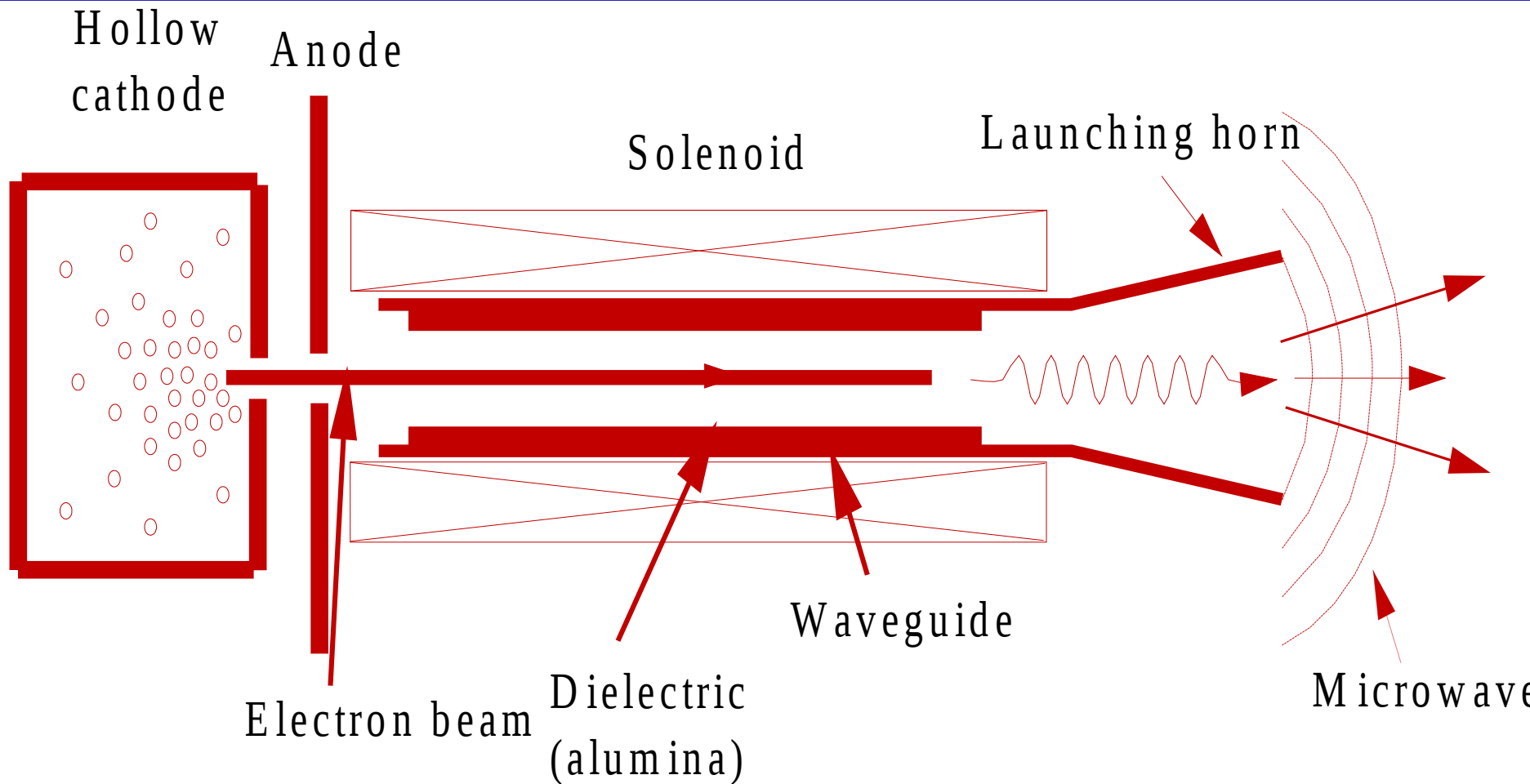


# Mm-wave sources using a pseudospark generated electron beam

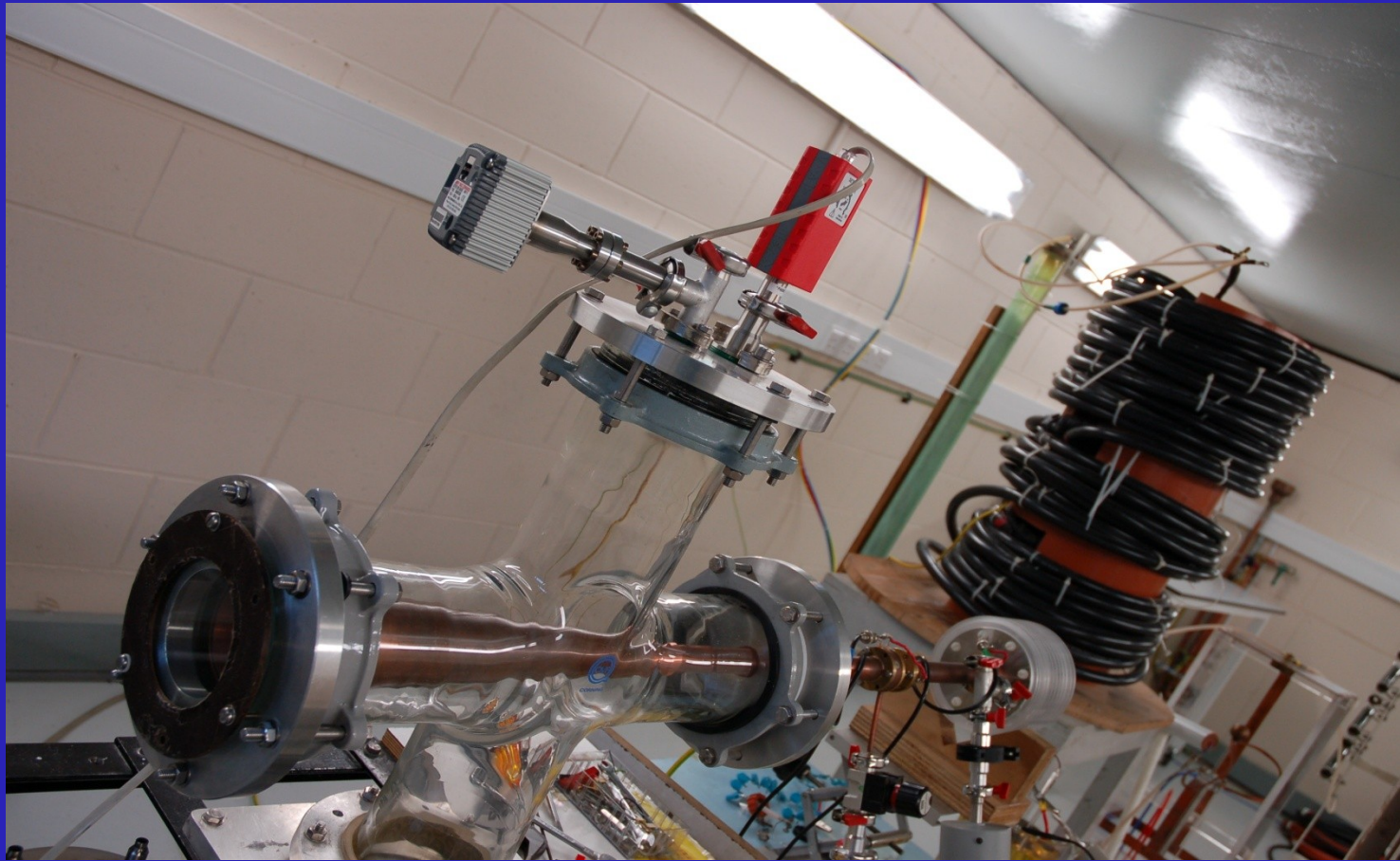


- Discharge Voltage
- - - Discharge Current
- Beam Current by Rogowski Coil
- Beam Current by Faraday cup after Tungsten M

# Cherenkov maser using high brightness electron beam from pseudospark source

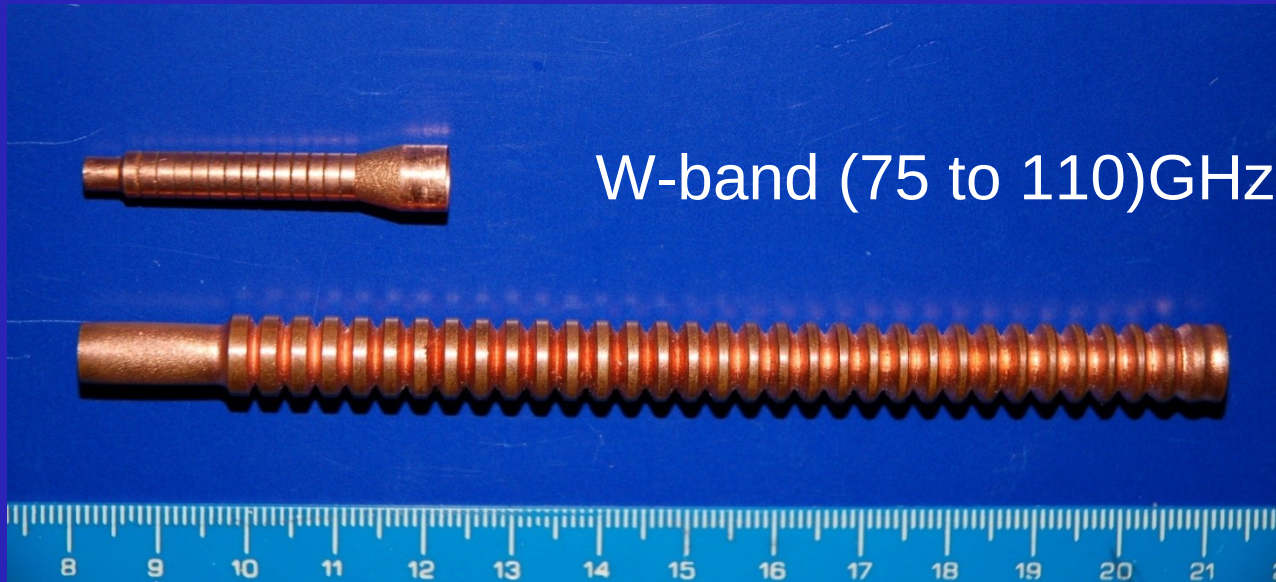






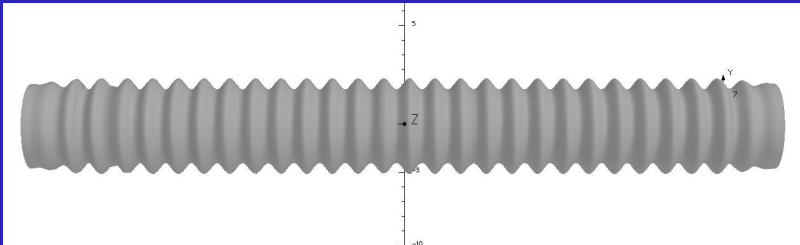
Experimental setup of the 14-gap PS  
powered by a cable pulser  
and beam-wave interaction investigation

# BWO Interaction Region



W-band (75 to 110)GHz

Ka-band  
(26.5 to 40)GHz

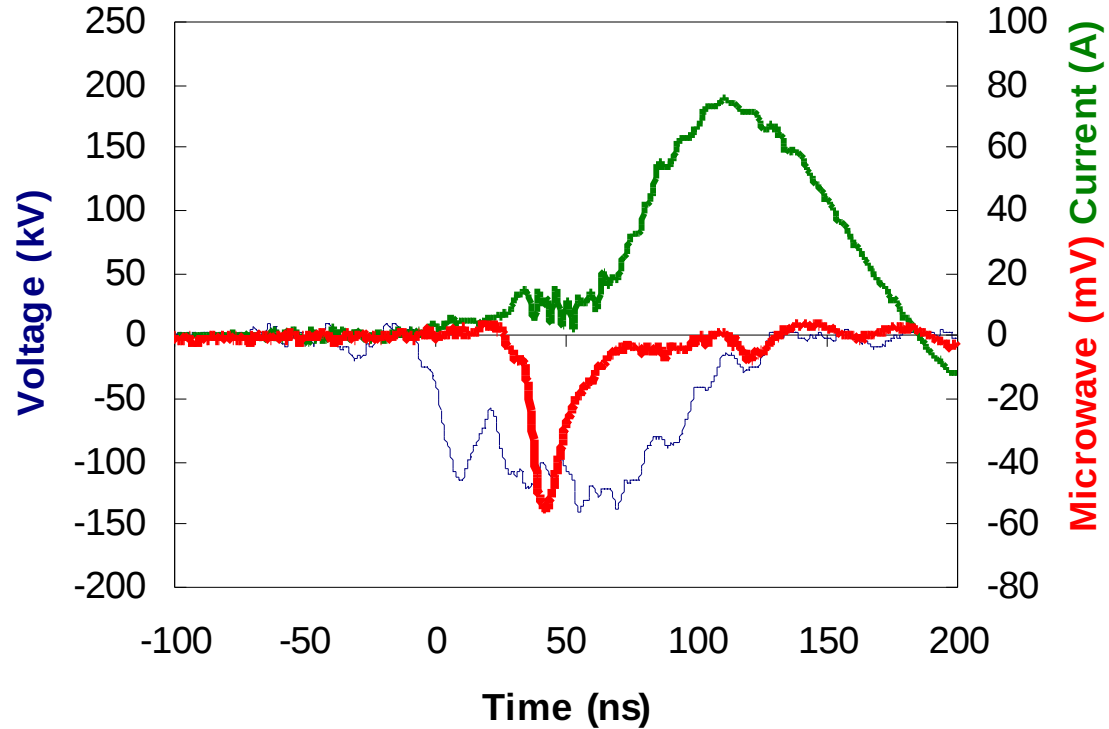


W-band Aluminium positive former

- Constructed in University Strathclyde
- Copper is deposited
- Aluminium dissolved in alkali solution

Advantages: a) compactness (table-top size);  
b) simplicity (no B-field);  
c) flexibility; d) PRF operation

## W-band (75-110 GHz) BWO



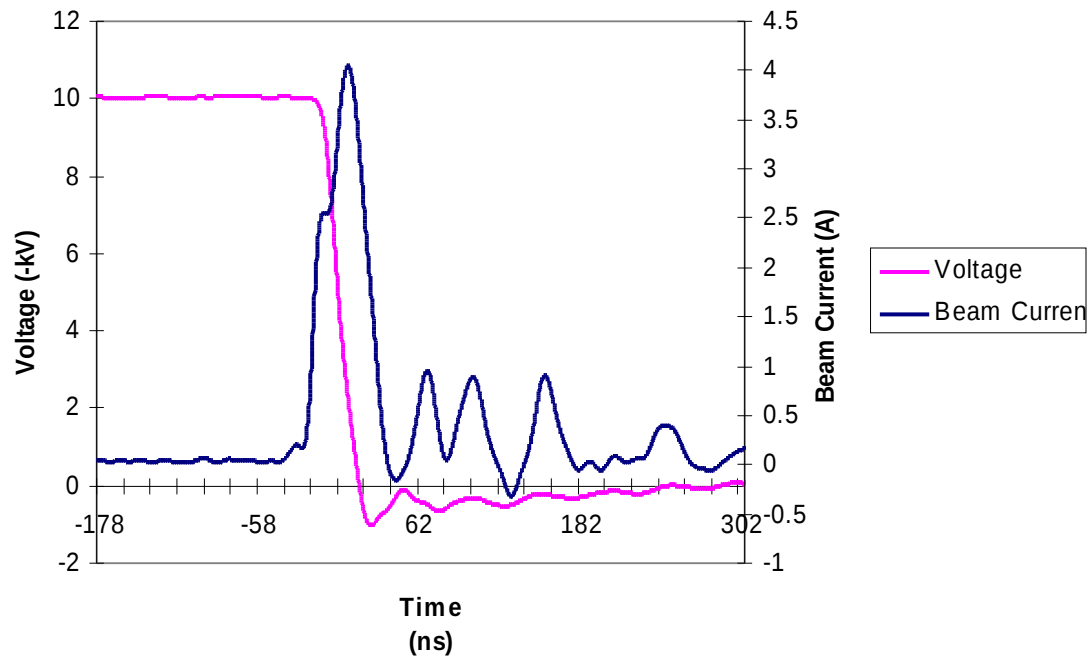
----- Applied voltage    — Beam current    — Microwave pulse

Time-correlated electron beam pulse (green)  
microwave pulse (red)  
and applied voltage pulse (blue)

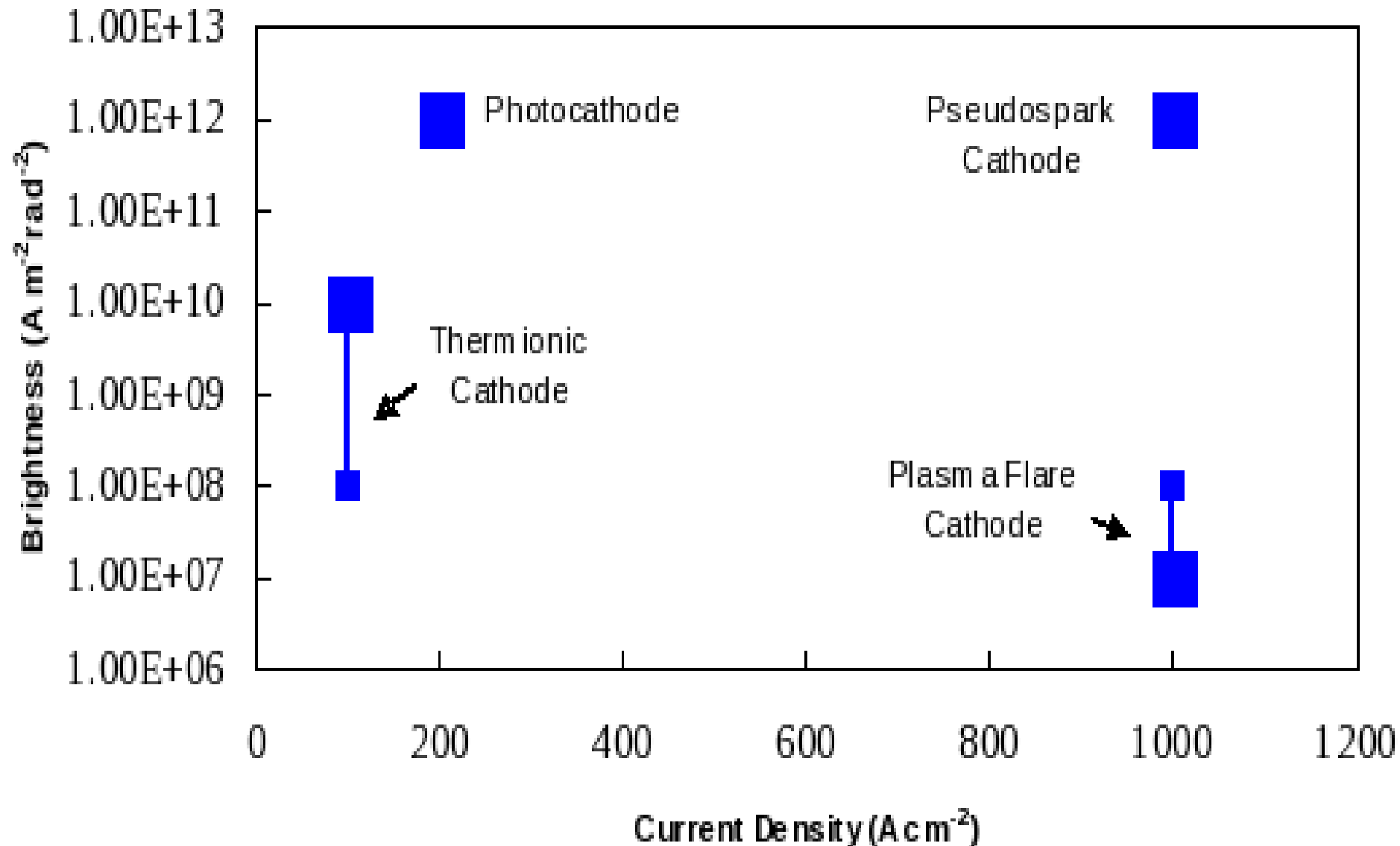
# 1 mm aperture single gap pseudospark beam measurements

## Measured small size (1 mm) beam

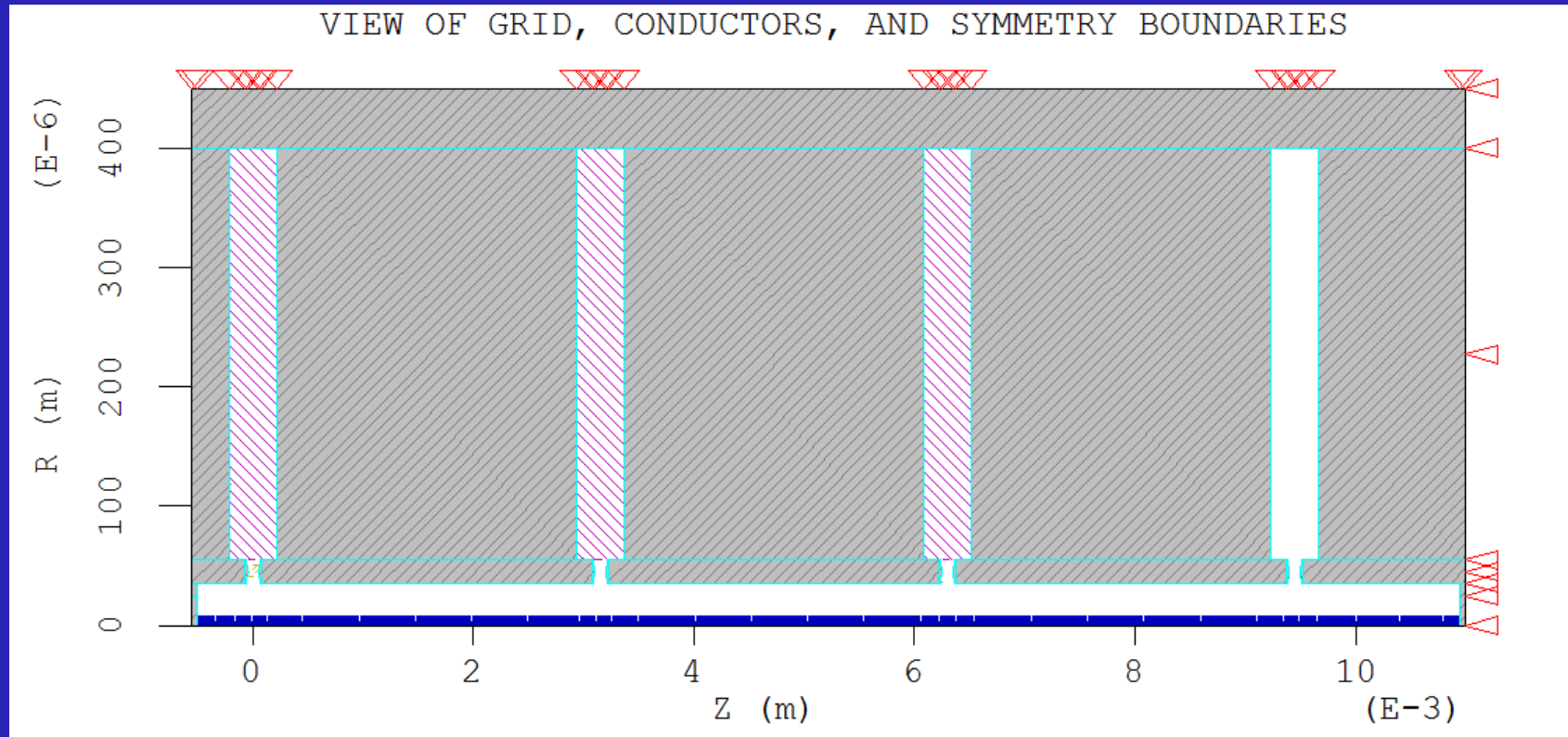
1mm Aperture, 2 Disk, 10f



# Comparison of four types of electron beam source

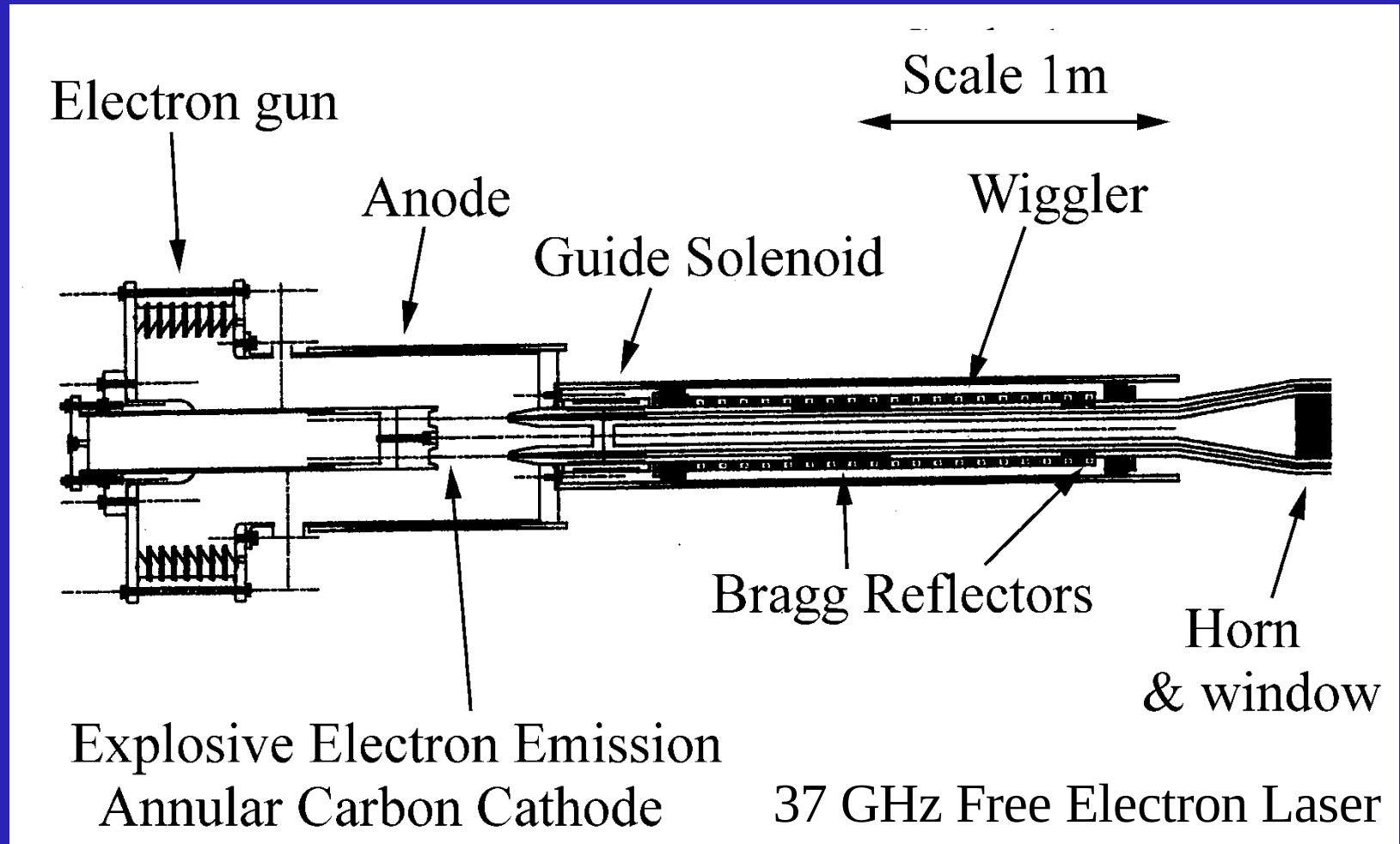


# 206 GHz four cavity klystron





# Millimetre-wave free electron laser





# Model and basic equations of 2D Bragg FEL

- The 2D Bragg corrugation of the waveguide surface can be defined as:

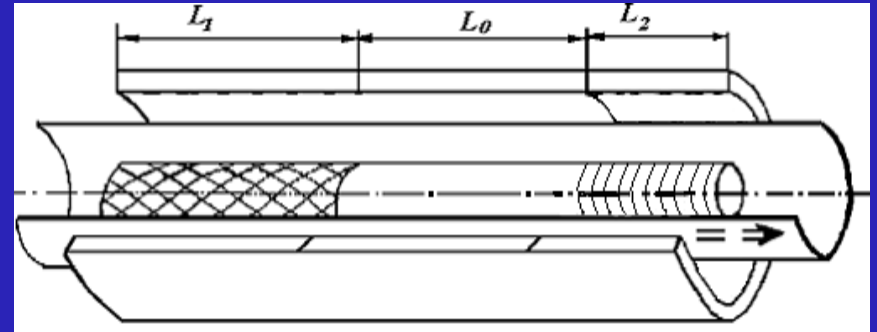
$$r(z, \varphi) = R_{in,out} + a_1 \cos(\bar{k}_z z) \cos(\bar{m} \varphi)$$

- EM field can be represented by four partial waves:

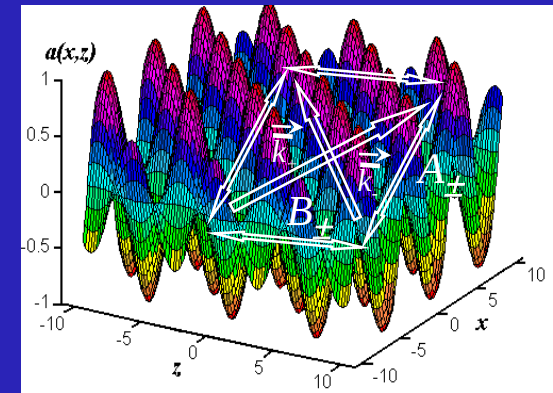
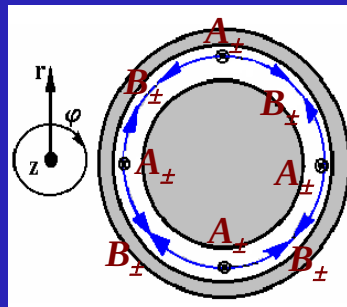
$$\vec{r} E = \vec{r} A_+ e^{-ik_z z} + \vec{r} A_- e^{ik_z z} + \vec{r} B_+ e^{iM\varphi} + \vec{r} B_- e^{-iM\varphi}$$

$M$  is the number of field variations along azimuthal co-ordinate  $\varphi$ . The partial waves  $A_{\pm}$  propagate in  $\pm z$  direction and  $B_{\pm}$  are near cut-off waves. The waves are coupled on the corrugation if the following conditions are satisfied  $k_z = k'_z \cong \bar{k}_z$ ,  $|\bar{m}| = |M|$

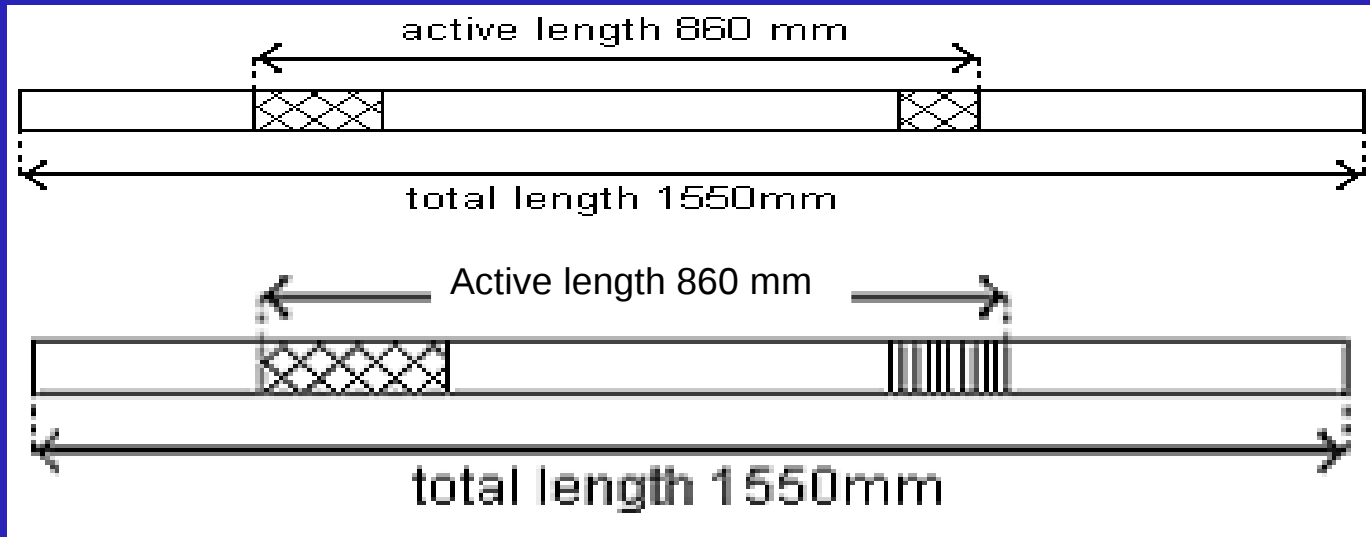
- Schematic diagram of two-mirror 2D-1D FEM interaction region



- Schematic diagram of 2D distributed feedback circle



# The FEL cavity configuration

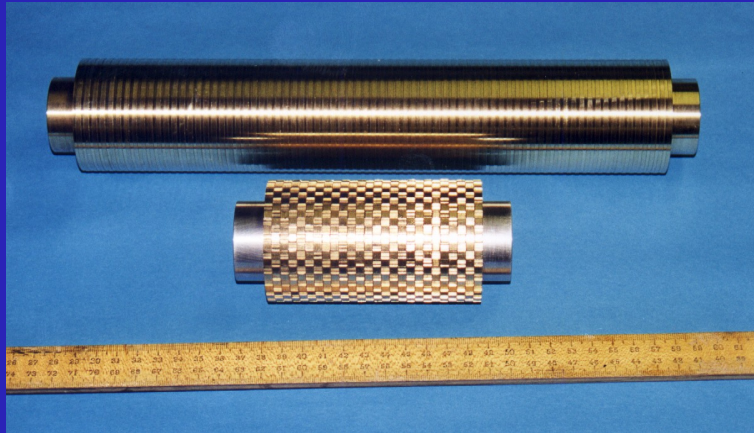


Schematic diagram of inner conductor with the corrugated structures



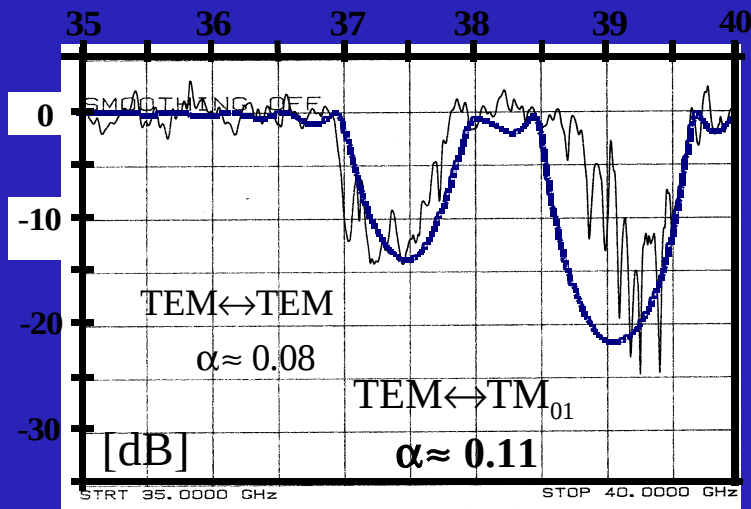
Photograph of inner conductor

# Measurements of 1D and 2D Bragg structures



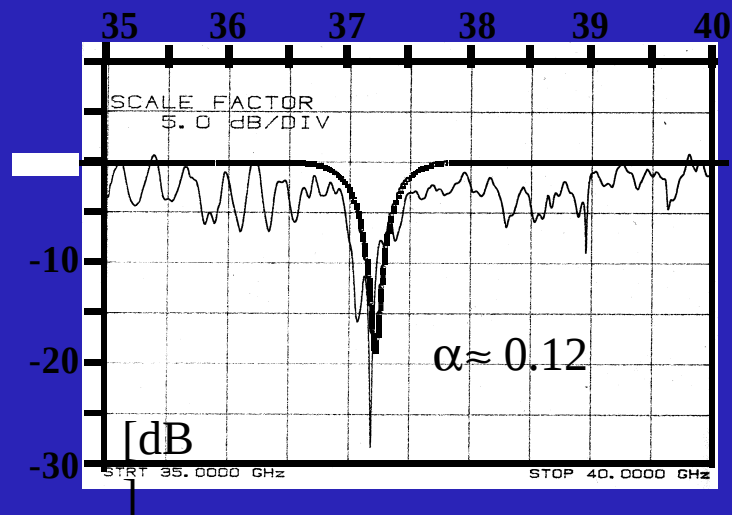
Co-axial 2D Bragg mirror  
 - constructed by machining square chessboard corrugations on the outer surface of the inner conductor

Frequency [GHz]



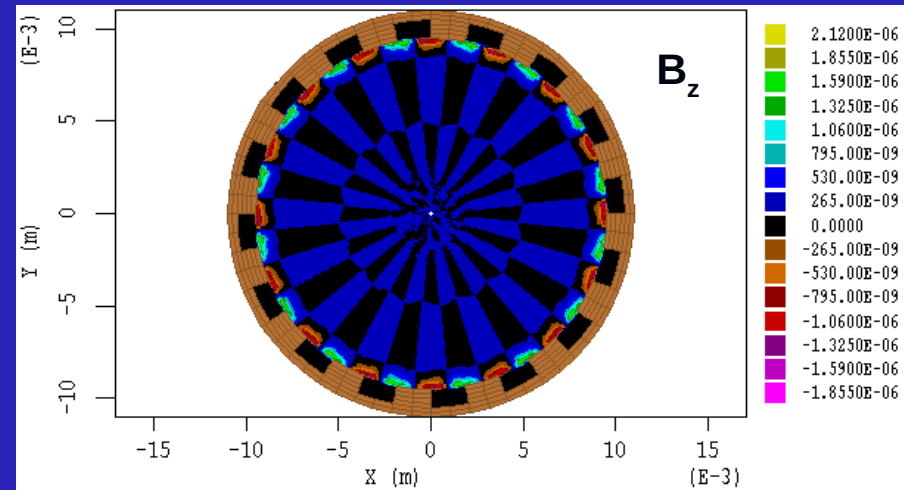
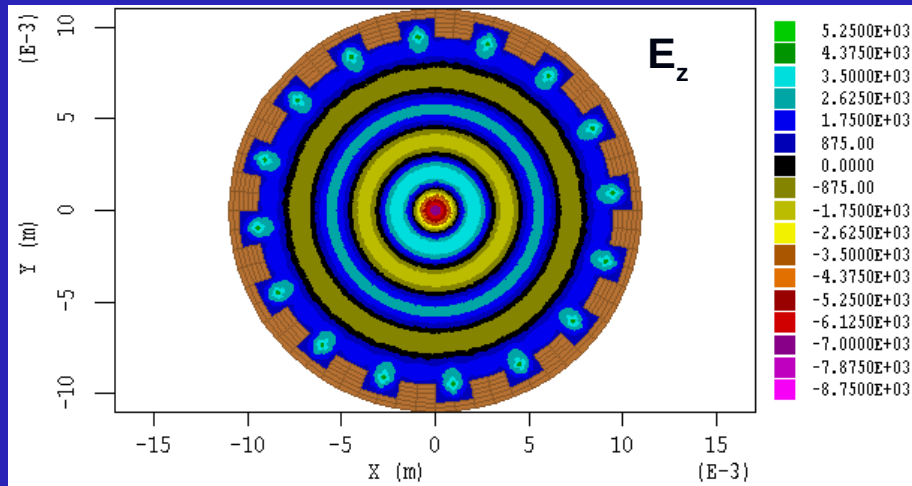
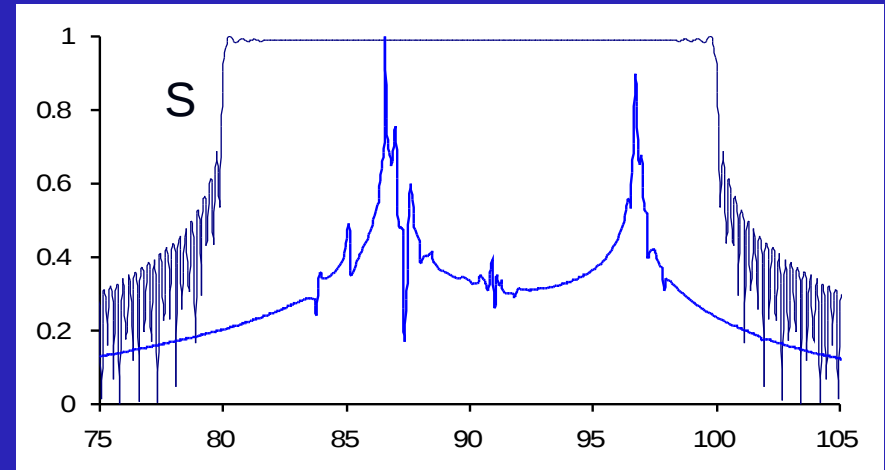
Millimetre wave transmission through the 1D Bragg structure of length  $l_z = 30$  cm

Frequency [GHz]



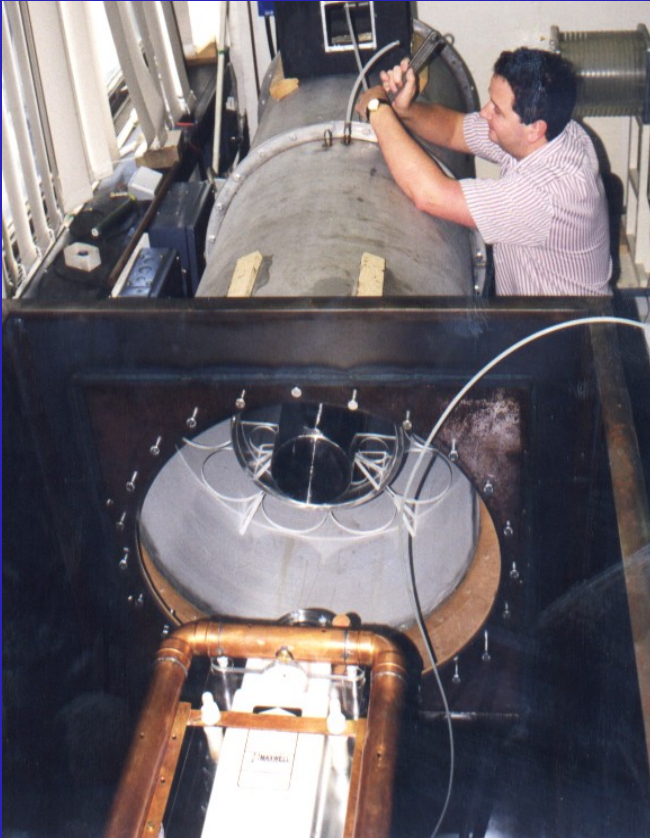
Millimetre wave transmission through the 2D Bragg structure of length  $l_z = 4.8$  cm

The spectra of a 7ns pump pulse at the input of the structure (thin line) and longitudinal electric fields (solid line) measured on the cavity's axis in the time frame (10ns – 30ns) having length 4.8 cm. The spikes are associated with cavity eigenmodes having radial indices  $l=6$  and  $l=7$ . The contour plots of the longitudinal electric ( $E_z$ ) and magnetic ( $B_z$ ) components of the field inside the cavity observed using the 3D code MAGIC.

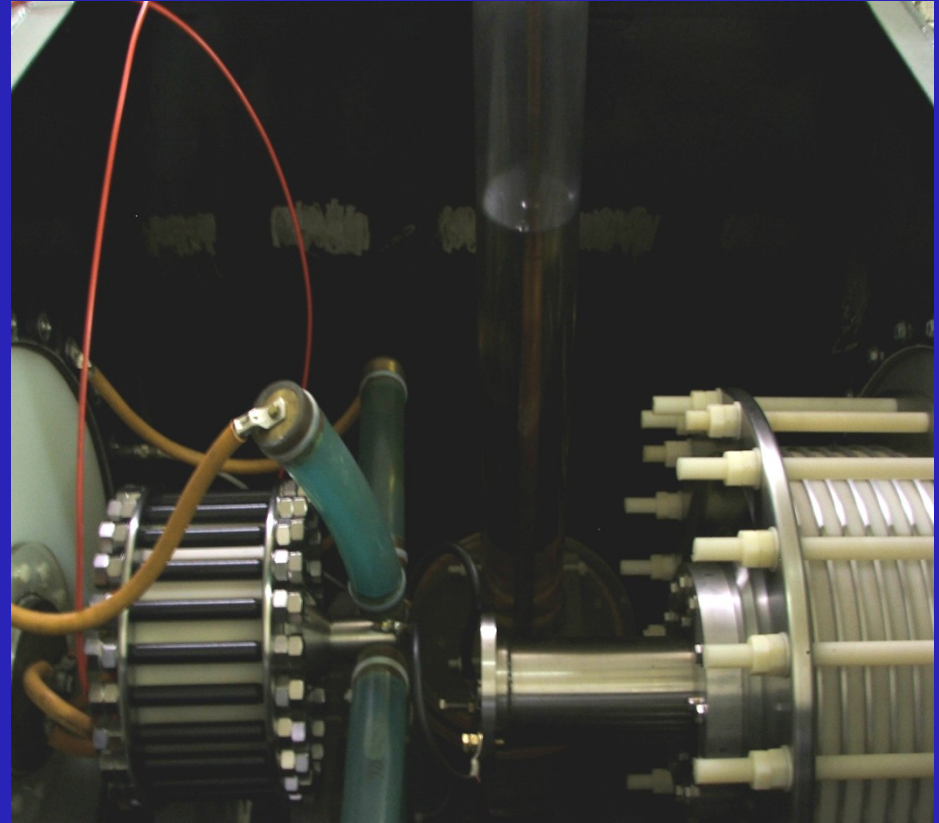




# Pulsed power systems that drive the 600 MW electron beam

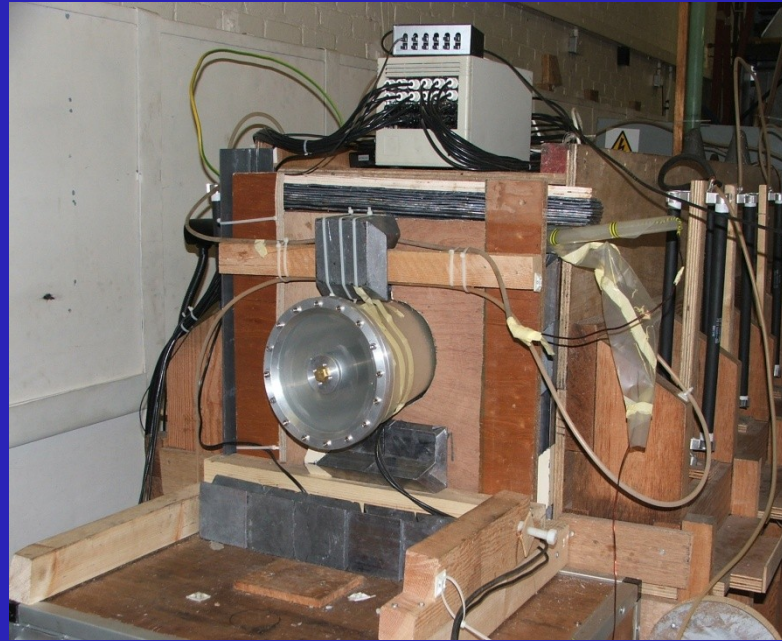


*Assembly of the Marx pulsed power supply and the transmission line*



*Connection of the transmission line to the diode cathode via pressurised spark gap and matching resistors*

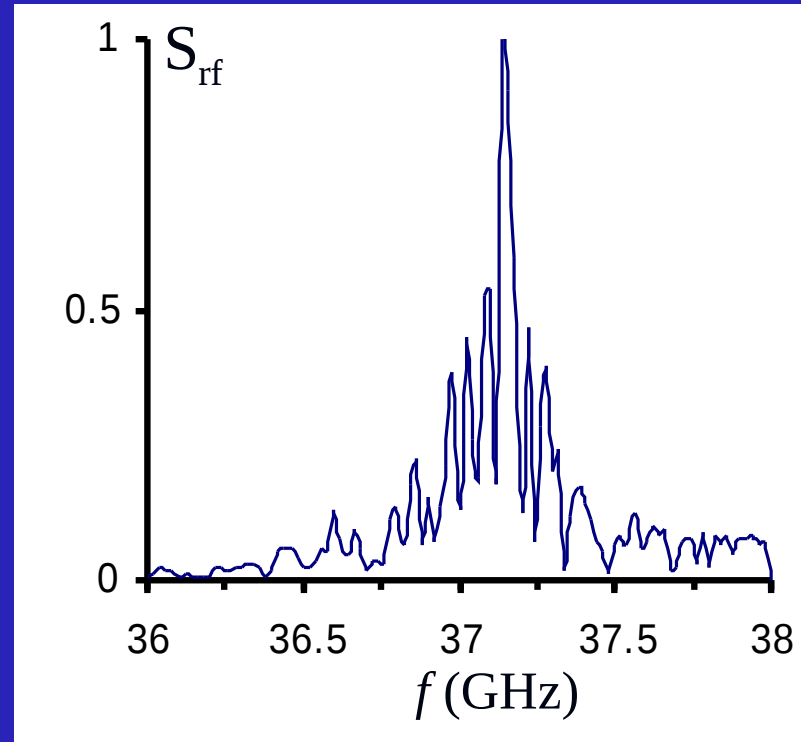
# The FEL experiment



FEL apparatus to produce mm-waves

- co-axial output horn and Mylar window of diameter 0.2m
- matching resistors for capacitor bank powering solenoid
- ignitron switch and fibre optic controlled trigger unit
- solenoid of length 2.55m, diameter 0.3m with undulator inside
- 3D X-ray shielded enclosure

# Heterodyne Frequency Diagnostics



Measured spectrum of the output radiation from the FEL  
60 MW at 37.2 GHz



# High power mm-wave amplifiers

- High power broadband mm-wave amplifiers are generally more difficult to achieve than the single frequency mm-wave oscillators
- A solution Strathclyde has been working on is the helical waveguide gyro-TWA (a type of gyro-TWT)

Use of dispersion graphs to design new RF sources

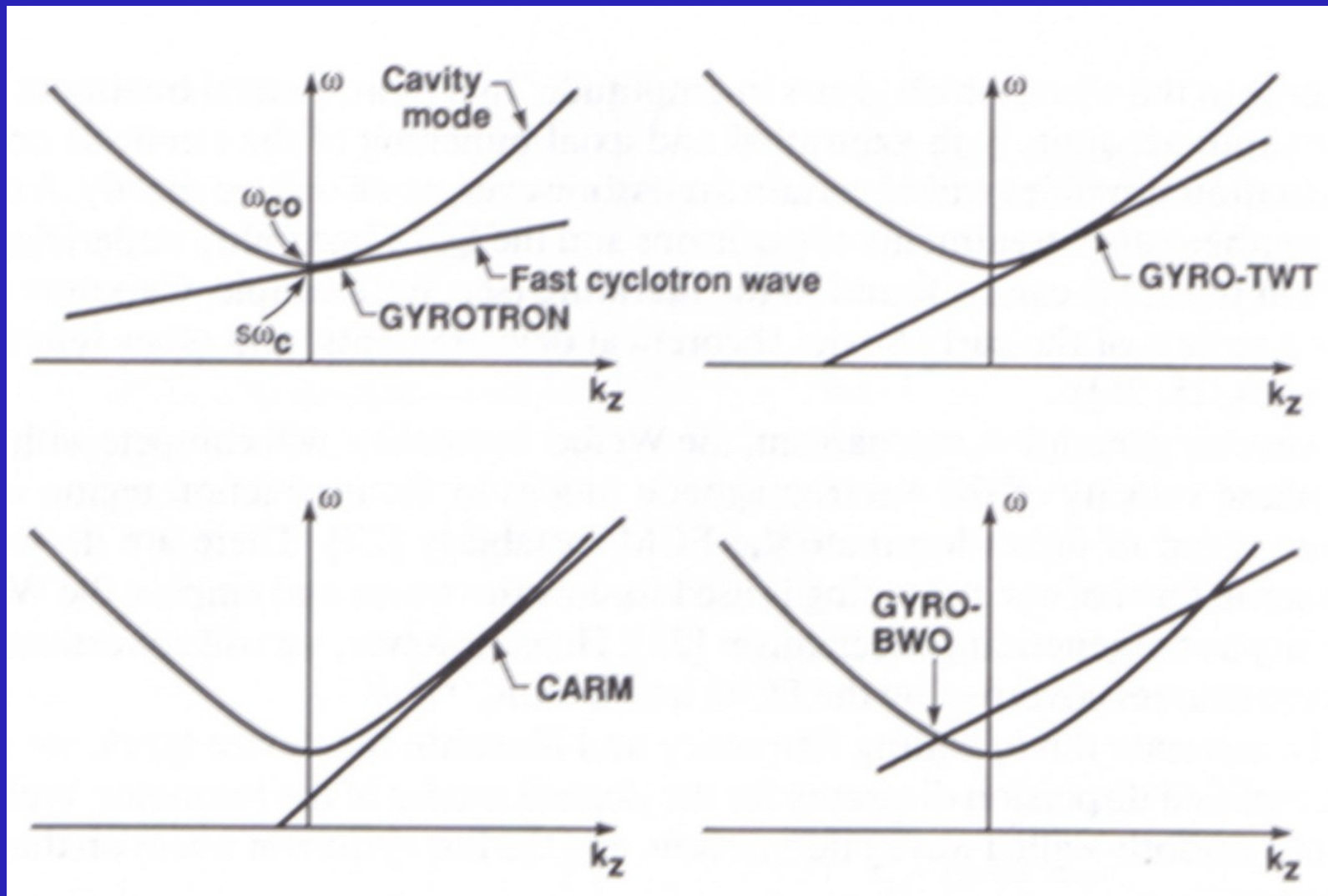
$$\omega^2 = \omega_{co}^2 + k_z^2 c^2$$

$$\omega = s\omega_c + k_z v_z$$

Where  $s$  is an integer,  $\omega_c$  is the cyclotron frequency  
and  $\omega_{co}$  is the cut-off frequency of the waveguide.

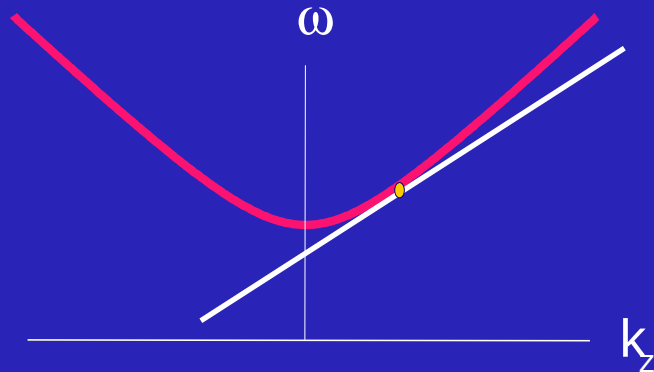
$$\text{where } \omega_c = \frac{eB}{\gamma m_e} \quad \gamma = \left(1 - \frac{v^2}{c^2}\right)^{-1/2}$$

## Use of dispersion graphs to design new RF sources

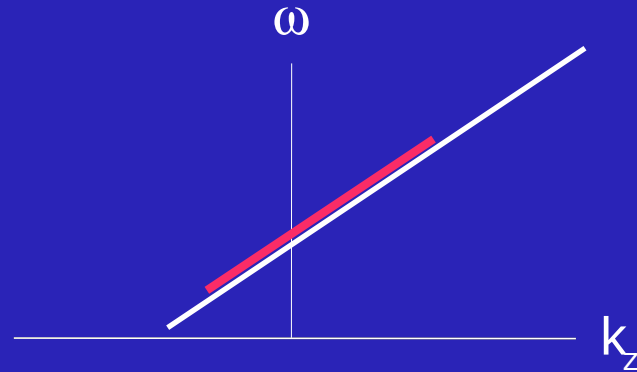


# High power mm-wave amplifiers

Conventional Gyro-TWT



Ideal Gyro-amplifier dispersion



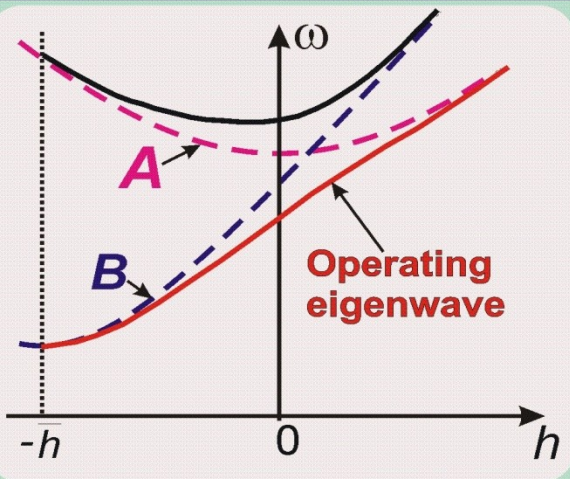
Ideal dispersion can be realized by using a **helically corrugated interaction waveguide**

It changes the dispersion diagram such that an eigenwave of a constant group velocity ( $V_g=V_b$ ) exists in the near-infinite phase velocity region ( $k_z=0$ ) for a very wide frequency band.



# Synthesis of Ideal mode to create new sources

## Realization of the Favourable Wave Dispersion: **Waveguide with Helical Corrugation**



$$\vec{E}_A = (\vec{a}_+ e^{-ih_A z} + \vec{a}_- e^{ih_A z}) e^{i(\omega t - m_A \varphi)}$$

$$\vec{E}_B = \vec{b} e^{-ih_B z} e^{i(\omega t + m_B \varphi)}$$

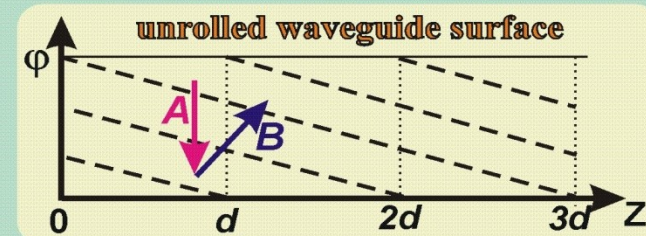
**A** and **B** are circular polarized modes of unperturbed circular waveguide

$$h_A \ll \omega/c, h_B \sim \omega/c$$

$$\bar{m} = m_A + m_B, \bar{h} \approx h_B$$



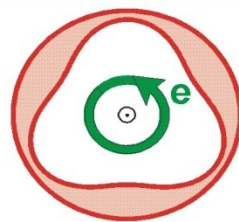
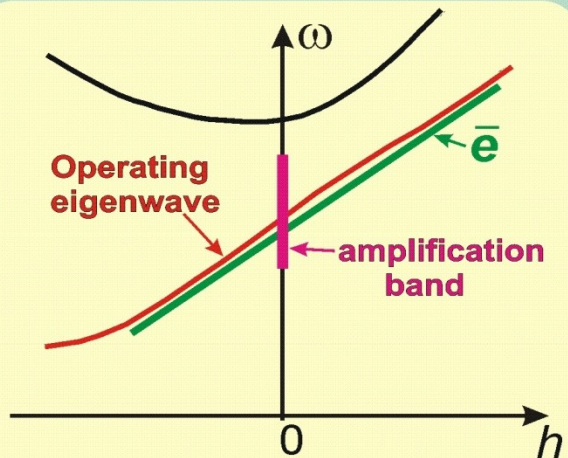
$$r(\varphi, z) = r_0 + l \cos(\bar{m}\varphi + \bar{h}z)$$



### **Gyro-TWT**



### **Gyro-BWO**



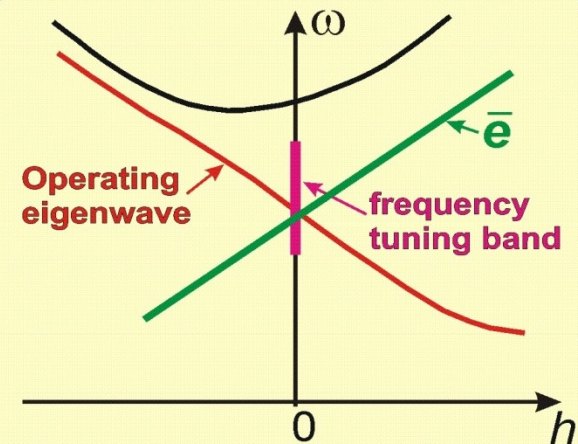
**3-FOLD HELICAL CORRUGATION**

axis-encircling electron beam

mode **A** -  $TE_{2,1}$

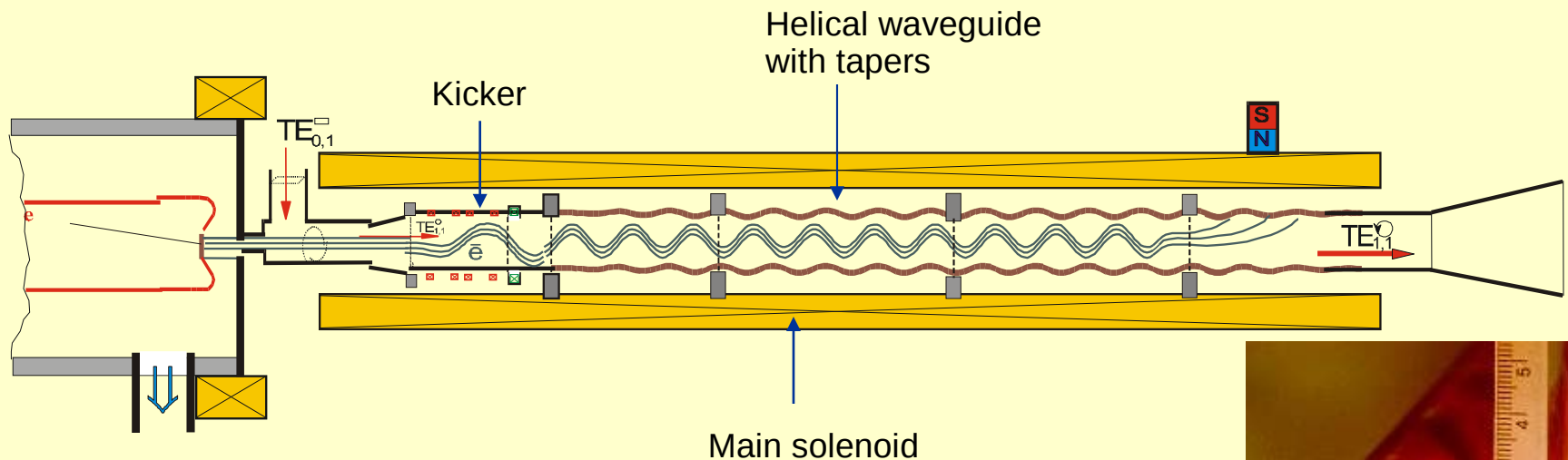
mode **B** -  $TE_{1,1}$

**2nd cyclotron harmonic interaction**



# High power mm-wave amplifiers

## Gyro-TWA amplifier schematic



Physical Review Letters 81, 5680-5683, 1998

Physical Review Letters 84, 2746-2749, 2000

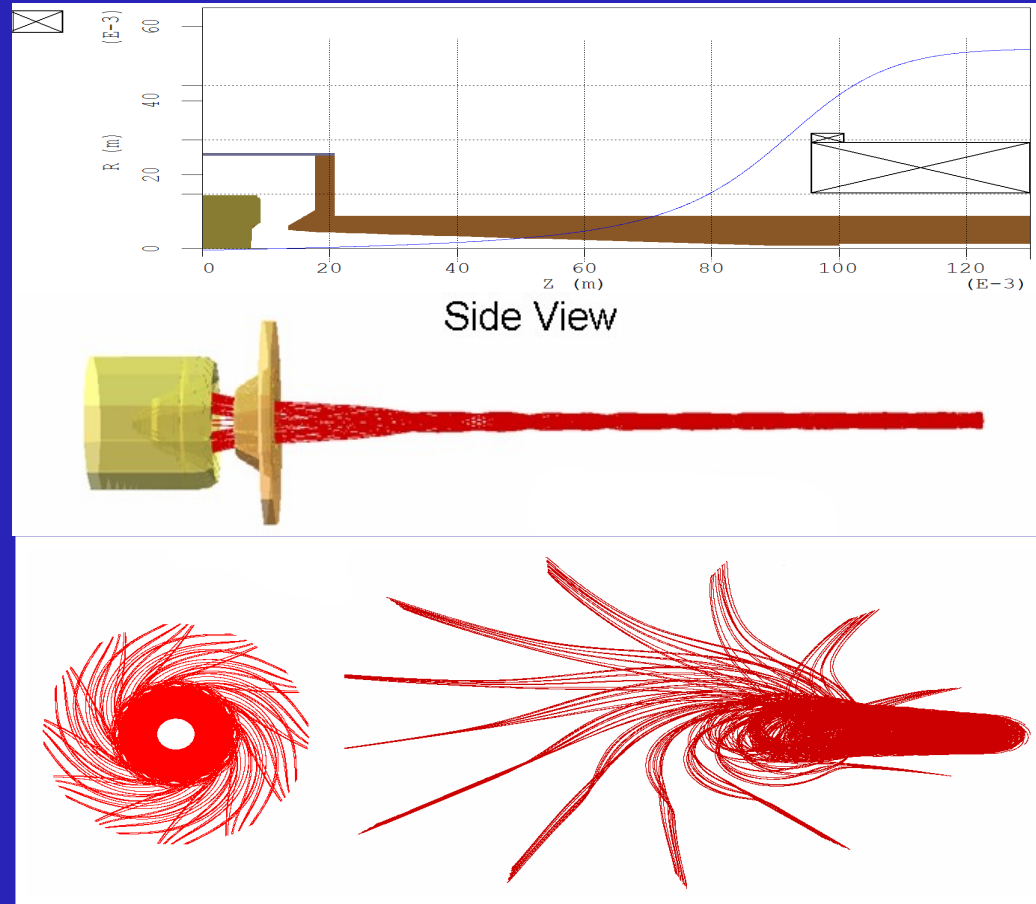
Physical Review Letters 92, art 118301, 2004



# Modelling of a cusp gun for 390GHz gyrotron

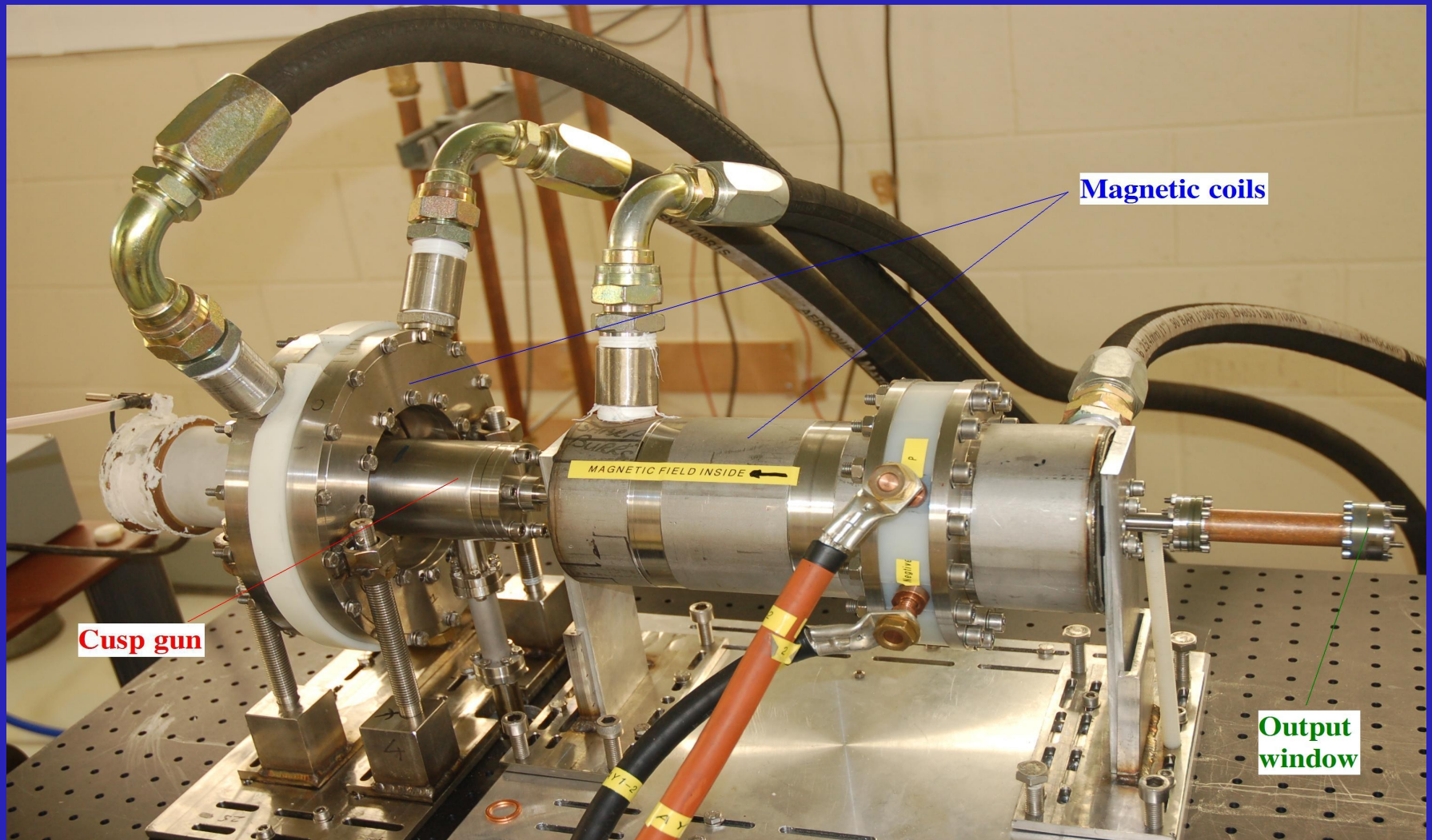
## Cusp gun

- Axis-encircling, annular electron beam
- Better for energy recovery & mode selection
- Measurement agrees with simulation:  
40kV 1.5A



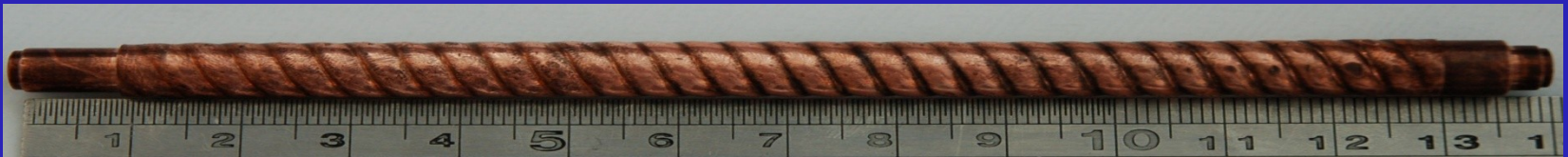
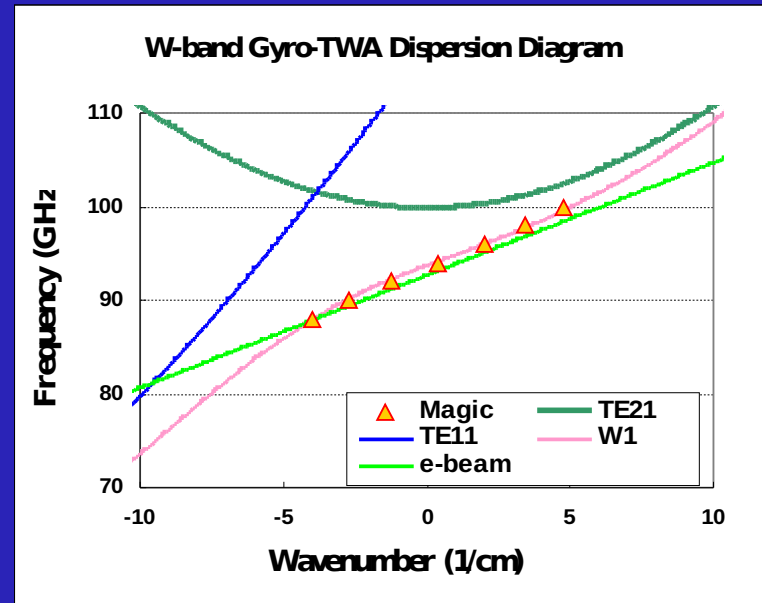
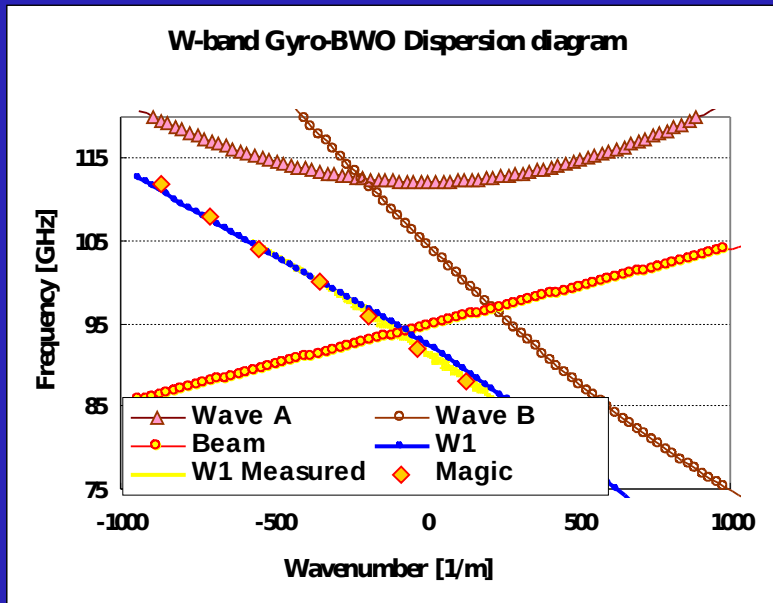
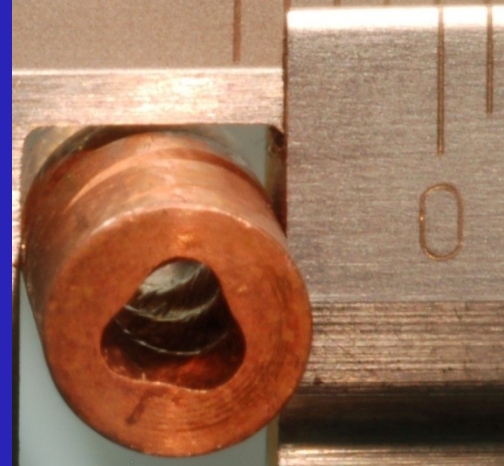


# Wideband W-band gyro-device

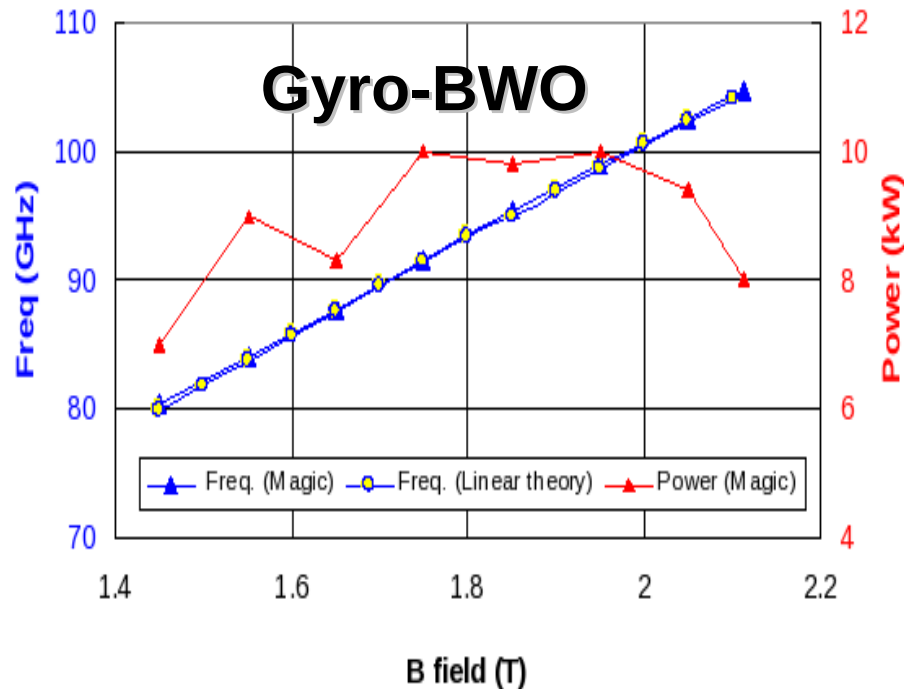


# Helical interaction waveguide

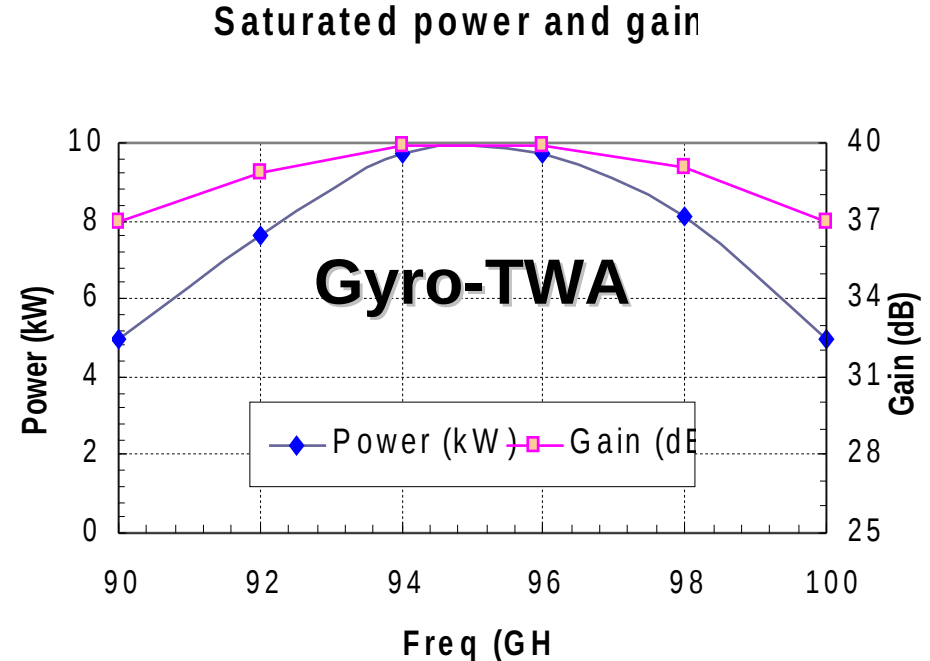
- High power, high frequency, high efficiency
- Wide frequency band



# Predicted Performance



Centre freq.  $\approx 94$  GHz  
 Tuning range  $\approx 20\%$   
 Maximum power  $\approx 10$  kW  
 Efficiency  $\approx 15\%$

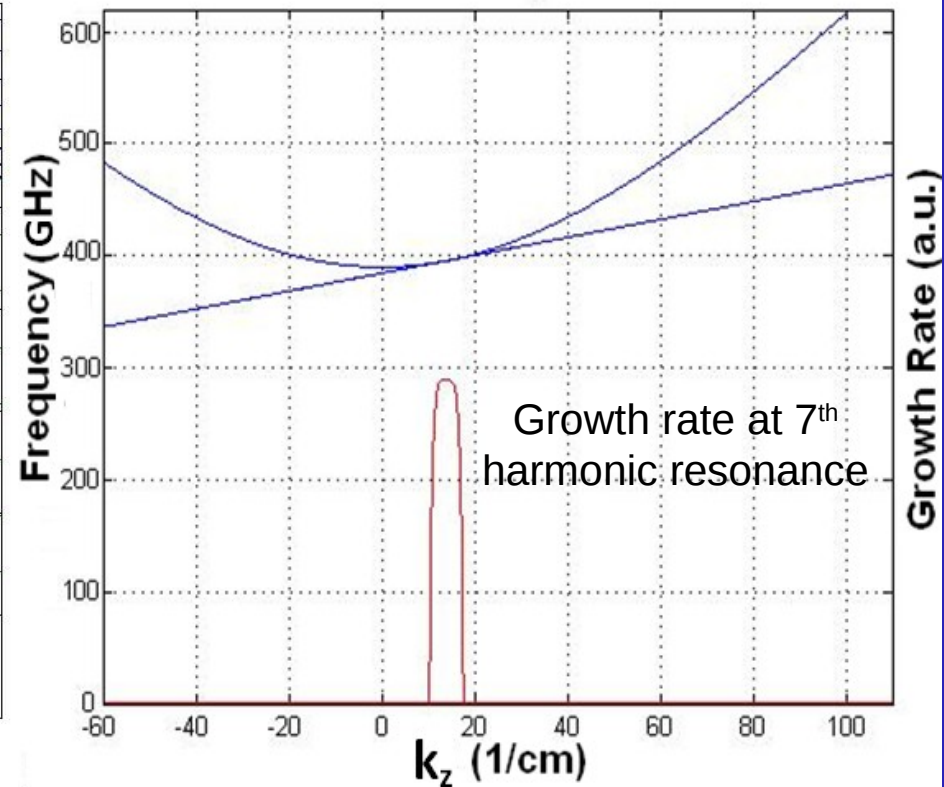
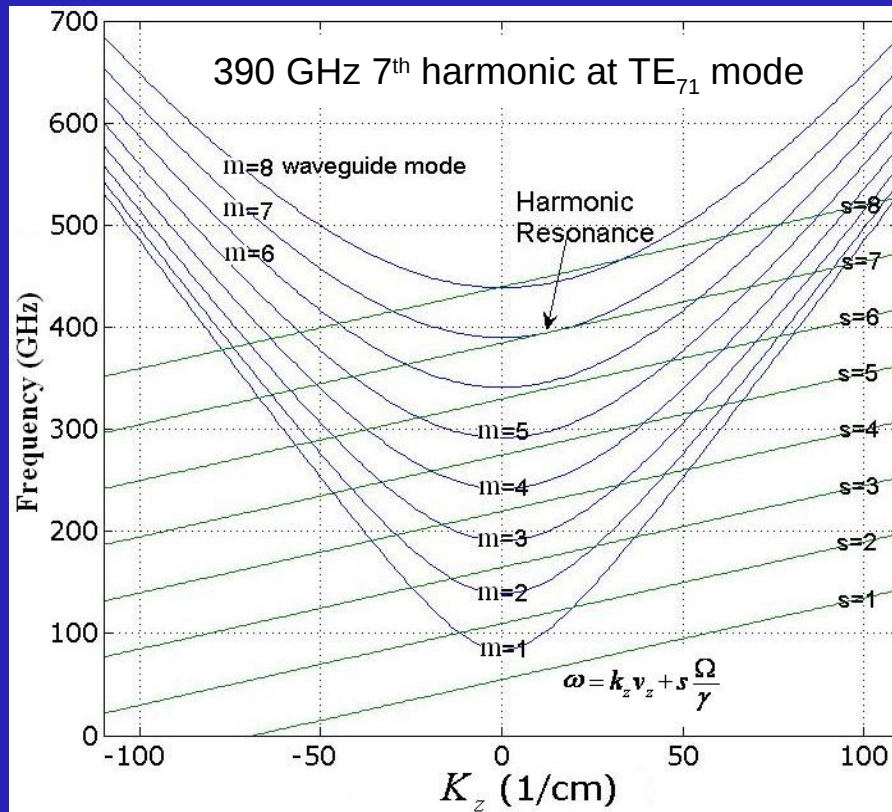


Centre freq.  $\approx 95$  GHz  
 Freq. bandwidth  $\approx 10\%$   
 Maximum power  $\approx 10$  kW  
 Efficiency  $\approx 15\%$   
 Gain = 40dB



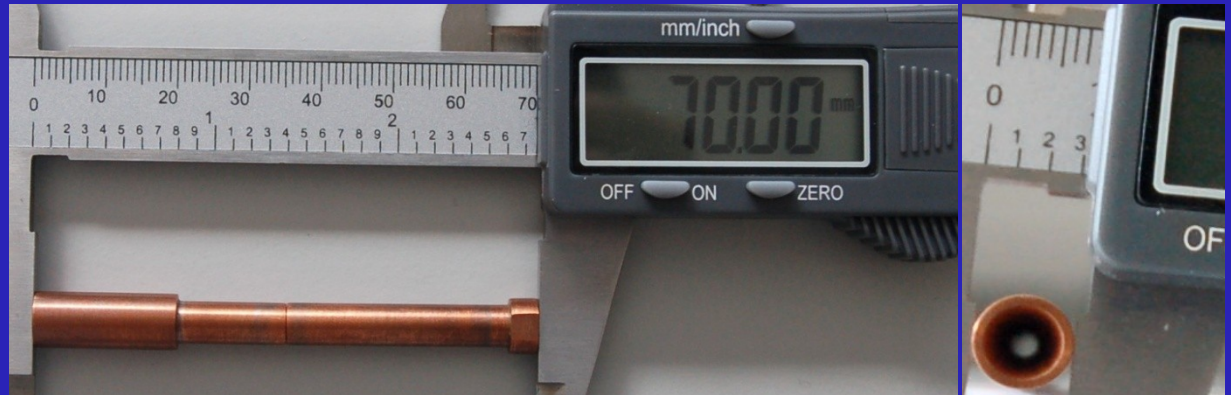
# 390 GHz Harmonic Gyrotron

Design and simulation of a CW source based on a cusp gun and working at the 7<sup>th</sup> harmonic number

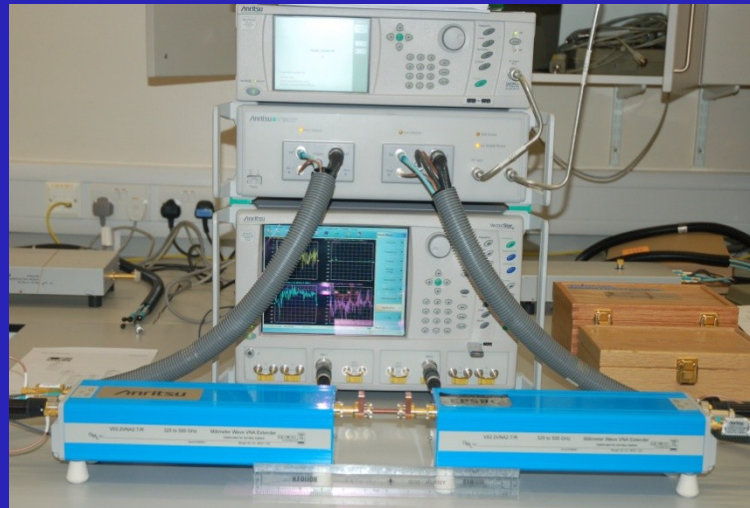


# Cavity & Cold Test

Cavity designed  
& manufactured



Cold tested with  
300-500 GHz VNA



Average  
Losses:

Spark Erosion:  
-0.5 dB

Drilled Cavity  
-3.1 dB

# Co-harmonic gyrotron using a novel corrugated cavity

Mean radius,  $r_0 = 8$  mm

Corrugation depth,  $l = 0.7$  mm

Length,  $L = 39$  mm

Modes excited:

2<sup>nd</sup> harmonic,  $TE_{2,2}$  (37.5 GHz)

4th harmonic,  $TE_{4,3}$  (69.7 GHz & 75 GHz)

Suggested beam parameters:

Beam voltage, 60 kV

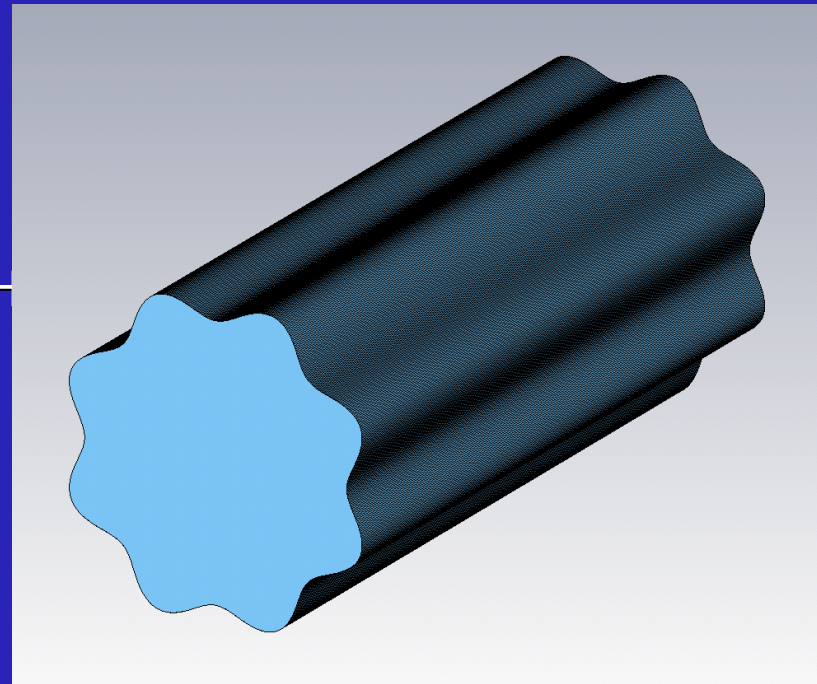
Beam current, 5 A

Pitch angle, 45 degrees

Magnetic field, 0.7 T

Axis-encircling beam

$$r(\phi) = r_0 + l \sin(8\phi)$$

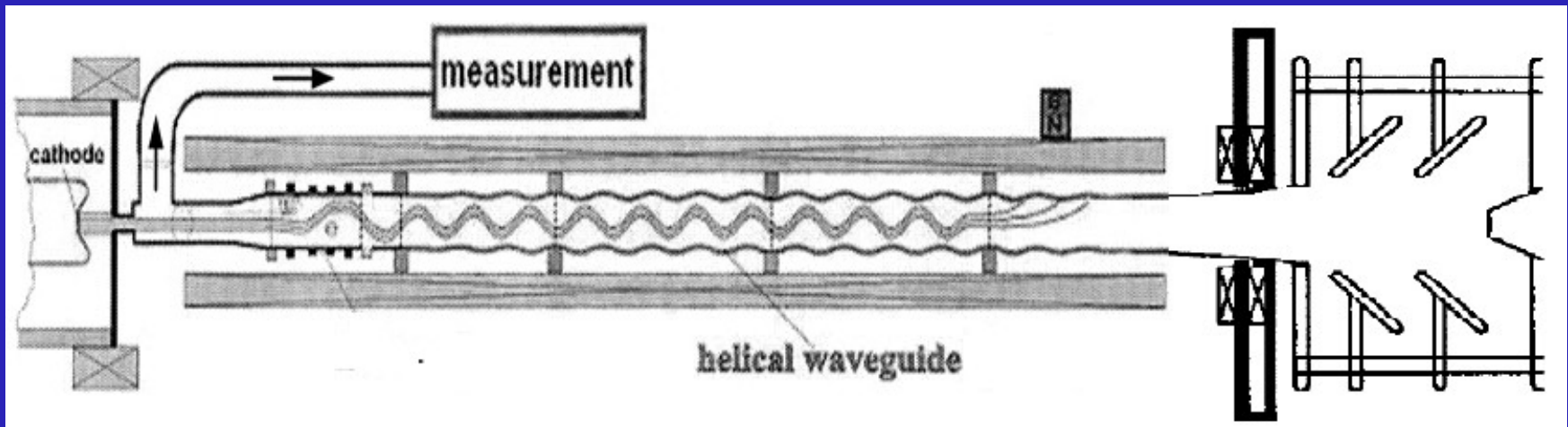




# Depressed collector research

## Advantages of depressed collector

- Improve the overall tube efficiency
- Decrease cooling requirement
- Decrease x-ray emission



Depressed collector

$$\eta_{\text{overall}} = \frac{P_{\text{output}}}{P_{\text{beam}} - P_{\text{collected}}}$$

# Depressed Collector Simulation

Simulation uses 3D PIC code MAGIC

Genetic algorithm used to optimize geometry

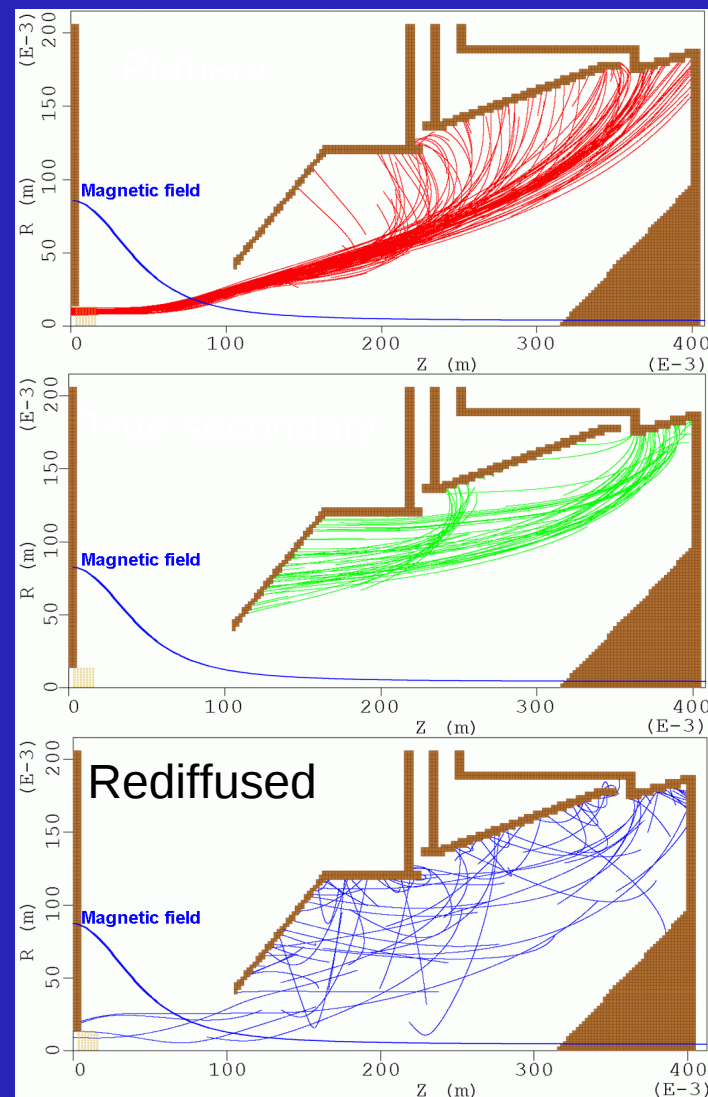
Effect of secondary electrons, including true secondary electrons and rediffused electrons

Heat power density distribution on electrodes

Simulation of X-band Gyro-BWO and W-band Gyro-BWO

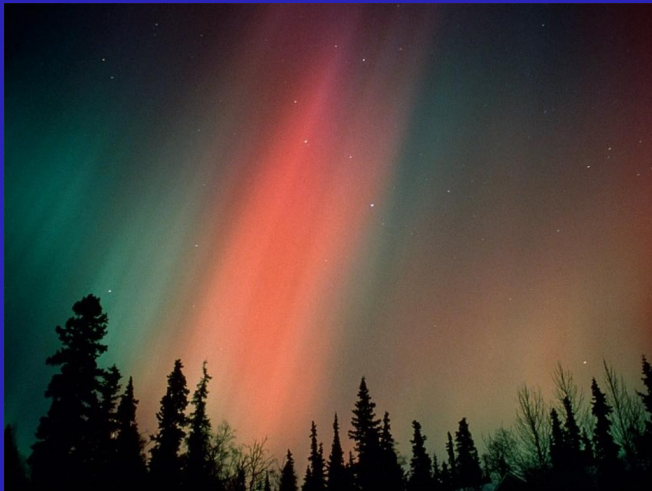
*L. Zhang, et al, IEEE Trans. Plasma Sci.,  
37, 390-394, 2009*

*L. Zhang, et al, IEEE Trans. Plasma Sci.,  
37, 2328-2334, 2009*



SUPA II project to apply plasma-based accelerators

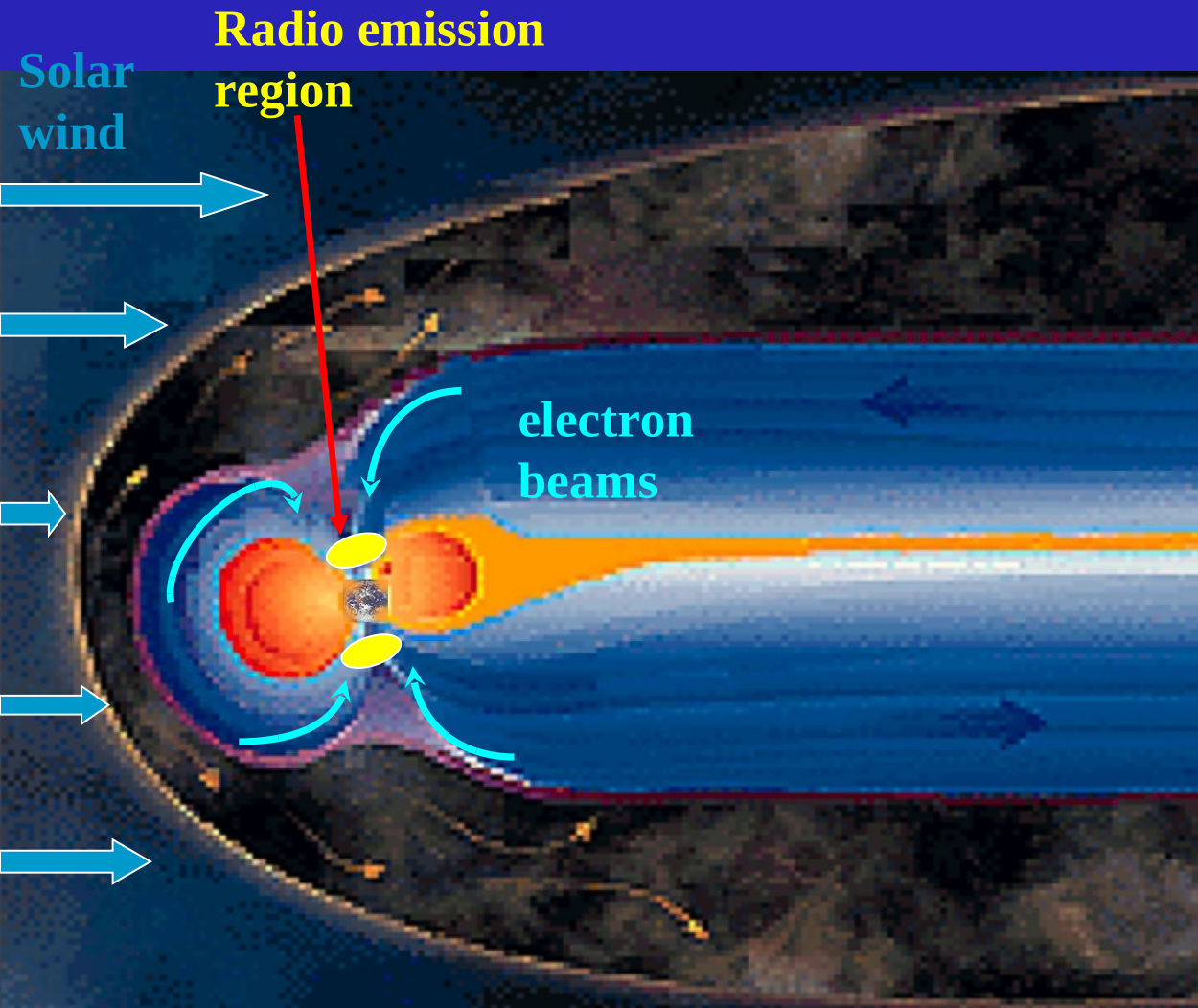
# Auroral Kilometric Radiation - AKR



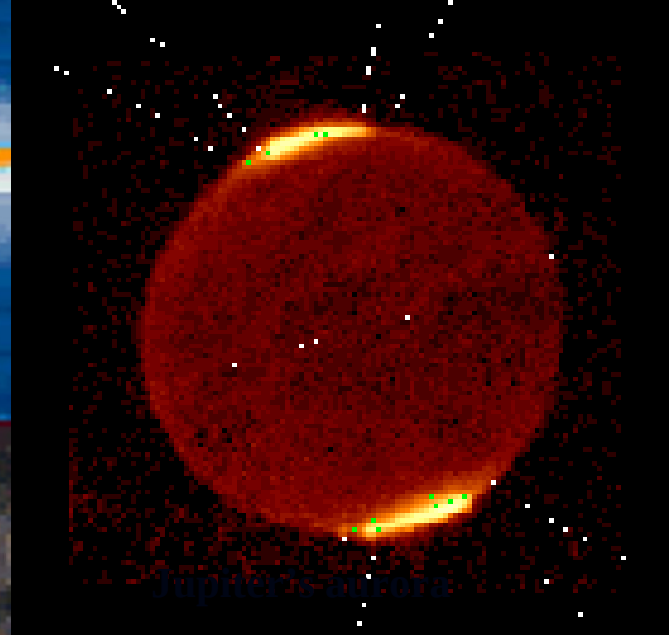
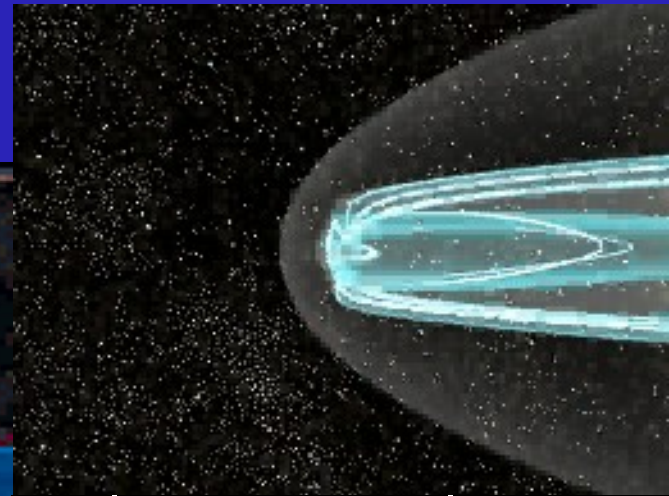
Aurora Borealis – Northern Lights

# Planetary Magnetospheres

All solar system planets with strong magnetic fields (Jupiter, Saturn, Uranus, Neptune, and Earth) also produce intense radio emission – with frequencies close to the cyclotron frequency.

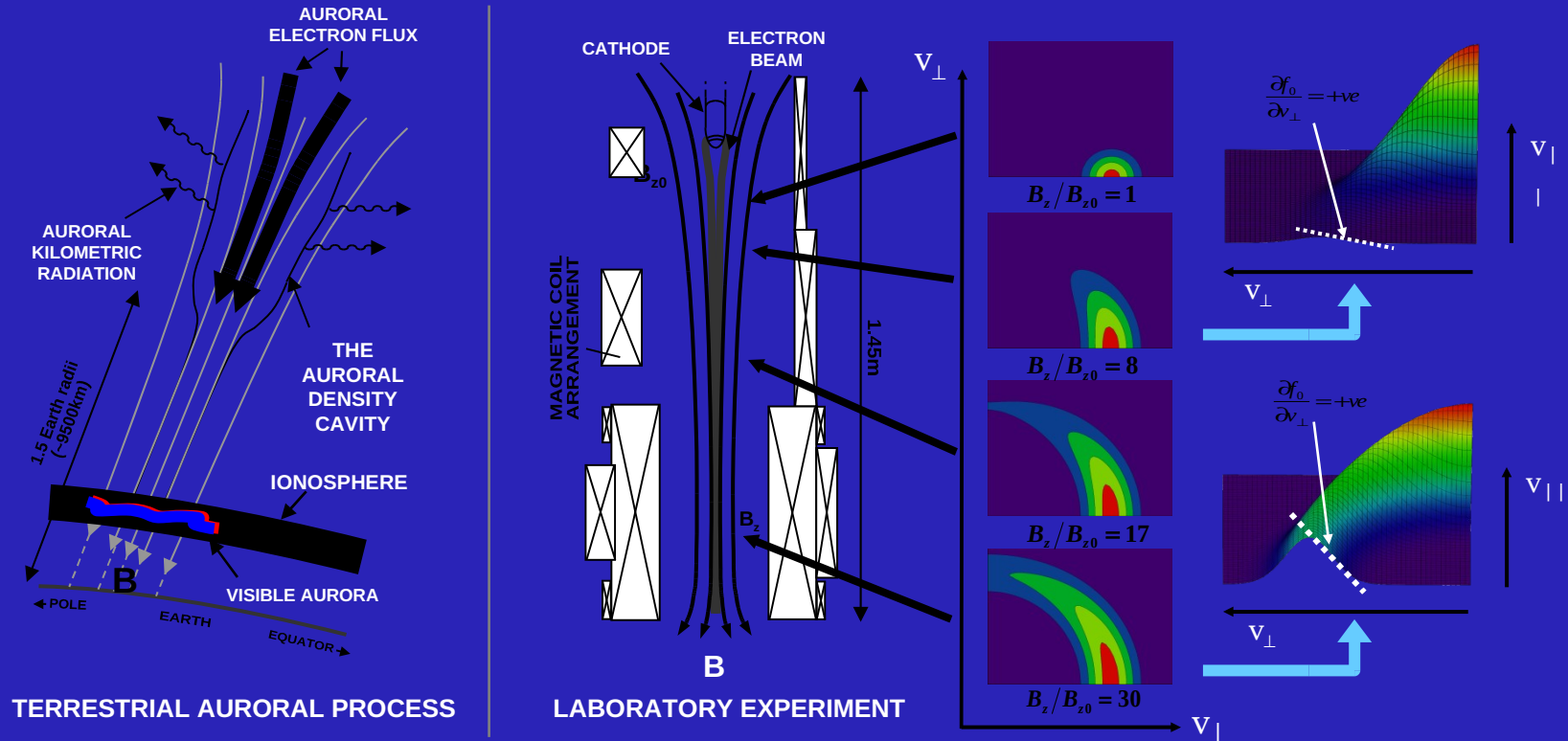


## Planetary Aurora





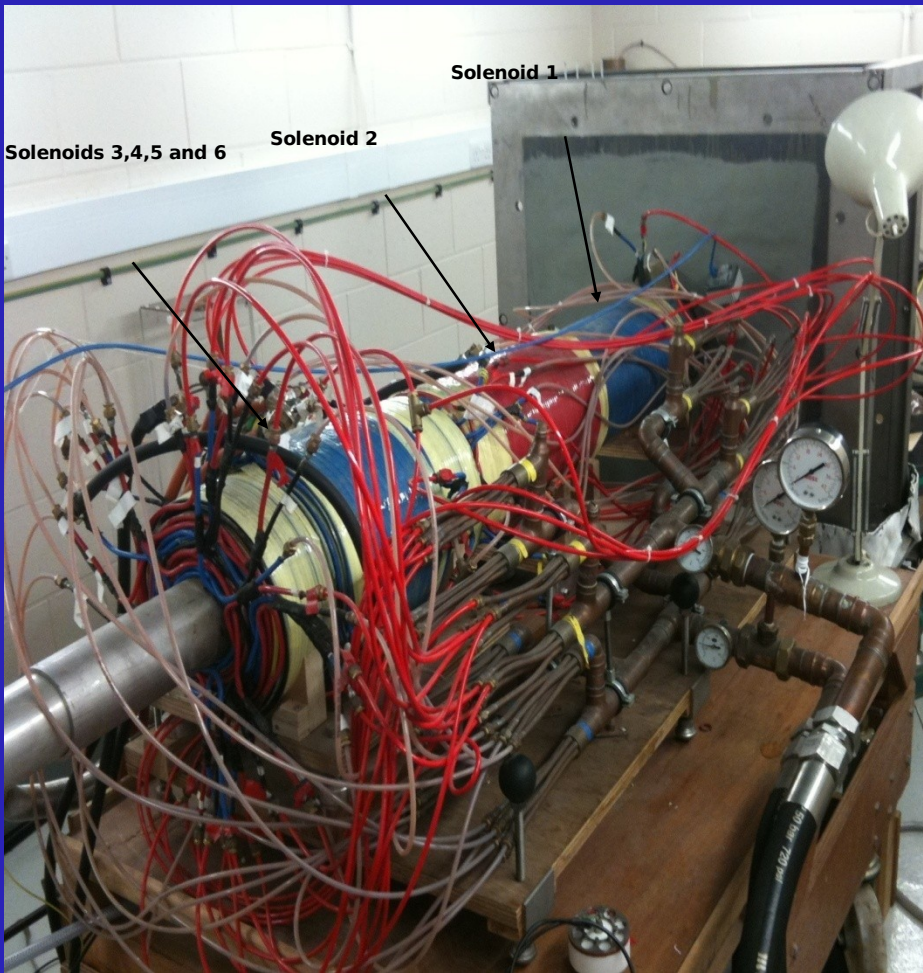
# Natural radiation sources – formation of an electron horseshoe distribution



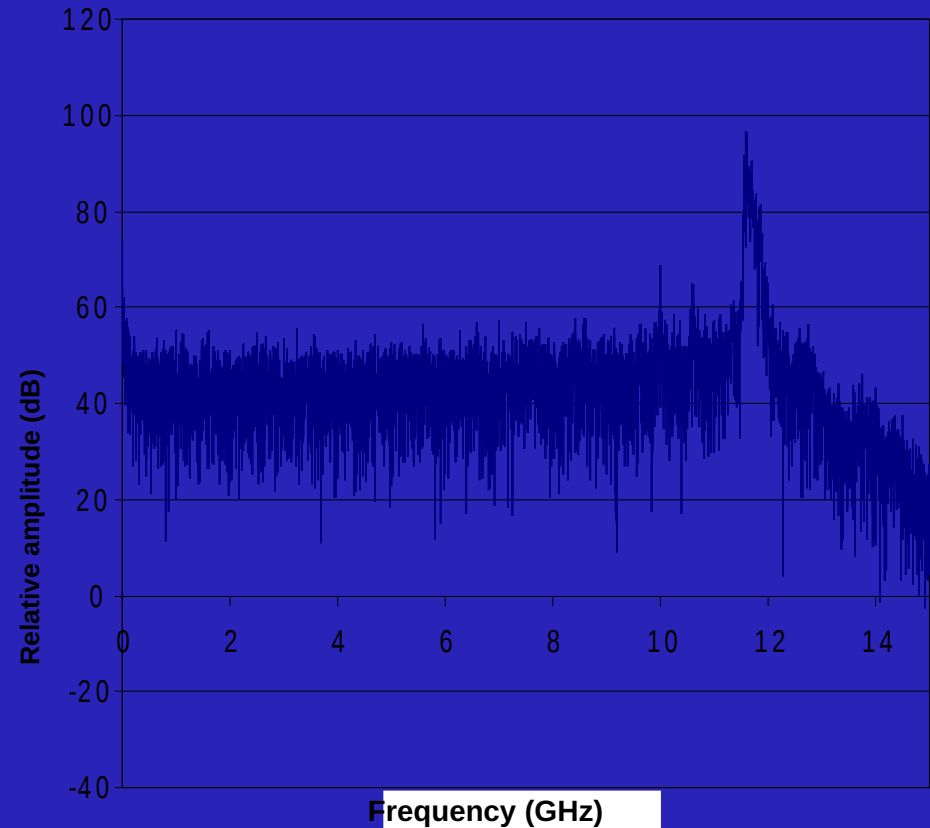
- Electron beam enters increasing axial magnetic field
- Electrons gain transverse velocity at the expense of axial velocity.
- Beam distribution function develops horseshoe-like profile.
  - *positive gradient in transverse velocity near the tip of the distribution.*



# AKR Strathclyde Laboratory Experiment



Measured RF spectrum



# Conclusions

- Particle-wave interaction synergy of sources & accelerators
- High power mm-wave oscillators achieving MWs
- High power mm-wave amplifiers – novel solutions
- MM-wave research moving into THz range
- Microwave/RF ultra-high power sources ~1GHz
- Laser plasma accelerators for applications

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**Thank you**